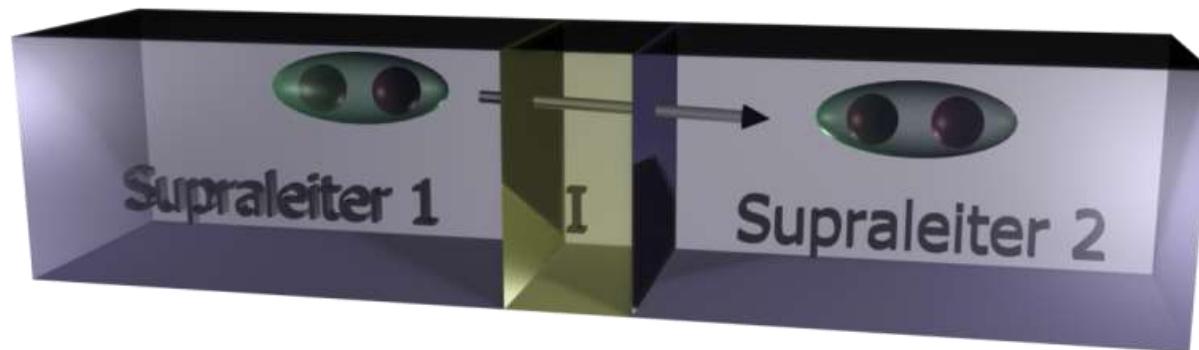


# Chapter 2

## Physics of Josephson Junctions: The Zero Voltage State

## 2.1 Basic properties of lumped Josephson junctions



Small spatial dimensions:

- Gauge invariant phase diff. & current density are uniform
- variations of supercurrent density on length scale larger than  $\lambda_L$

$$\lambda_L = \sqrt{\frac{m_s}{\mu_0 n_s q_s^2}} \approx 10^{-2} \text{ } \mu\text{m} - 1 \text{ } \mu\text{m}$$

Josephson junction:  $n_s$  strongly reduced:

$$\lambda_L \rightarrow \lambda_J \approx 10 \text{ } \mu\text{m} - 100 \text{ } \mu\text{m} \text{ (Josephson penetration depth)}$$

# 2.1 Basic properties of lumped Josephson junctions

## 2.1.1 The Lumped Josephson Junction

Spatially homogeneous supercurrent density and phase difference → Lumped element JJ

$$I_s = \int_S \mathbf{J}_s \cdot d\mathbf{s} \quad \text{Region of integration is junction area } S$$

Current-phase relation

$$I_s(t) = I_c \sin \varphi(t)$$

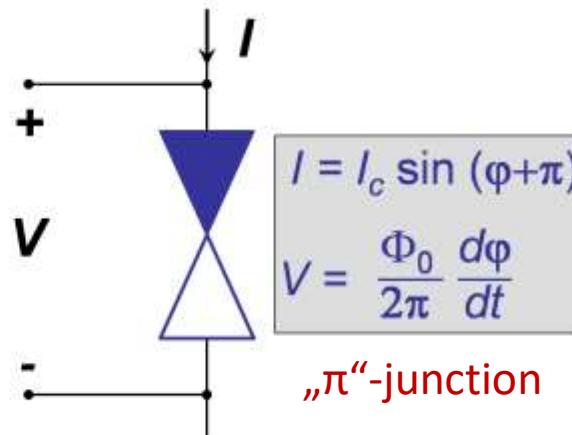
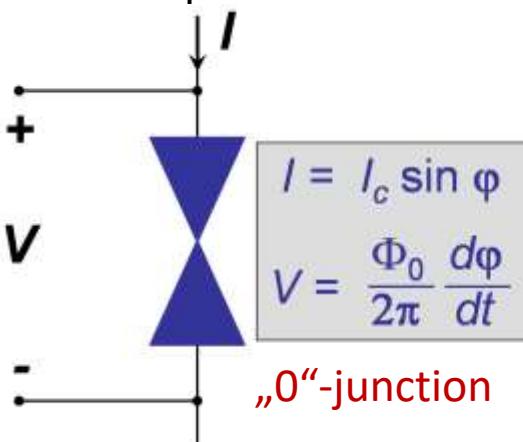
Gauge invariant phase difference

$$\varphi(t) = \theta_2(t) - \theta_1(t) - \frac{2\pi}{\Phi_0} \int_1^2 \mathbf{A}(\mathbf{r}, t) \cdot d\mathbf{l}$$

2-nd Josephson relation

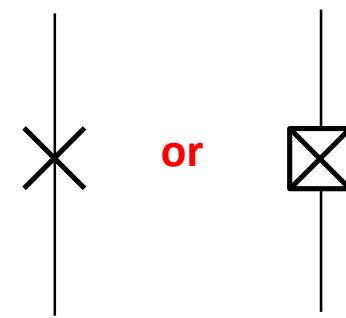
$$\frac{\partial \varphi}{\partial t} = \frac{2\pi}{\Phi_0} \int_1^2 \mathbf{E}(\mathbf{r}, t) \cdot d\mathbf{l} \quad \Rightarrow \quad \frac{d\varphi}{dt} = \frac{2\pi}{\Phi_0} V$$

Uniform phase difference → Total derivative



outdated symbols (rarely ever used)

up-to-date symbols  
for Josephson junctions  
(both "0" and " $\pi$ ")



or

## 2.1.2 The Josephson coupling energy

Finite energy stored in JJ: overlap of macroscopic wave functions → **Binding energy**

Initial current & phase difference → Zero

Increase junction current from zero to a finite value

→ Phase difference has to change

→ 2-nd Josephson relation: finite-voltage state in a junction

→ **External source has to supply energy** (to accelerate the superelectrons)

→ Stored kinetic energy of moving superelectrons

→ Integral of the power =  $I_s V$  (voltage **during** current increase)

$$E_J = \int_0^{t_0} I_s V \, dt = \int_0^{t_0} (I_c \sin \tilde{\varphi}) \left( \frac{\Phi_0}{2\pi} \frac{d\tilde{\varphi}}{dt} \right) dt \quad \begin{aligned} \tilde{\varphi}(0) &= 0 \\ \tilde{\varphi}(t_0) &= \varphi \end{aligned} = \frac{\Phi_0 I_c}{2\pi} \int_0^{\varphi} \sin \tilde{\varphi} \, d\tilde{\varphi}$$

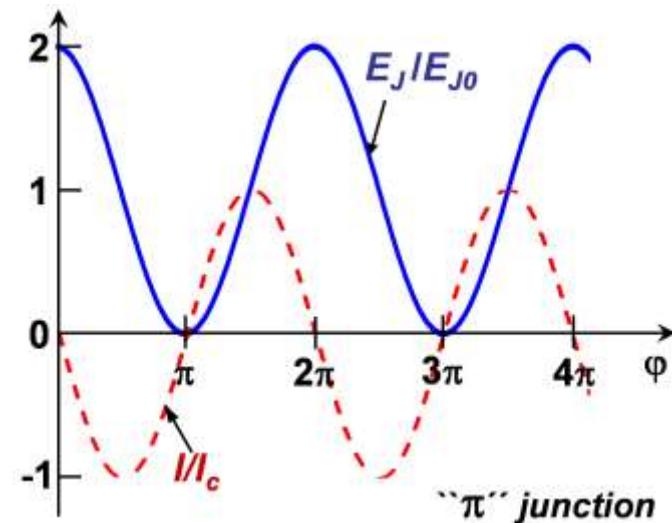
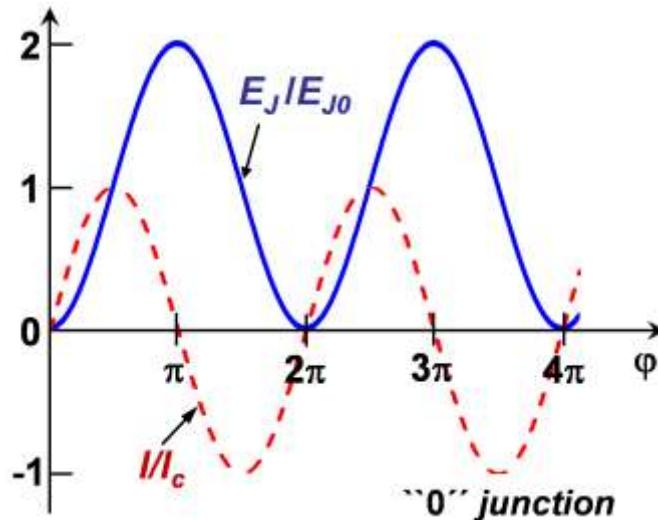
Integration →  $E_J = \frac{\Phi_0 I_c}{2\pi} (1 - \cos \varphi) = \underbrace{E_{J0} (1 - \cos \varphi)}_{\text{Josephson coupling energy}}$

Josephson coupling energy

## 2.1.2 The Josephson coupling energy

Josephson coupling energy

$$E_J = \frac{\Phi_0 I_c}{2\pi} (1 - \cos \varphi) = E_{J0} (1 - \cos \varphi)$$



Order of magnitude

- Traditional applications →  $I_c \approx 1 \text{ mA} \rightarrow E_{J0} \approx 3 \times 10^{-19} \text{ J} \approx k_B \times 20000 \text{ K}$
- Quantum circuits:  $I_c \approx 1 \mu\text{A} \rightarrow E_{J0} \approx 3 \times 10^{-22} \text{ J} \approx k_B \times 20 \text{ K}$

## 2.1.3 The superconducting state

$|I| < I_c \rightarrow$  Constant phase difference  $\varphi = \tilde{\varphi}_n = \arcsin \frac{I}{I_c} + 2\pi n$   
 $\rightarrow$  Zero junction voltage  $\rightarrow$  Zero-voltage state / ordinary (S) state

$$\varphi = \tilde{\varphi}_n = \pi - \arcsin \left( \frac{I}{I_c} \right) + 2\pi n$$

In practice  $\rightarrow$  Junction + current source  $\rightarrow$  Stability analysis

$E_{\text{pot}} = E - F \cdot x \rightarrow$  Potential energy of the system under action of external force

$E$   $\rightarrow$  Intrinsic free energy of the junction

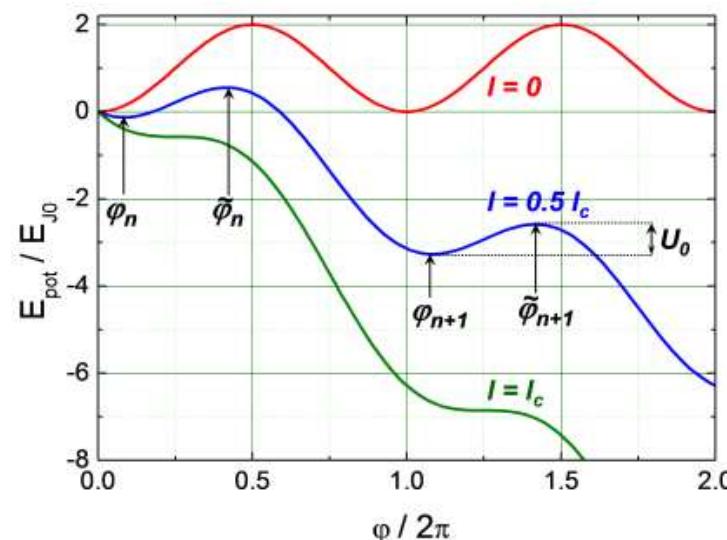
$F \leftrightarrow I$   $\rightarrow$  Generalized force

$x$   $\rightarrow$  Generalized coordinate

$F \cdot \frac{\partial x}{\partial t} \leftrightarrow I \cdot V$   $\rightarrow$  Power flowing into subsystem

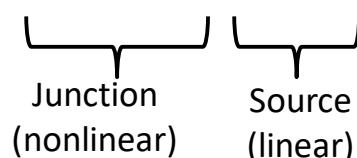
$$x \leftrightarrow \int V dt = \frac{\hbar}{2e} \varphi + c = \frac{\Phi_0}{2\pi} \varphi + c$$

$\rightarrow$  Tilted washboard potential



$\rightarrow$  Potential energy:

$$\begin{aligned} E_{\text{pot}}(\varphi) &= E_J - I \left( \frac{\Phi_0}{2\pi} \varphi + c \right) \\ &= E_{J0} \left( 1 - \cos \varphi - \frac{I}{I_c} \varphi \right) + \tilde{c} \end{aligned}$$



Stable minima  $\varphi_n$   
 Unstable maxima  $\tilde{\varphi}_n$   
 Equivalent states for different  $n$

## 2.1.3 The superconducting state

Properties of the washboard potential

$$U_0 \equiv E_{\text{pot}}(\varphi_{n+1}) - E_{\text{pot}}(\tilde{\varphi}_{n+1}) = 2E_{J0} \left[ \sqrt{1 - \left(\frac{I}{I_c}\right)^2} - \frac{I}{I_c} \arccos\left(\frac{I}{I_c}\right) \right]$$

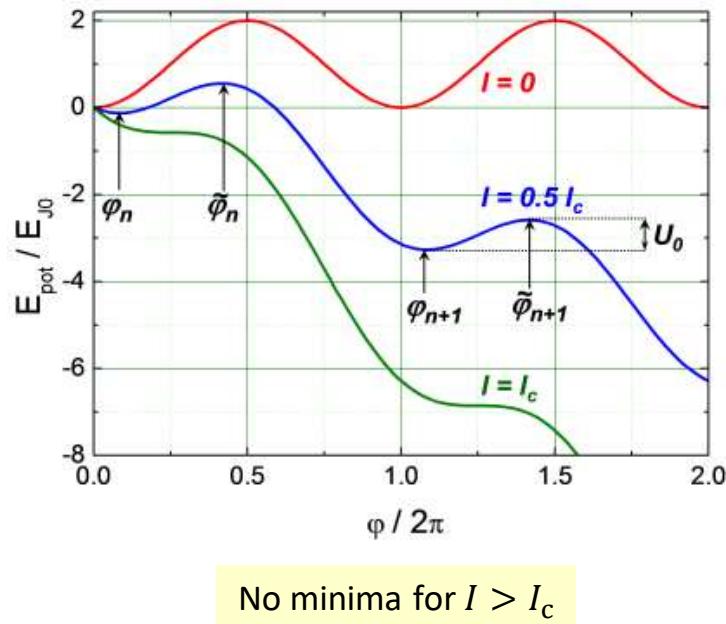
$$k \equiv \frac{\partial^2 E_{\text{pot}}}{\partial \varphi^2} = E_{J0} \sqrt{1 - \left(\frac{I}{I_c}\right)^2} \quad \rightarrow 0 \text{ for } I \rightarrow I_c$$

curvature at potential minimum

Close to  $I_c \rightarrow \alpha \equiv 1 - \frac{I}{I_c} \ll 1 \rightarrow$  Simplified approximations

$$\varphi_0 = \frac{\pi}{2} - \sqrt{2\alpha} \quad \tilde{\varphi}_0 = \frac{\pi}{2} + \sqrt{2\alpha} \quad U_0 = \frac{2}{3} E_{J0} (2\alpha)^{2/3} \quad k = E_{J0} (2\alpha)^{1/2}$$

Washboard potential extremely useful in describing junction dynamics for  $I > I_c$



## 2.1.4 The Josephson inductance

Energy storage in JJ → Nonlinear reactance

$$\frac{dI_s}{dt} = I_c \cos \varphi \frac{d\varphi}{dt} \Rightarrow \frac{dI_s}{dt} = I_c \cos \varphi \frac{2\pi}{\Phi_0} V$$

$$I_s(t) = I_c \sin \varphi(t)$$
$$\frac{d\varphi}{dt} = \frac{2\pi}{\Phi_0} V$$

For small variations near  $I_s = I_c \sin \varphi$  → JJ equivalent to inductance

$$L_s = \frac{\Phi_0}{2\pi I_c \cos \varphi} = L_c \frac{1}{\cos \varphi}$$

with  $L_c = \frac{\hbar}{2eI_c}$

Josephson  
inductance

Properties of the Josephson inductance:

Negative for  $\pi/2 + 2\pi n < \varphi < 3\pi/2 + 2\pi n$

( $V > 0 \rightarrow$  Oscillating Josephson current)

## 2.1.5 Mechanical analogs

### The pendulum analog

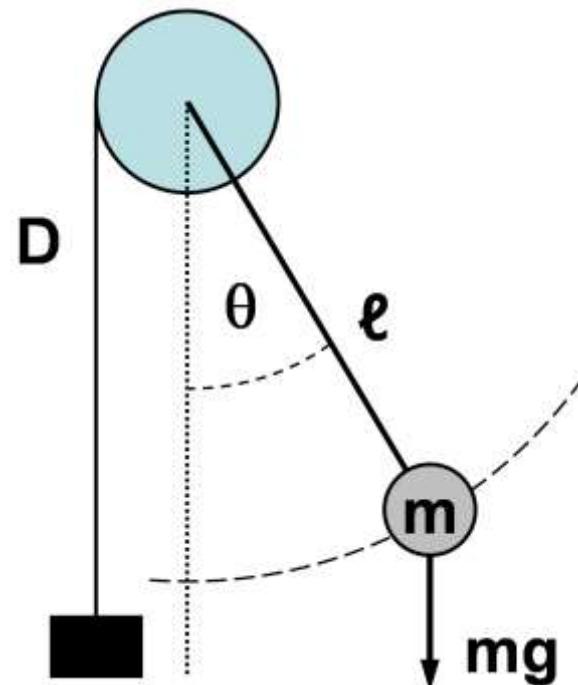
- Plane mechanical pendulum in uniform gravitational field
- Mass  $m$ , length  $\ell$ , deflection angle  $\theta$
- Torque  $D$  parallel to rotation axis
- Restoring torque:  $mg\ell \sin \theta$

$$\text{Equation of motion} \rightarrow D = \Theta \ddot{\theta} + \Gamma \dot{\theta} + mg\ell \sin \theta$$

$\Theta = m\ell^2$  Moment of inertia

$\Gamma$  Damping constant

Analogies	$I$	$\leftrightarrow$	$D$
	$I_c$	$\leftrightarrow$	$mg\ell$
	$\frac{\Phi_0}{2\pi R}$	$\leftrightarrow$	$\Gamma$
	$\frac{C\Phi_0}{2\pi}$	$\leftrightarrow$	$\theta$
	$\varphi$	$\leftrightarrow$	$\theta$



For  $D = 0 \rightarrow$  Oscillations around equilibrium with

$$\omega = \sqrt{\frac{g}{\ell}} \leftrightarrow \text{Plasma frequency } \omega_p = \sqrt{\frac{2\pi I_c}{\Phi_0 C}}$$

Finite torque ( $D > 0$ )  $\rightarrow$  Finite  $\theta_0 \rightarrow$  Finite, but constant  $\varphi_0 \rightarrow$  Zero-voltage state

## 2.1.5 Mechanical analogs

$$E_{\text{pot}}(\varphi) = E_{J0} \left[ 1 - \cos \varphi - \frac{I}{I_c} \varphi \right]$$

### The washboard potential

Particle moving in **tilted washboard potential**  $E_{\text{pot}}(\varphi) = E_{J0} \left( 1 - \cos \varphi - \frac{I}{I_c} \varphi \right)$

→ Analogies

Coordinate  $x$

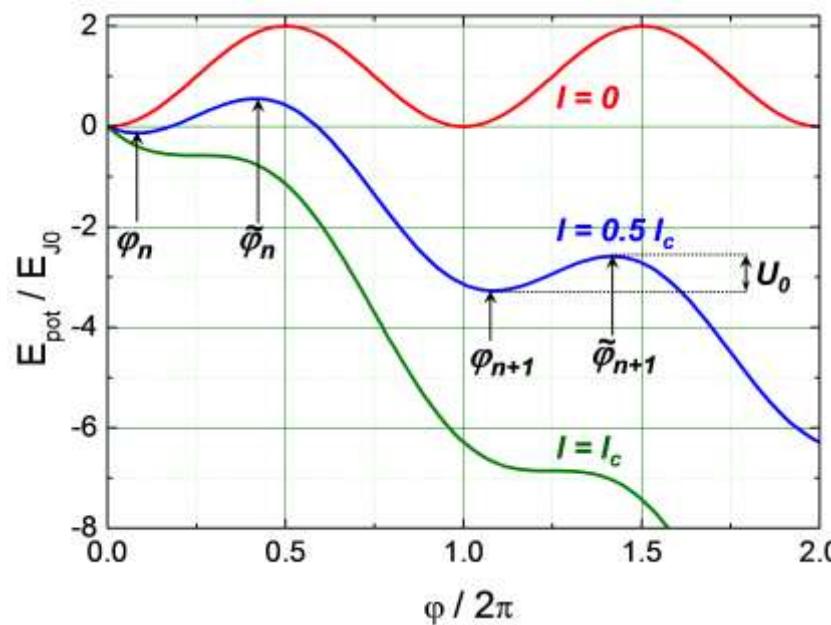
$\leftrightarrow \varphi$

Velocity  $v$

$\leftrightarrow \frac{d\varphi}{dt} \propto V$

Mass  $m$

$\leftrightarrow$  Junction capacitance  $C$



## 2.2 Short Josephson Junctions

So far: zero-dimensional JJ (lumped elements)

→ Homogeneous supercurrent density and phase difference

Now: extended junctions

→ Spatial variations  $J_s(\mathbf{r})$  and  $\varphi(\mathbf{r})$

→ Consider magnetic field generated by the Josephson current itself („self-field“)

Short Josephson junctions

→ Self-field small compared to external field

Long Josephson junctions

→ Self-field no longer negligible

Relevant length scale for transition from short to long Josephson junction:

$$\text{Josephson penetration depth} \quad \lambda_J = \sqrt{m_s / \mu_0 n_s q_s^2} \gg \lambda_L$$

density in weak coupling region

JJ at finite voltage → Temporal interference → Oscillation of Josephson current

JJ at finite phase gradient → Spatial interference → Magn. field dep. of Josephson current

## 2.2.1 Quantum interference effects - Short JJ in applied field

External magnetic field

→ Spatial change of gauge invariant phase difference  $\varphi(\mathbf{r})$

→ Spatial interference of macroscopic wave functions in JJ

Specific geometry

Insulating barrier thickness  $d$

Junction area  $A = L \times W$

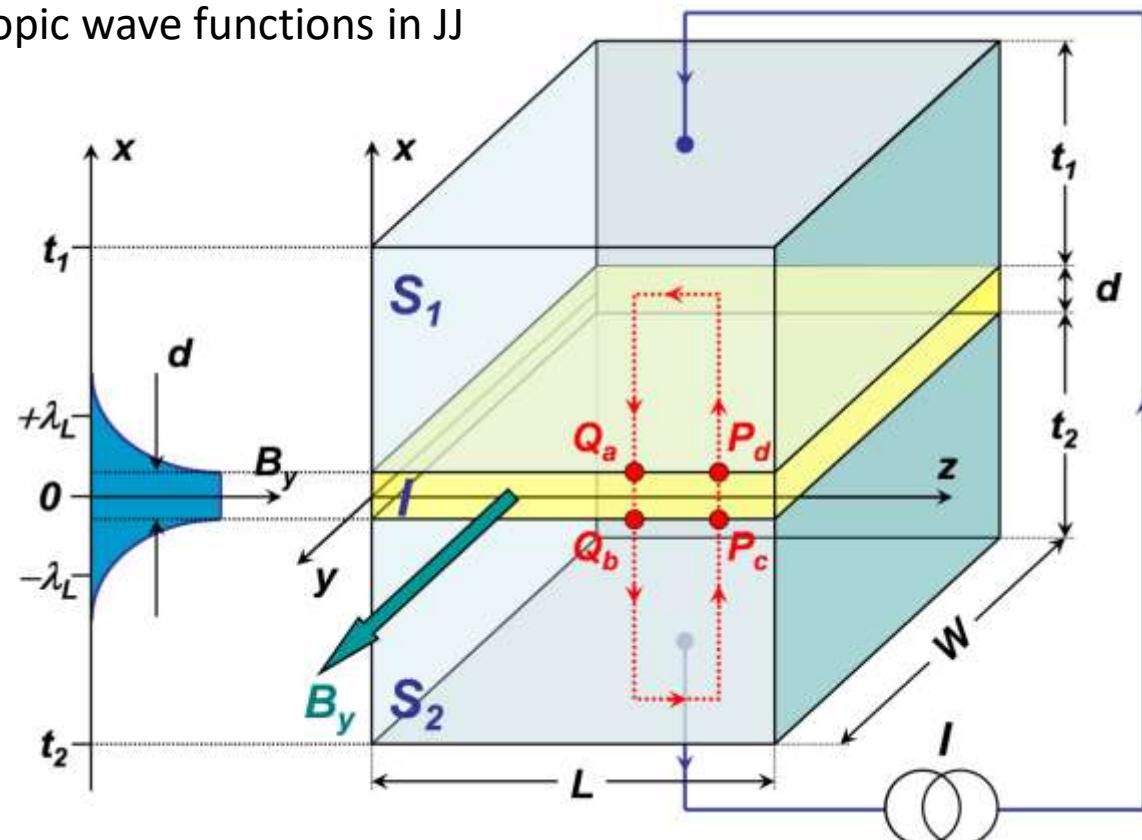
Edge effects small:  $W, L \gg d$

Electrode thickness  $> \lambda_L$

Ext. magnetic field  $\mathbf{B}_e = (0, B_y, 0)$

Magnetic thickness

$$t_B = d + \lambda_{L,1} + \lambda_{L,2}$$



Effect of  $\mathbf{B}_e$  on  $J_s$

→ Phase shift  $\varphi(P) - \varphi(Q)$  between two points  $P$  and  $Q$  separated by  $dz$

→ Line integral along red contour yields total phase change along closed contour

## 2.2.1 Quantum interference effects - Short JJ in applied field

$$\oint_C \nabla\theta \cdot d\mathbf{l} = (\theta_{Q_b} - \theta_{Q_a}) + (\theta_{P_c} - \theta_{Q_b}) + (\theta_{P_d} - \theta_{P_c}) + (\theta_{Q_a} - \theta_{P_d}) = 0$$

1                    2                    3                    4

Gauge invariant phase gradient in the bulk superconductor:

$$\nabla\theta = \frac{2\pi}{\Phi_0} (\Lambda \mathbf{J}_s + \mathbf{A})$$

Gauge invariant phase difference across the barrier:

$$\varphi = \theta_2 - \theta_1 - \frac{2\pi}{\Phi_0} \int_1^2 \mathbf{A} \cdot d\mathbf{l}$$

**1 & 3** are differences acrosss the junction:

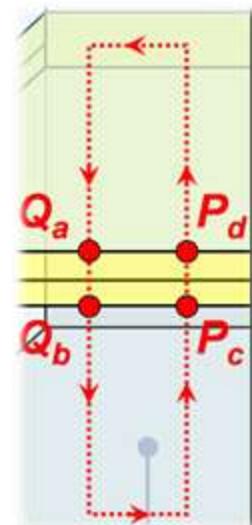
$$\theta_{Q_b} - \theta_{Q_a} = +\varphi(Q) + \frac{2\pi}{\Phi_0} \int_{Q_a}^{Q_b} \mathbf{A} \cdot d\mathbf{l}$$

$$\theta_{P_d} - \theta_{P_c} = -\varphi(P) + \frac{2\pi}{\Phi_0} \int_{P_c}^{P_d} \mathbf{A} \cdot d\mathbf{l}$$

**2 & 4** differences in the bulk, supercurrent equation for  $\nabla\theta$ :

$$\theta_{P_c} - \theta_{Q_b} = \int_{Q_b}^{P_c} \nabla\theta \cdot d\mathbf{l} = \frac{2\pi}{\Phi_0} \int_{Q_b}^{P_c} \Lambda \mathbf{J}_s \cdot d\mathbf{l} + \frac{2\pi}{\Phi_0} \int_{Q_b}^{P_c} \mathbf{A} \cdot d\mathbf{l}$$

$$\theta_{Q_a} - \theta_{P_d} = \int_{P_d}^{Q_a} \nabla\theta \cdot d\mathbf{l} = \frac{2\pi}{\Phi_0} \int_{P_d}^{Q_a} \Lambda \mathbf{J}_s \cdot d\mathbf{l} + \frac{2\pi}{\Phi_0} \int_{P_d}^{Q_a} \mathbf{A} \cdot d\mathbf{l}$$



## 2.2.1 Quantum interference effects - Short JJ in applied field

Substitution →  $\varphi(Q) - \varphi(P) = -\frac{2\pi}{\Phi_0} \oint_C \mathbf{A} \cdot d\mathbf{l} - \frac{2\pi}{\Phi_0} \int_{Q_b}^{P_c} \Lambda \mathbf{J}_s \cdot d\mathbf{l} - \frac{2\pi}{\Phi_0} \int_{P_d}^{Q_a} \Lambda \mathbf{J}_s \cdot d\mathbf{l}$

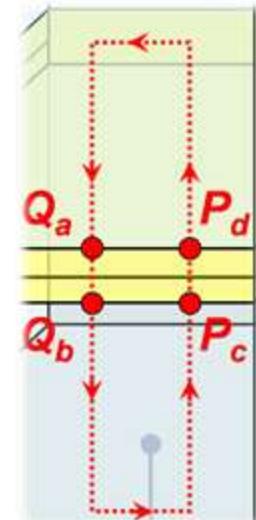
Integration of  $\mathbf{A}$  around closed contour → Enclosed flux  $\Phi$

Integration of  $\mathbf{J}_s$  excludes insulating barrier → Incomplete contour  $C'$

$$\oint_{C'} \Lambda \mathbf{J}_s \cdot d\mathbf{l} = \int_{Q_b}^{P_c} \Lambda \mathbf{J}_s \cdot d\mathbf{l} + \int_{P_d}^{Q_a} \Lambda \mathbf{J}_s \cdot d\mathbf{l}$$

Difference of gauge invariant phase differences  $\varphi(Q) - \varphi(P)$

$$\varphi(Q) - \varphi(P) = -\frac{2\pi\Phi}{\Phi_0} - \frac{2\pi}{\Phi_0} \oint_{C'} \Lambda \mathbf{J}_s \cdot d\mathbf{l}$$



Line integral of supercurrent density  $\mathbf{J}_s$

→ Segments in  $x$ -direction cancel (separation:  $dz \rightarrow 0$ )

→ Segments in  $z$ -direction: deep inside SC ( $> \lambda_L$ ) →  $\mathbf{J}_s$  exponentially small

$$\rightarrow \varphi(P) - \varphi(Q) = \frac{2\pi\Phi}{\Phi_0} \quad \frac{\varphi(P) - \varphi(Q)}{2\pi} = \frac{\Phi}{\Phi_0}$$

Total flux enclosed by the loop →  $\Phi = B_y \underbrace{(d + \lambda_{L1} + \lambda_{L2})}_{\text{Magnetic thickness } t_B} dz = B_y t_B dz$

## 2.2.1 Quantum interference effects - Short JJ in applied field

$$\varphi(P) - \varphi(Q) = \frac{2\pi\Phi}{\Phi_0}$$

$$\Phi = B_y(d + \lambda_{L1} + \lambda_{L2})dz = B_y t_B dz$$

$$\Rightarrow \varphi(P) - \varphi(Q) = \frac{2\pi}{\Phi_0} B_y t_B dz = \frac{\partial \varphi}{\partial z} dz$$

$$\Rightarrow \frac{\partial \varphi}{\partial z} = \frac{2\pi}{\Phi_0} B_y t_B$$

Similar argument for  $P$  and  $Q$  separated by  $dy$  in  $y$ -direction

$$\nabla \varphi(\mathbf{r}, t) = \frac{2\pi}{\Phi_0} t_B [\mathbf{B}(\mathbf{r}, t) \times \hat{\mathbf{x}}]$$

Integration gives:  $\varphi(z) = \frac{2\pi}{\Phi_0} B_y t_B z + \varphi_0$

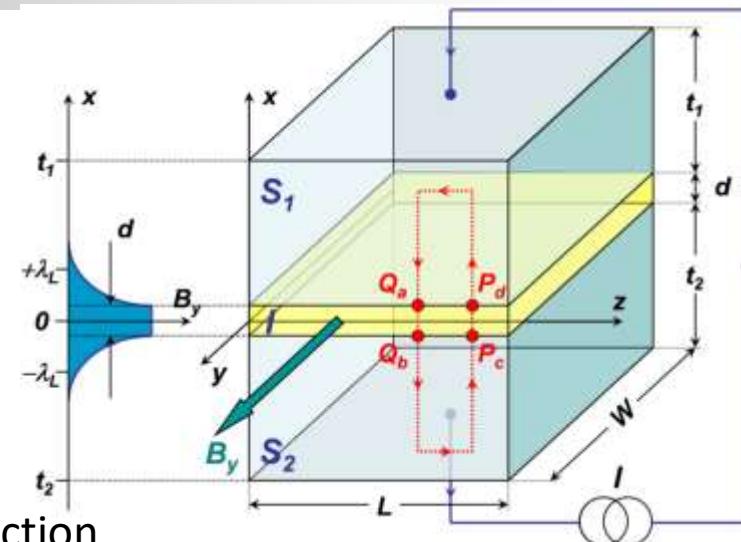
Extended 1-st Josephson (current-phase) relation:

$$J_s(y, z, t) = J_c(y, z) \sin \left( \frac{2\pi}{\Phi_0} t_B B_y z + \varphi_0 \right) = J_c(y, z) \sin(kz + \varphi_0)$$

with  $k = \frac{2\pi}{\Phi_0} t_B B_y$

$J_s$  varies periodically with period  $\Delta z = \frac{2\pi}{k} = \frac{\Phi_0}{t_B B_y}$

Flux through the junction within one period:  $\Phi_0$



B-field component parallel to junction plane induces phase gradient

$\varphi_0$ : phase difference at  $z = 0$

## 2.2.2 The Fraunhofer diffraction pattern

How does  $I_s = \iint J_s(y, z) dy dz$  depend on the applied field  $\mathbf{B}_e = (0, B_y, 0)$ ?

Integration in  $y$ -direction:  $i_c(z) = \int_{-W/2}^{W/2} J_c(y, z) dy$   $k = \frac{2\pi}{\Phi_0} t_B B_y$

$$\Rightarrow I_s(B_y) = \int_{-L/2}^{L/2} i_c(z) \sin(kz + \varphi_0) dz = \Im \left\{ e^{i\varphi_0} \int_{-\infty}^{\infty} i_c(z) e^{ikz} dz \right\}$$

Integral: complex, multiplication by  $e^{i\varphi_0}$  does not change magnitude

→ Magnitude yields **maximum Josephson current**

$$I_s^m(B_y) = \left| \int_{-\infty}^{\infty} i_c(z) e^{ikz} dz \right|$$

Magnetic field dependence of  $I_s^m$   
 → **Fourier transform** of  $i_c(z)$   
 → Analogy to optics

$J_c(y, z)$  homogeneous →  $i_c(z)$  constant → Diffraction pattern of a slit → **Fraunhofer pattern**

$$I_s^m(\Phi) = I_c \left| \frac{\sin \frac{kL}{2}}{\frac{kL}{2}} \right| = I_c \left| \frac{\sin \frac{\pi\Phi}{\Phi_0}}{\frac{\pi\Phi}{\Phi_0}} \right|$$

$\Phi = B_y t_b L$  Flux through the junction  
 $I_c = i_c L$

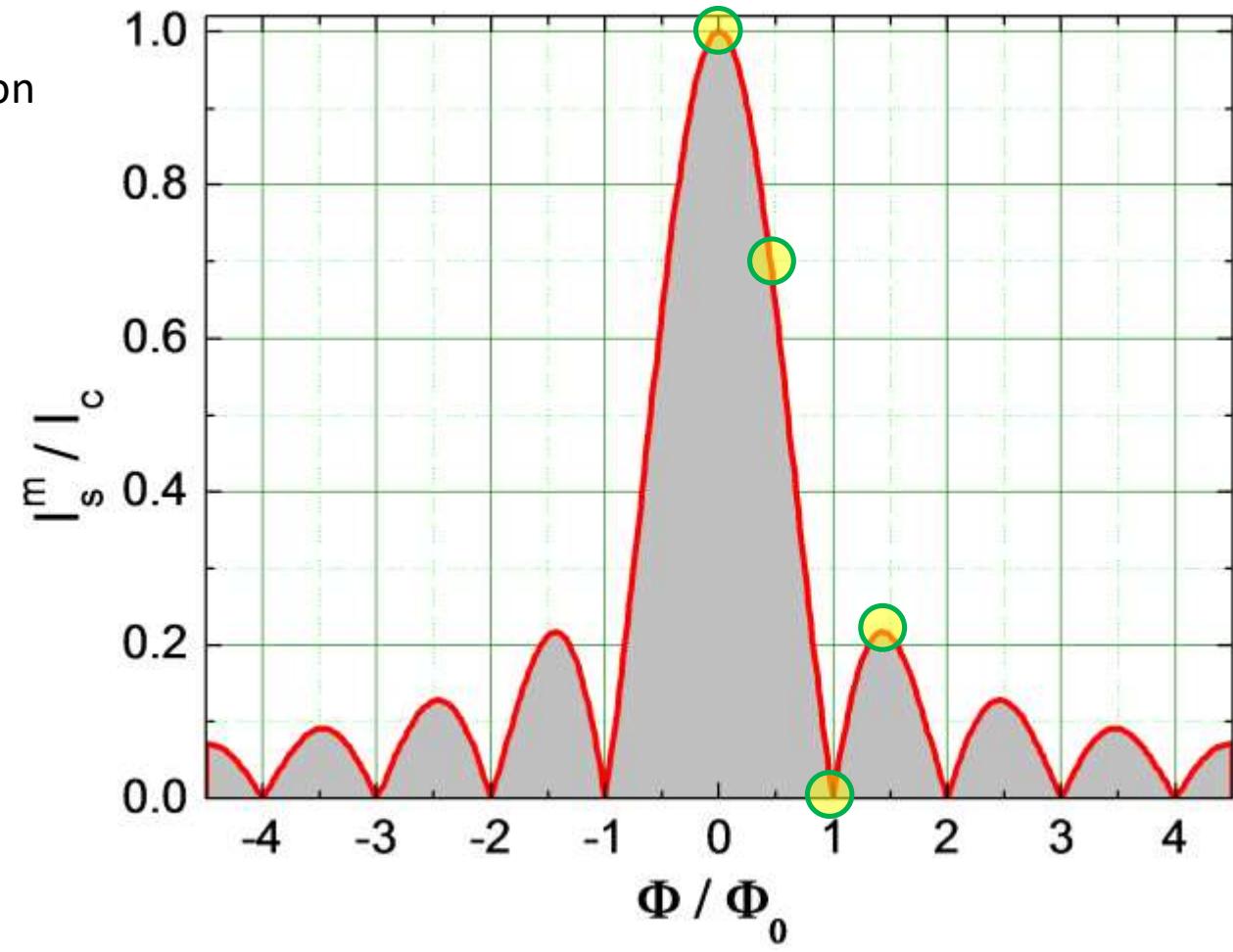
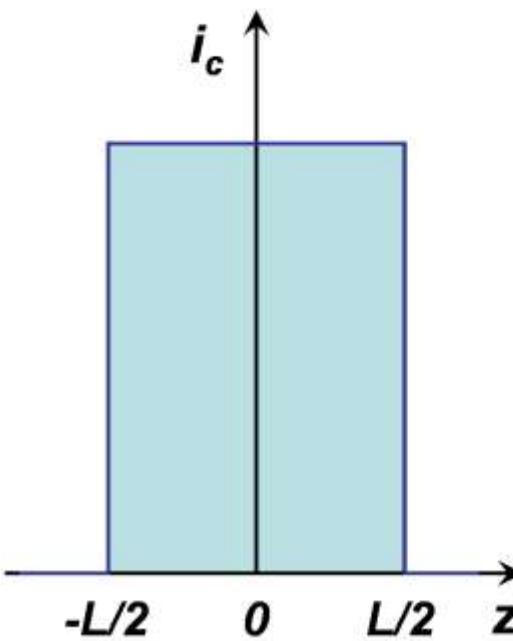
**Experimental observation of  $I_s^m(\Phi)$**  → Proof of Josephson tunneling of pairs

## 2.2.2 The Fraunhofer diffraction pattern

Spatially homogeneous maximum current density  $J_c(y, z)$

→ Fraunhofer diffraction pattern

Maximum current density  
integrated along  $y$ -direction

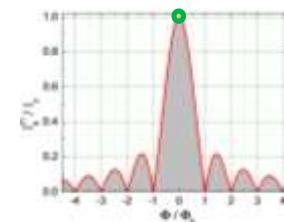


Experiment → Study the homogeneity of the supercurrent flow in JJ

## 2.2.2 The Fraunhofer diffraction pattern

### Interpretation of the shape of $I_s^m(\Phi)$

→ Spatial distribution  $i_s(z) = \int J_s(y, z) dy$   
for different applied fields

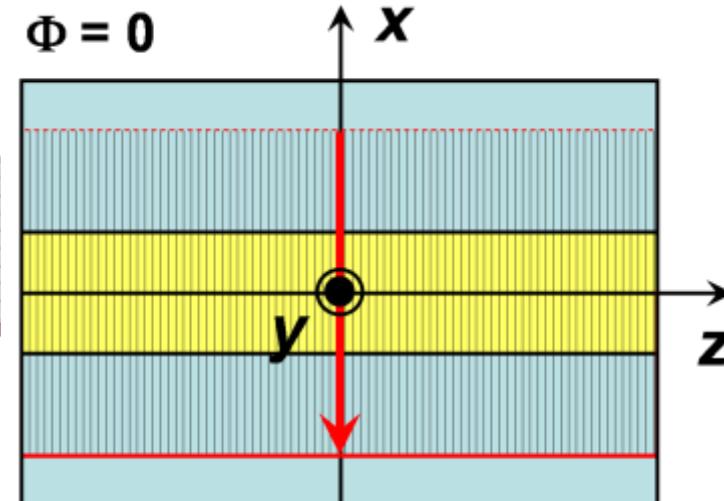


$$\Phi = 0$$

→  $\varphi(z) = \varphi_0$   
→  $i_s(z) = \text{const.}$

→ Josephson current maximum for  $\varphi_0 = -\frac{\pi}{2}$

→  $J_s(y, z) = -J_c(y, z)$

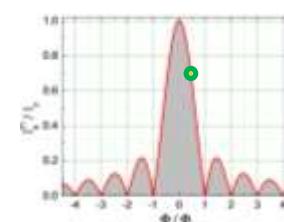


$$\Phi = \Phi_0/2$$

$$\varphi(z) = \frac{2\pi\Phi}{\Phi_0} \frac{z}{L} + \varphi_0 = \frac{\pi z}{L} + \varphi_0$$

→ Sinusoidal supercurrent variation with  $z$   
difference between edges:

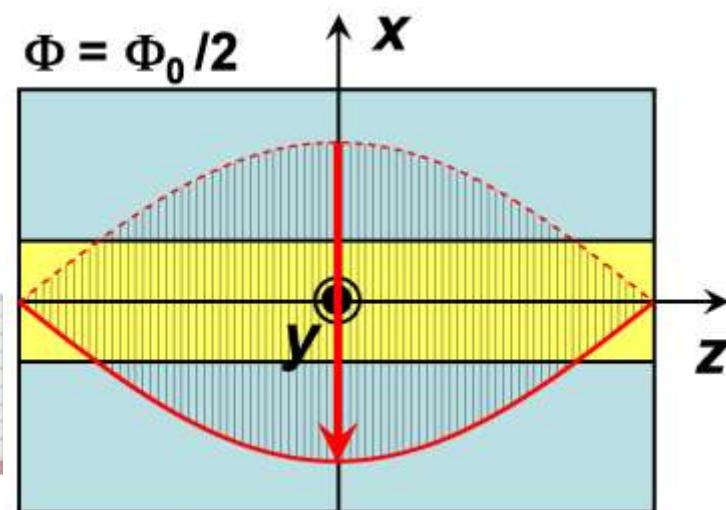
$$\varphi(L/2) - \varphi(-L/2) = \pi$$



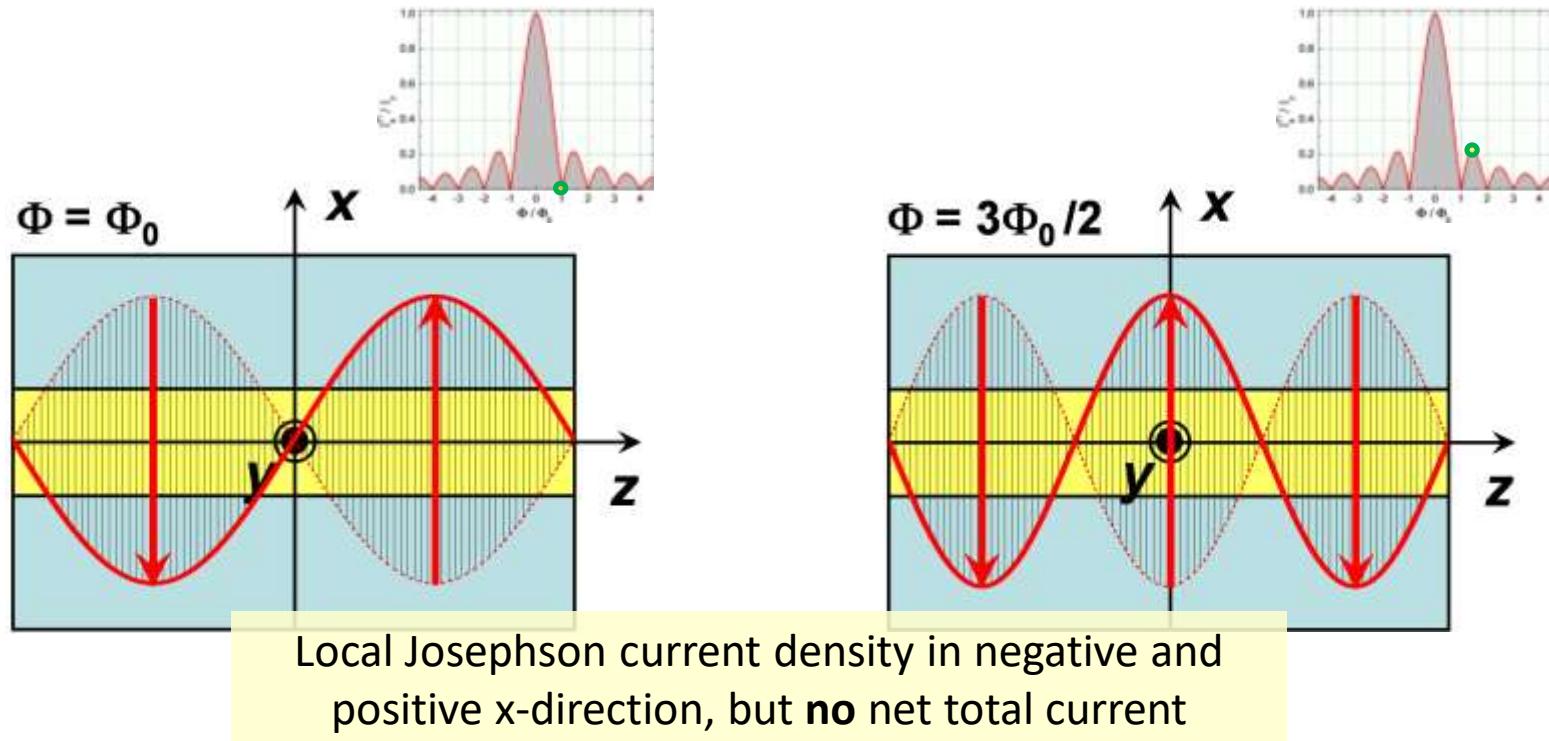
→ Half of an oscillation period

→ Josephson current maximum for  $\varphi_0 = -\frac{\pi}{2}$

→ Linear increase of the phase from  $-\pi$  at  $z = -\frac{L}{2}$  to  $0$  at  $z = +\frac{L}{2}$



## 2.2.2 The Fraunhofer diffraction pattern



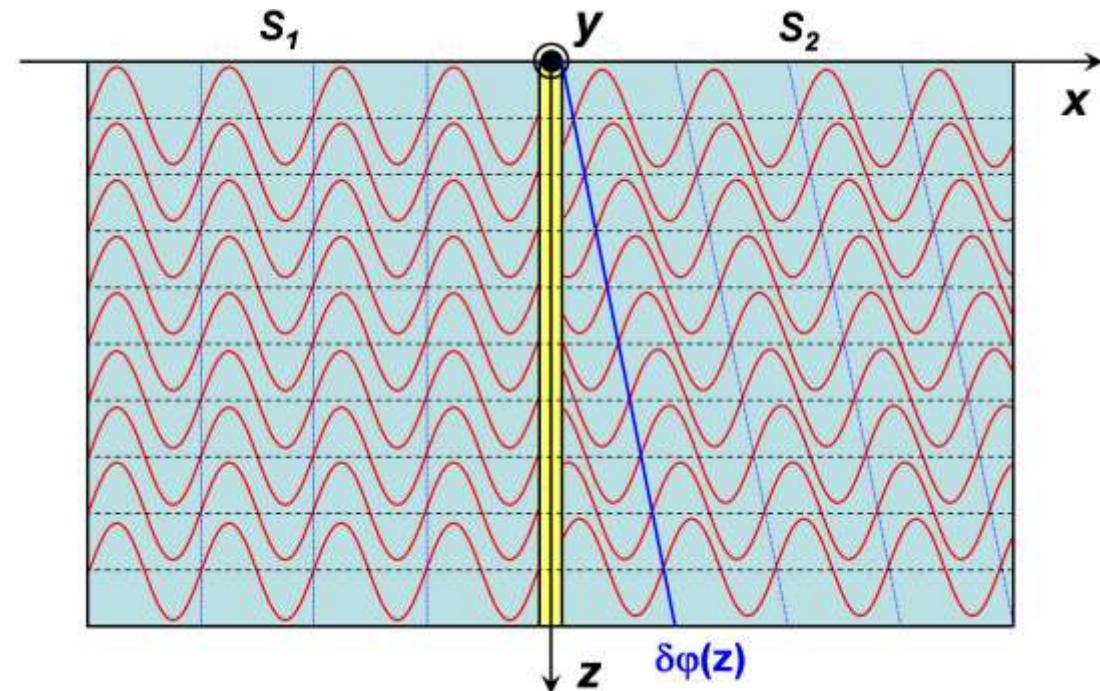
→ Josephson current tends to decrease with increasing field

## 2.2.2 The Fraunhofer diffraction pattern

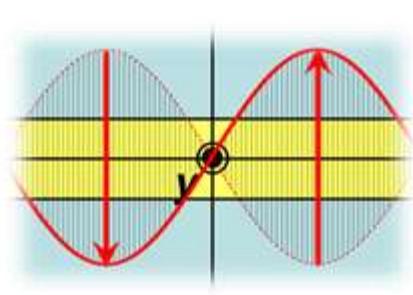
Spatial interference effect of macroscopic wave functions

→ Plane of constant phase in superconductor 2 is **tilted** by  $\delta\varphi(z) = \frac{2\pi}{\Phi_0} B_y t_B z + \varphi_0$

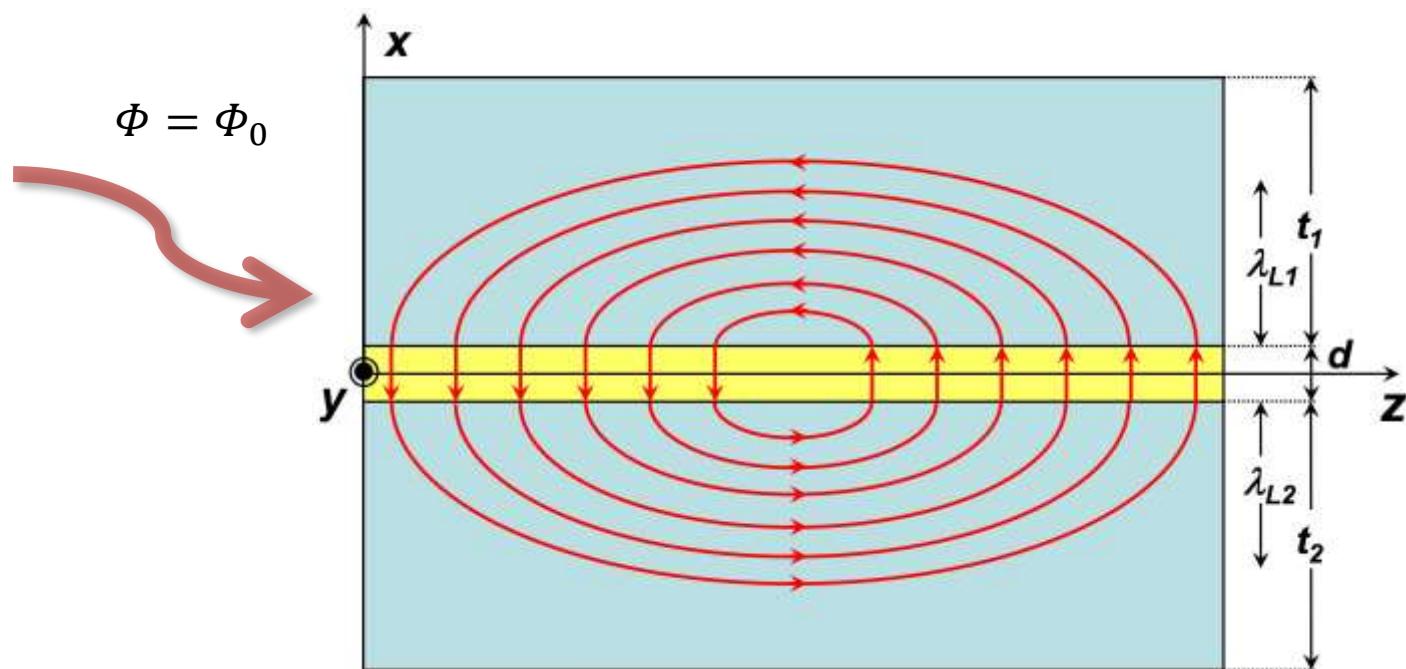
here: destructive interference



## 2.2.2 The Fraunhofer diffraction pattern



$$\Phi = \Phi_0$$



- Closed current loop
- No penetration of applied field into electrodes
- **Josephson vortex**
- No normal core because vortex core naturally in barrier region

## 2.2.2 The Fraunhofer diffraction pattern

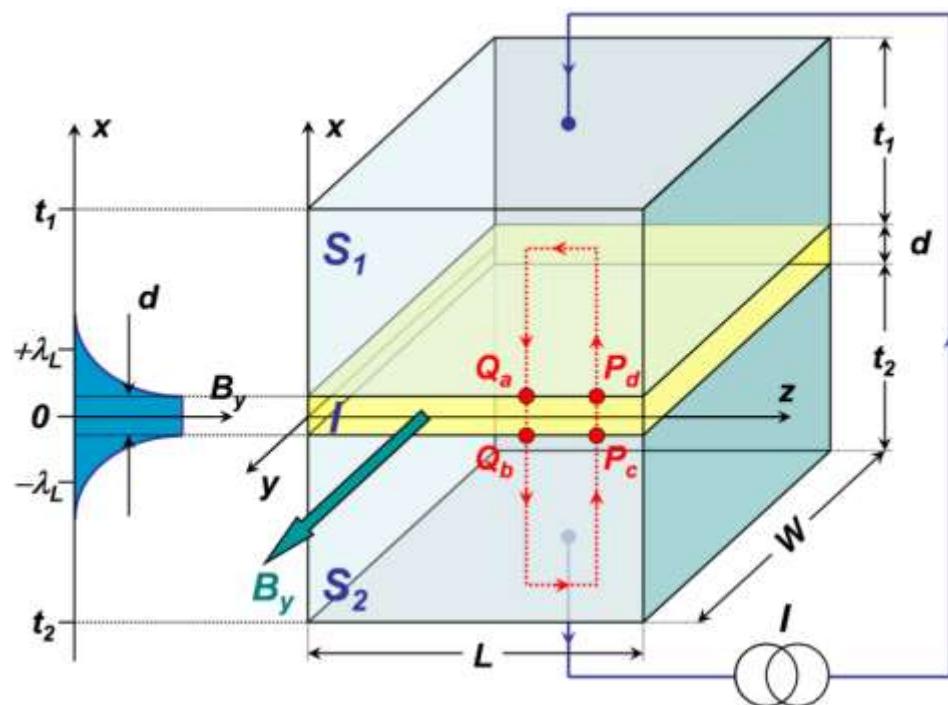
Arbitrary direction of the applied field within barrier plane

$$\mathbf{B}_e = B_y \hat{\mathbf{y}} + B_z \hat{\mathbf{z}}$$

$$\Rightarrow I_s^m(\Phi) = I_c \left| \frac{\sin \frac{\pi \Phi_y}{\Phi_0}}{\frac{\pi \Phi_y}{\Phi_0}} \right| \left| \frac{\sin \frac{\pi \Phi_z}{\Phi_0}}{\frac{\pi \Phi_z}{\Phi_0}} \right|$$

$$\Phi_y = B_y t_b L \quad \Phi_z = B_z t_b W$$

$$\Rightarrow I_s^m(\mathbf{B}_e) = \left| \int_S J_c(y, z) e^{i\mathbf{k} \cdot \mathbf{r}} dS \right|$$



## 2.2.3 Additional topic:

### Determination of the maximum Josephson current density

#### Inhomogeneous junctions

E.g., spatially varying barrier thickness

Experimental determination of  $J_c(y, z)$  by measuring  $I_s^m(B)$ ?

→ No access via inverse Fourier transform  
(Lack of phase information)

→ Approximate  $I_c(z)$  under certain assumptions  
Example → Symmetry to junction midpoint

$$i_c(z - L/2) = \frac{1}{\pi} \int_0^{\infty} |I_s^m(k)| \cos(kz) (-1)^{n(k)} dk \quad k = \frac{2\pi}{\Phi_0} t_B B_y$$

$n \hat{=} \text{number of zeros of } |I_s^m(k)| \text{ between } 0 \text{ and } k$

#### Spatial resolution

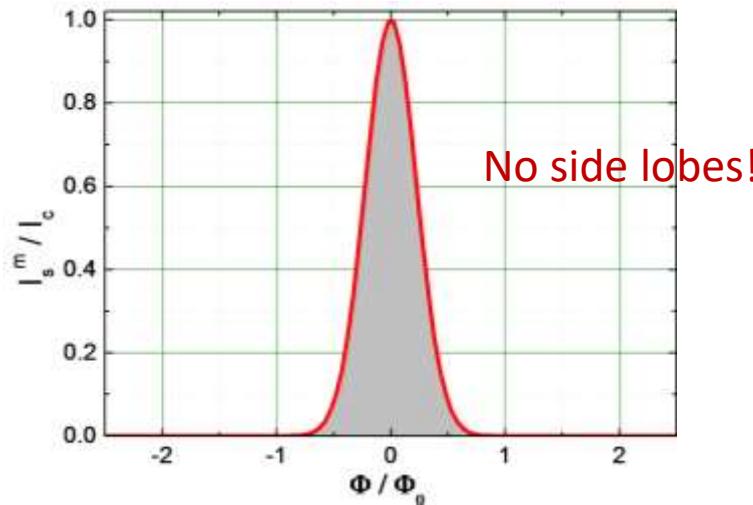
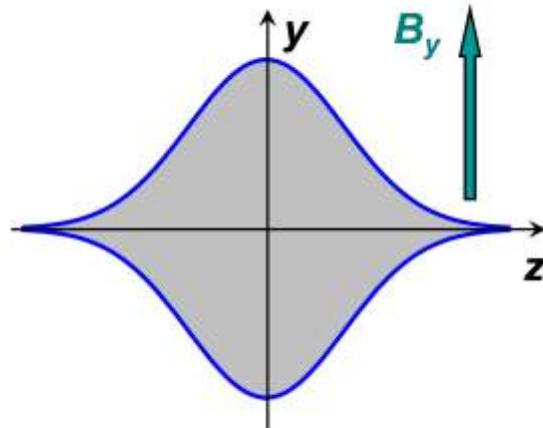
- Information on  $J_c(y, z)$  on small length scale?  
→ Spatial resolution  $\propto B_y^{-1}$
- High B-fields required!  
→ Spatial resolution for fields  $\Phi \leq \Phi_0 \rightarrow$  Junction length  $L$
- $$\frac{2\pi}{k} = \frac{\Phi_0}{t_B} \frac{1}{B_y} = L \frac{\Phi_0}{\Phi}$$

## 2.2.3 Additional topic: Determination of the maximum Josephson current density

### Tailored junctions

Sometimes Fraunhofer sidelobes not desired (X-ray detectors)

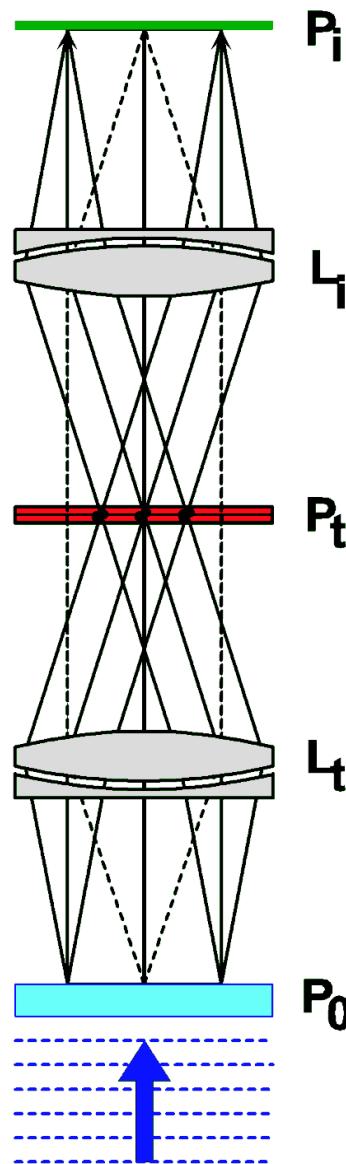
→ Gaussian profile  $i_c(z) = i_c(0) \exp\left(-\frac{z^2}{2\sigma^2}\right)$



- Junction shape should approach Gauss curve for homogeneous  $J_c(y, z)$
- Integrated current density in y-direction  $i_c(z) = \int J_c(y, z) dy \rightarrow$  Gaussian profile

$$I_s^m(\Phi) = \sqrt{\frac{1}{2\pi}} i_c(0) L \exp(-\sigma k^2) = \sqrt{\frac{1}{2\pi}} i_c(0) L \exp\left(-\sigma \frac{4\pi^2 \Phi^2}{L^2 \Phi_0^2}\right)$$

## 2.2.3 Additional topic: Determination of the maximum Josephson current density



### Additional topic: Supercurrent auto-correlation function

Comparison

Optical diffraction experiment

Transmission function  $P_0(z)$

Square root of light intensity  $P_t$  in focal plane

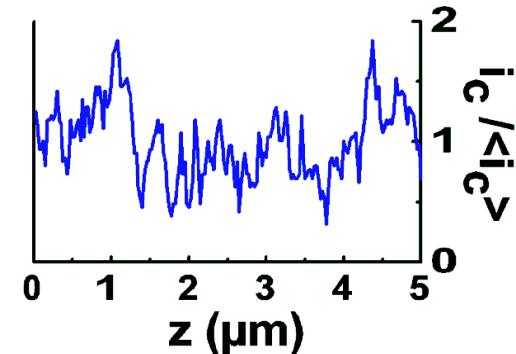
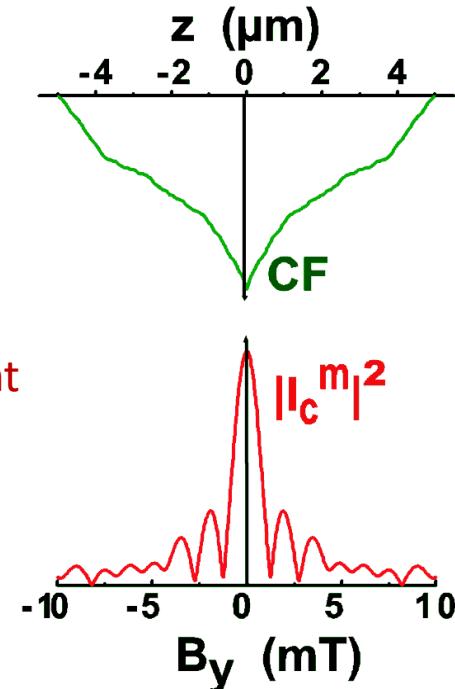
BackTransform  
→  $P_i$  (spatial resolution given by number of diffraction orders)

Field dependence of max. Josephson current

$i_c(z)$

$I_s^m(B_y)$

Phase is lost  
→ BackTransform of intensity  $(I_c^m)^2(B_y)$   
→ Autocorrelation function of the supercurrent distribution



## 2.2.3 Additional topic: Determination of the maximum Josephson current density

Definition of auto-correlation function

→ Overlap of  $i_c(z)$  with itself, but shifted by  $\delta$

Wiener-Khinchine theorem

→ Autocorrelation function of  $i_c(z)$ :

$$AC(\delta) = \int_{-\infty}^{\infty} |I_s^m(k)|^2 e^{ik\delta} dk$$

$$k = \frac{2\pi}{\Phi_0} t_B B_y = \frac{1}{L} 2\pi \frac{\Phi}{\Phi_0}$$

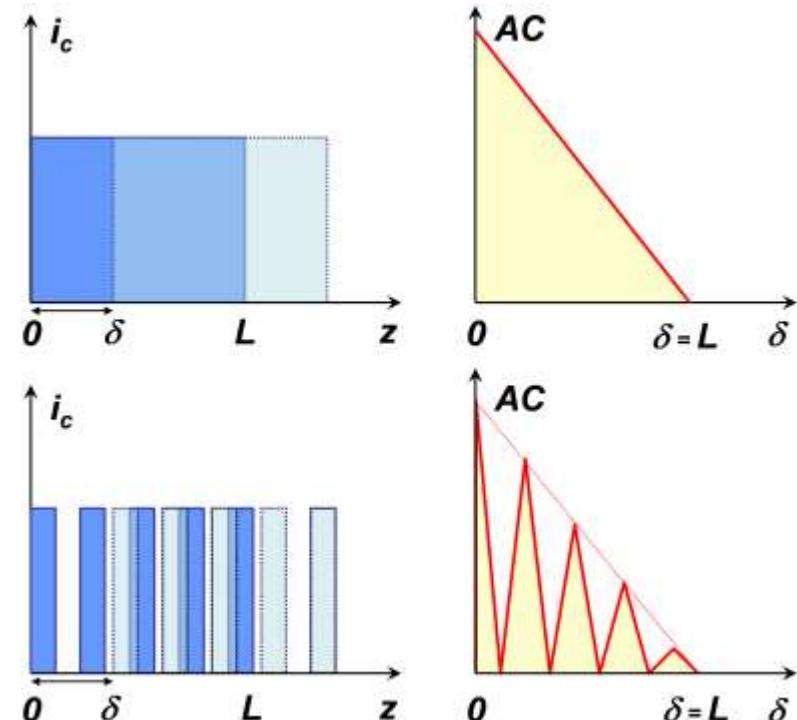
Spatial information contained in AC-function depends on magnetic field interval

spatial resolution  $2\pi/k = L \frac{\Phi_0}{\Phi}$

Record 100 lobes in  $I_s^m(B_y)$  → Spatial resolution  $0.01 \times$  junction width

→ Statistical information in envelope of  $|I_s^m(B_y)|^2$

$$AC(\delta) = \int_{-\infty}^{\infty} i_c(z) i_c(z + \delta) dz$$



## 2.2.3 Additional topic: Determination of the maximum Josephson current density

Prototypical examples:

→ Inhomogeneities with probability

$$p(a) \propto 1/a \leftrightarrow p \times a = \text{const.}$$

$$\rightarrow |I_s^m(B_y)|^2 \propto B_y^{-1}$$

→ „Spatial 1/f noise“

→ Random distribution of filaments  
with width  $a$ :

$$\rightarrow \text{Envelope constant up to } k = \frac{2\pi}{a}$$

$$\rightarrow |I_s^m(B_y)|^2 \propto B_y^{-2}$$

→ „Spatial shot noise“

### **YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\pm$</sub> grain boundary JJ**

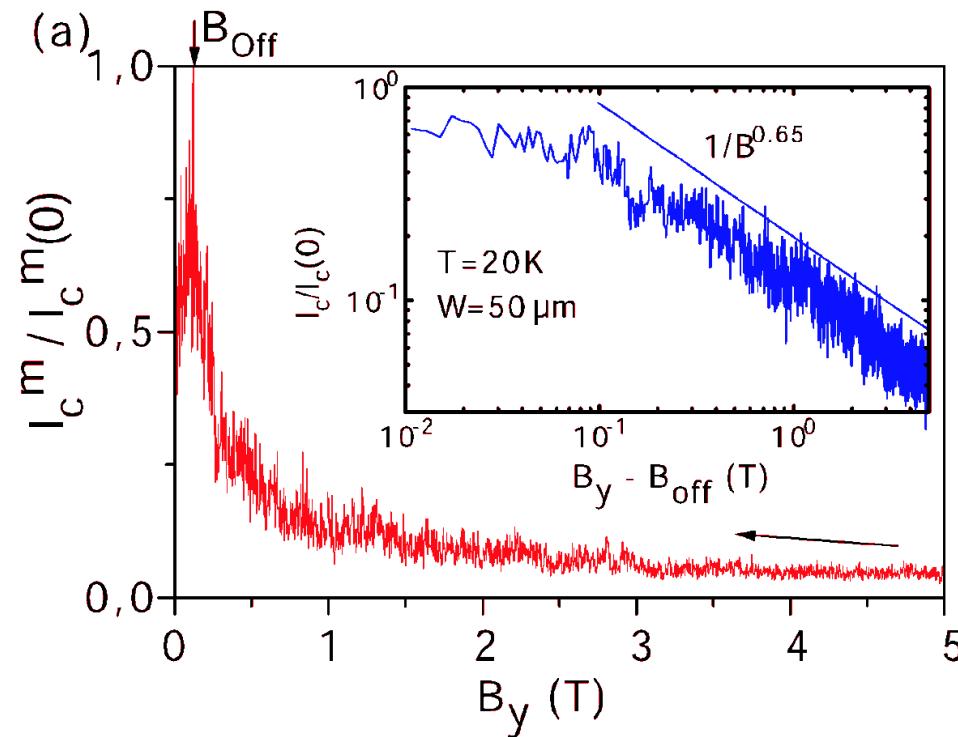
Slope of envelop is  $-0.65$

$$\rightarrow |I_s^m(B_y)|^2 \propto B_y^{-1.3}$$

$$\rightarrow p(a) \propto \frac{1}{a^{1.5}}$$

→ Small scale inhomogeneities are more  
probable

Analysis of autocorrelation function  
gives statistical information on  
current density inhomogeneities



## 2.2.4 Additional topic:

### Direct imaging of the supercurrent distribution

#### Scanning of JJ by focused electron / laser beam

- Measure change  $\delta I_s^m(y, z)$  as function of beam position  $(y, z)$
- $\delta I_s^m(y, z) \propto J_c(y, z)$  → 2D image of  $J_c(y, z)$
- Spatial resolution  $\approx$  thermal healing length ( $\approx 1 \mu\text{m}$ )

## 2.2.6 The motion of Josephson vortices

### Josephson vortices

- Visualize Josephson current density
- Vortices **moving** in  $z$ -direction at constant speed  $v_z$
- Short junction
  - Self-field negligible
  - Flux density in junction given by  $\mathbf{B}_e = (0, B_y, 0)$
- Gauge invariant phase difference

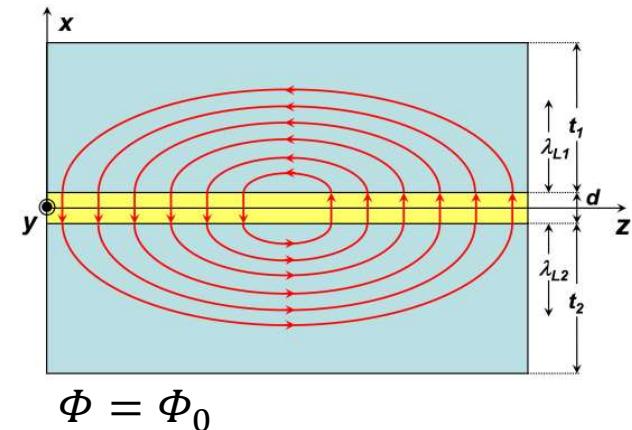
$$\frac{\partial \varphi}{\partial z} = \frac{2\pi}{\Phi_0} B_y t_B$$

$$\Phi = B_y t_B z$$

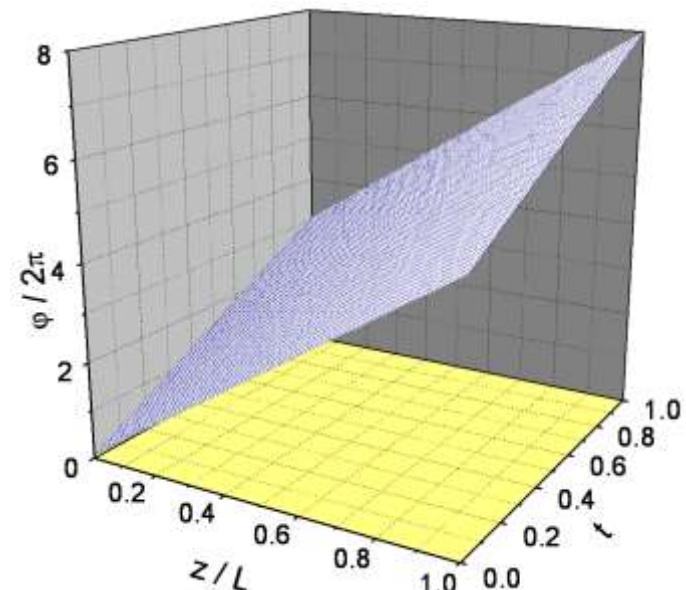
- Passage of 1 vortex changes phase by  $2\pi$

$$\frac{\partial \varphi}{\partial t} = \frac{2\pi}{\Phi_0} \frac{\partial \Phi}{\partial t} = \frac{2\pi}{\Phi_0} B_y t_B \frac{\partial z}{\partial t} = \frac{2\pi}{\Phi_0} B_y t_B v_z$$

$$\begin{aligned}\Rightarrow \varphi(z, t) &= \frac{2\pi}{\Phi_0} B_y t_B (z - v_z t) + \varphi(0) \\ &= k(z - v_z t) + \varphi(0)\end{aligned}$$



$$\Phi = \Phi_0$$



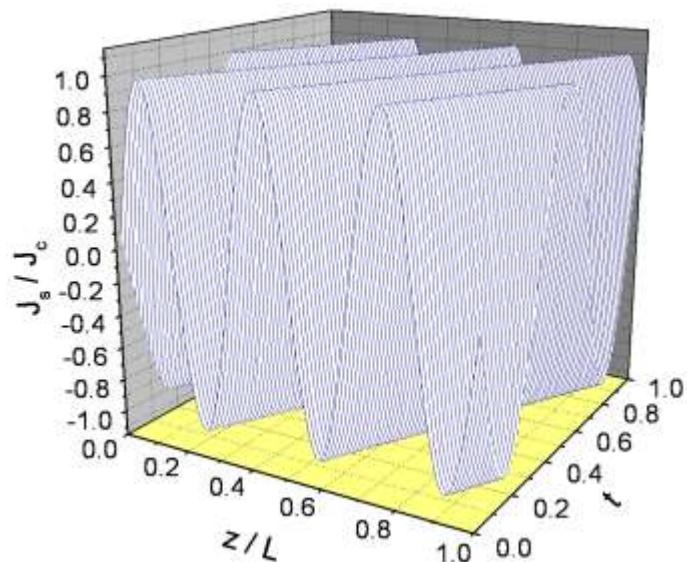
## 2.2.6 The motion of Josephson vortices

$$J_s(y, z, t) = J_c(y, z) \sin(kz + \varphi_0)$$

$$\Rightarrow J_s(y, z, t) = J_c(y, z) \sin [k(z - v_z t)]$$

- Current density pattern: moves at  $v_z$
- Vortex with period  $p = L \frac{\Phi_0}{\Phi}$ 
  - Number of vortices in junction

$$N_V = \frac{L}{p} = \frac{\Phi}{\Phi_0}$$



- Change of gauge-invariant phase difference

$$\Delta\varphi = 2\pi \frac{\Phi}{\Phi_0} = 2\pi N_V \quad \text{2}\pi \times \# \text{ of vortices}$$

## 2.2.6 The motion of Josephson vortices

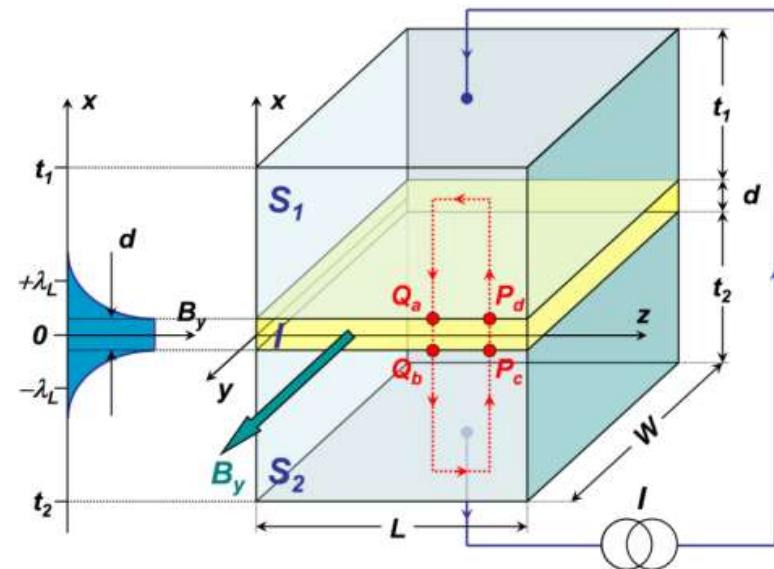
→ Rate of vortex passage

$$\frac{dN_V}{dt} = \frac{1}{2\pi} \frac{d\Delta\varphi}{dt}$$

with the voltage-phase relation

$$\frac{dN_V}{dt} = \frac{V}{\Phi_0}$$

$$\frac{d\varphi}{dt} = \frac{2\pi}{\Phi_0} V$$



Constant velocity of vortices → Constant junction voltage / vortex rate

→ Application

→ Single flux quantum pump

→ Pump frequency  $f = \frac{dN_V}{dt} \rightarrow V = f \cdot \Phi_0$

## 2.2 Summary – Short Josephson Junctions

- Short JJ = lateral junction dimensions small compared to Josephson penetration depth
- Effect of magnetic field parallel to junction electrodes

phase gradient

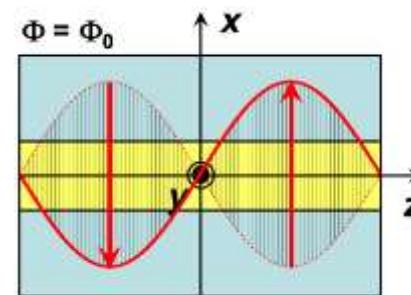
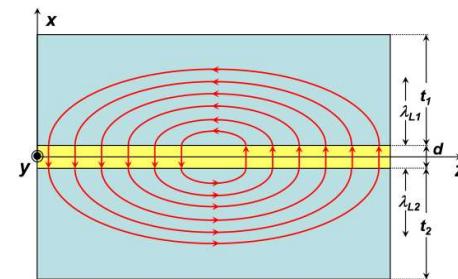
$$\nabla \varphi(\mathbf{r}, t) = \frac{2\pi}{\Phi_0} t_B [\mathbf{B}(\mathbf{r}, t) \times \hat{\mathbf{x}}]$$

Josephson current density

$$J_s(y, z, t) = J_c(y, z) \sin \left( \frac{2\pi}{\Phi_0} t_B B_y z + \varphi_0 \right)$$

- Spatial distribution of  $i_s(z) = \int J_s(y, z) dy$

- Josephson vortex

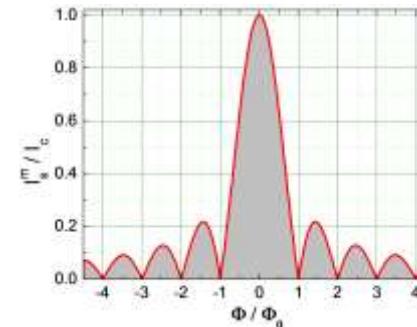


## 2.2 Summary – Short Josephson Junctions

→ Magnetic field dependence of maximum Josephson current

$$I_s^m(\Phi) = I_c \left| \frac{\sin \frac{kL}{2}}{\frac{kL}{2}} \right| = I_c \left| \frac{\sin \frac{\pi\Phi}{\Phi_0}}{\frac{\pi\Phi}{\Phi_0}} \right|$$

Fraunhofer diffraction pattern



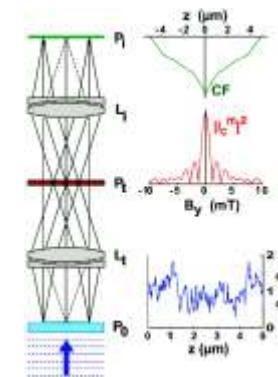
$$I_s^m(B_y) = \left| \int_{-\infty}^{\infty} i_c(z) e^{ikz} dz \right|$$

$$k = \frac{2\pi}{\Phi_0} t_B B_y$$

$$I_c = i_c L$$

→ Analogy to single slit diffraction in optics, but no inverse Fourier transform (missing phase)

Autocorrelation function



→ Motion of Josephson vortices

Motion of single vortex across junction results in phase change of  $2\pi$

$$V \propto \frac{\partial \varphi}{\partial t} = \frac{2\pi}{\Phi_0} \frac{\partial \Phi}{\partial t} = \frac{2\pi}{\Phi_0} B_y t_B \frac{\partial z}{\partial t} = 2\pi \frac{\Phi}{\Phi_0} \frac{v_z}{L}$$

Constant motion of vortices → Constant junction voltage / vortex rate

# 2.3 Long Josephson junctions

## 2.3.1 The stationary Sine-Gordon equation

$$\frac{\partial \varphi}{\partial z} = \frac{2\pi}{\Phi_0} B_y t_B, \quad \nabla \varphi(\mathbf{r}, t) = \frac{2\pi}{\Phi_0} t_B [\mathbf{B}(\mathbf{r}, t) \times \hat{\mathbf{x}}]$$

Now → Magnetic flux density given by  
external and self-generated field

$$\text{Ampere's law} \rightarrow \nabla \times \mathbf{B} = \mu_0 \mathbf{J} + \epsilon \epsilon_0 \mu_0 \frac{\partial \mathbf{E}}{\partial t}$$

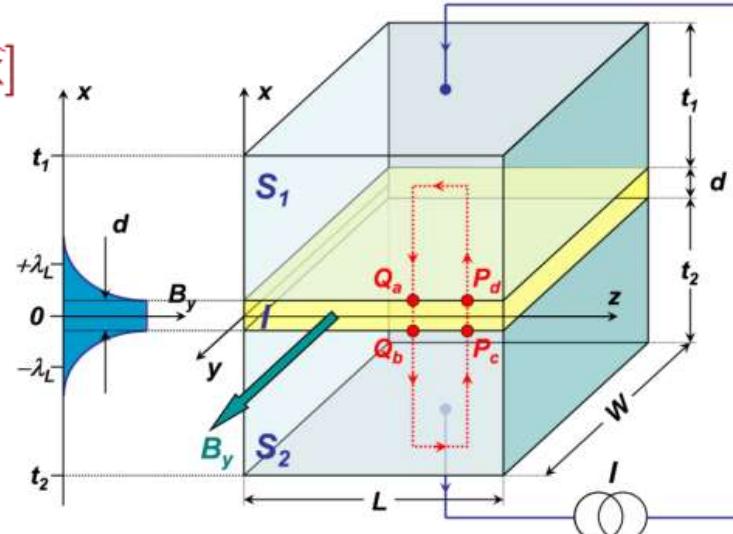
$$\text{Zero-voltage state: } \frac{\partial B_y(z)}{\partial z} = -\mu_0 J_x(z)$$

$$\text{Spatial derivative: } \frac{\partial^2 \varphi(z)}{\partial z^2} = \frac{2\pi t_B}{\Phi_0} \frac{\partial B_y(z)}{\partial z} = -\frac{2\pi \mu_0 t_B}{\Phi_0} J_x(z)$$

Assume  $J_c(y, z) = \text{const.}$  and use  $J_x(y, z) = -J_s(y, z) \rightarrow J_x(z) = -J_c \sin \varphi(z)$

$$\frac{\partial^2 \varphi(z)}{\partial z^2} = \frac{2\pi \mu_0 t_B J_c}{\Phi_0} \sin \varphi(z) = \frac{1}{\lambda_J^2} \sin \varphi(z)$$

$$\text{Josephson penetration depth } \lambda_J \equiv \sqrt{\frac{\Phi_0}{2\pi \mu_0 t_B J_c}}$$



Stationary Sine-Gordon  
equation (SSGE)  
(nonlinear differential  
equation)

## 2.3.1 The stationary Sine-Gordon equation

Two-dimensional stationary  
Sine-Gordon equation

$$\frac{\partial^2 \varphi(y, z)}{\partial y^2} + \frac{\partial^2 \varphi(y, z)}{\partial z^2} = \frac{1}{\lambda_J^2} \sin \varphi(y, z)$$

Relation between London and Josephson penetration depth

$$\lambda_L \equiv \sqrt{\frac{m_s}{\mu_0 n_s q_s^2}} \quad \leftrightarrow \quad \lambda_J \equiv \sqrt{\frac{\Phi_0}{2\pi\mu_0 t_B J_c}}$$

$$\text{with } \mathbf{J}_s = q_s n_s \left\{ \frac{\hbar}{m_s} \nabla \theta(\mathbf{r}, t) - \frac{q_s}{m_s} \mathbf{A}(\mathbf{r}, t) \right\} \quad \rightarrow \quad \mathbf{J}_c \approx q_s n_s^\star \frac{\hbar}{m_s} \frac{2\pi}{t_B}$$

insert into expression for Josephson penetration depth

$$\lambda_J = \sqrt{\frac{\Phi_0}{2\pi\mu_0 t_B J_c}} \approx \sqrt{\frac{\hbar}{q_s \mu_0 t_B} \frac{m_s t_B}{2\pi \hbar q_s n_s^\star}} = \sqrt{\frac{m_s}{2\pi\mu_0 n_s^\star q_s^2}} \approx \lambda_L(n_s^\star)$$

→  $\lambda_J$  corresponds to the London penetration depth of the weak coupling region with reduced superelectron density  $n_s^\star$

## 2.3.1 The stationary Sine-Gordon equation

Small  $\varphi \rightarrow$  Linearization:  $\sin \varphi \approx \varphi \rightarrow \frac{\partial^2 \varphi(z)}{\partial z^2} = \frac{1}{\lambda_J^2} \varphi(z) \Rightarrow \varphi(z) = \varphi(0) e^{-z/\lambda_J}$

Magnetic field along the junction  $\Rightarrow B_y(z) = -\frac{\varphi(0)}{2\pi} \frac{\Phi_0}{\lambda_J t_B} e^{-z/\lambda_J}$

$$\frac{\partial \varphi}{\partial z} = \frac{2\pi}{\Phi_0} B_y t_B$$

$\rightarrow \lambda_J$  is a decay length

with  $\frac{\partial B_y(z)}{\partial z} = -\mu_0 J_x(z) \Rightarrow J_x(z=0) = \frac{1}{\lambda_J} \frac{B_y(z=0)}{\mu_0}$

$\rightarrow$  Current flows at the edges of the junction

$\rightarrow$  Meißner solution, possible for  $J_x < J_c$  or  $B_y(z=0) \leq \mu_0 J_c \lambda_J$

Small junction  $L \ll \lambda_J \rightarrow \frac{\partial^2 \varphi(z)}{\partial z^2} \simeq 0 \Rightarrow \frac{\partial \varphi(z)}{\partial z} \simeq \text{const} \rightarrow$  Short junction result

## 2.3.2 The Josephson vortex

Particular solution of the SSGE:

$$\varphi(z) = \pm 4 \arctan \left\{ \exp \left( \frac{z - z_0}{\lambda_J} \right) \right\} + 2\pi n$$

$$\frac{\partial \varphi}{\partial z} = \frac{2\pi}{\Phi_0} B_y t_B$$

$$B_y(z) = \pm \frac{\Phi_0}{\pi \lambda_L t_B} \frac{1}{\cosh \left( \frac{z - z_0}{\lambda_J} \right)}$$

$$\frac{\partial^2 \varphi(z)}{\partial z^2} = -\frac{2\pi \mu_0 t_B}{\Phi_0} J_x(z)$$

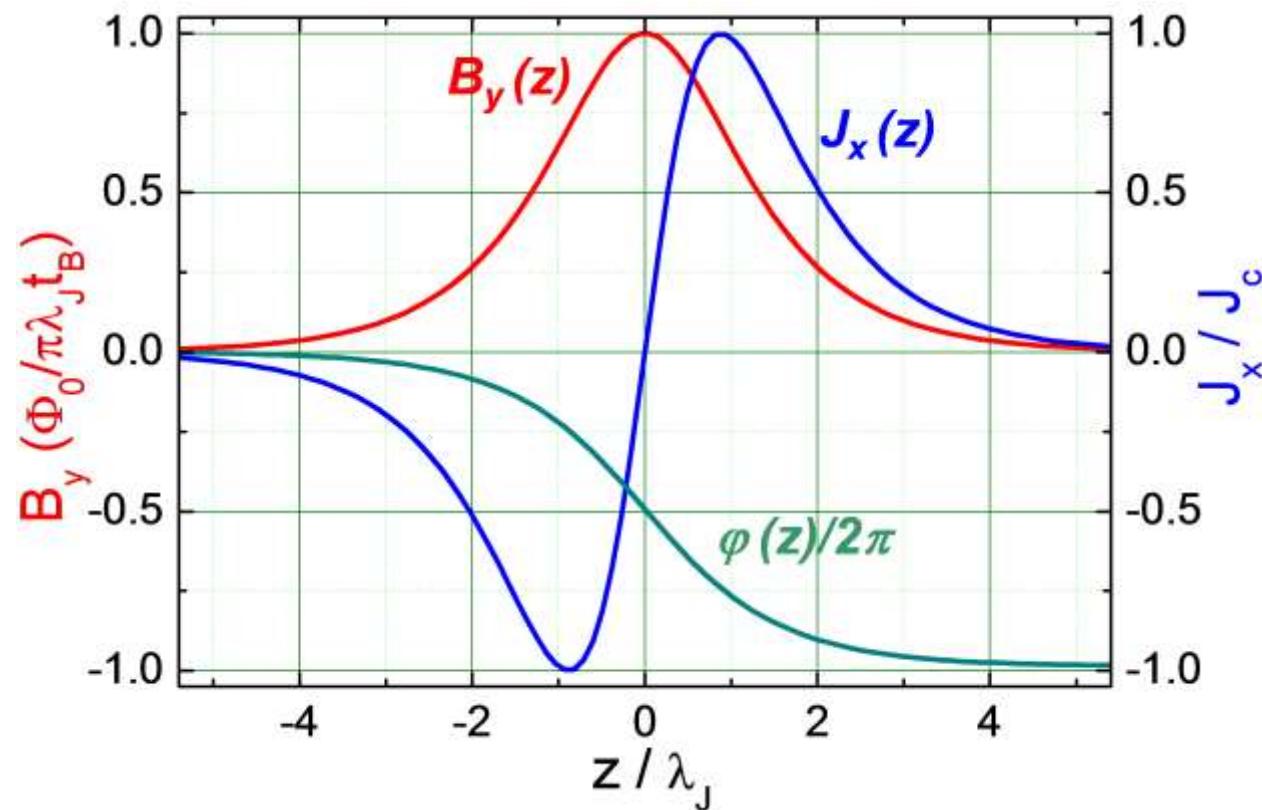
$$J_x(z) = -J_s(z) = \pm \frac{\Phi_0}{\pi \mu_0 \lambda_L^2 t_B} \frac{\sinh \left( \frac{z - z_0}{\lambda_J} \right)}{\cosh \left( \frac{z - z_0}{\lambda_J} \right)} = \pm 2J_c \frac{\sinh \left( \frac{z - z_0}{\lambda_J} \right)}{\cosh \left( \frac{z - z_0}{\lambda_J} \right)}$$

General solution: particular + homogeneous solution

→ Important case: junction of infinite length,  $\frac{d\varphi}{dz}$  vanishes for  $z \rightarrow \pm\infty$

→ Particular solution = Complete solution

## 2.3.2 The Josephson Vortex



Decay length for  $J_s$  and  $B_y \rightarrow \lambda_J$

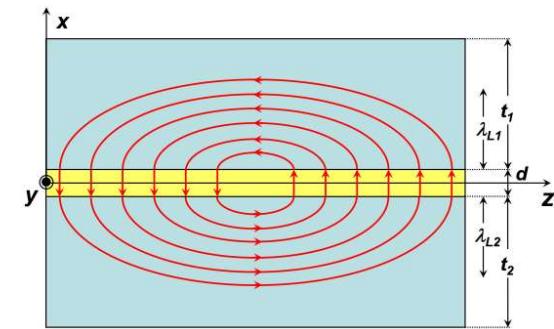
Maximum of  $J_s$  does not coincide with maximum of  $B_y$

Integration

→ Total current = 0

→ Total flux =  $\Phi_0$

→ **Josephson vortex** in an infinitely long junction



## 2.3.2 The Josephson vortex

Energy per unit length of vortex:

$$E = \frac{1}{2\mu_0} \int_{V_s+V_i} \mathbf{B}^2 dV + \int_{A_i} \frac{\Phi_0 J_c(y, z)}{2\pi} [1 - \cos \varphi(z)] dy dz$$

$$E_{\text{Vortex}} = \frac{E_I}{W} = \frac{4\Phi_0 J_c \lambda_J}{\pi}$$

→  $E_{\text{Vortex}} > 0$  → We need external field and/or current to supply energy

Magnetic flux density  $B_{c1}$  for first vortex entrance:

$$B_{c1} = \frac{\mu_0}{\Phi_0} E_{\text{Vortex}} = \frac{4\mu_0 J_c \lambda_J}{\pi} = \frac{2\Phi_0}{\pi^2 \lambda_J t_B}$$

$$B_{c1} = \frac{\mu_0}{\Phi_0} E_{\text{Vortex}}$$

$B_{c1} \approx$  Magnetic flux density of a single flux quantum distributed over an area  $t_B \times \lambda_J$

Here: Infinitely long junction

- Simple & Prototypical case (boundary conditions not relevant)
- Reveals relevant physical principles
- Real junctions → Boundary conditions → Complex vortex dynamics!

## 2.3.3 Junction types and boundary conditions

Junction geometry determines current flow → Boundary conditions of SSGE

→ Magnetic flux density at junction edges:

$$\frac{\partial^2 \varphi(y, z)}{\partial y^2} + \frac{\partial^2 \varphi(y, z)}{\partial z^2} = \frac{1}{\lambda_J^2} \sin \varphi(y, z)$$

$$\left. \frac{\partial \varphi}{\partial z} \right|_{z=0} = \frac{2\pi t_B}{\Phi_0} B_y \Big|_{z=0}$$

$$\left. \frac{\partial \varphi}{\partial z} \right|_{z=L} = \frac{2\pi t_B}{\Phi_0} B_y \Big|_{z=L}$$

$$\left. \frac{\partial \varphi}{\partial y} \right|_{y=0} = -\frac{2\pi t_B}{\Phi_0} B_z \Big|_{y=0}$$

$$\left. \frac{\partial \varphi}{\partial y} \right|_{y=W} = -\frac{2\pi t_B}{\Phi_0} B_z \Big|_{y=W}$$

Problem:  $\mathbf{B} = \mathbf{B}^{\text{ex(ternal)}} + \mathbf{B}^{\text{el(ectrode)}}$

- $\mathbf{B}^{\text{el}}$  not negligible
- Junction geometries are complicated
- Current distribution in electrodes depends on current distribution in JJ itself
- Boundary conditions depend on solution
- Numerical iteration method required

J. Mannhart, J. Bosch, R. Gross, R. P. Huebener  
Phys. Lett. A 121, 241 (1987)

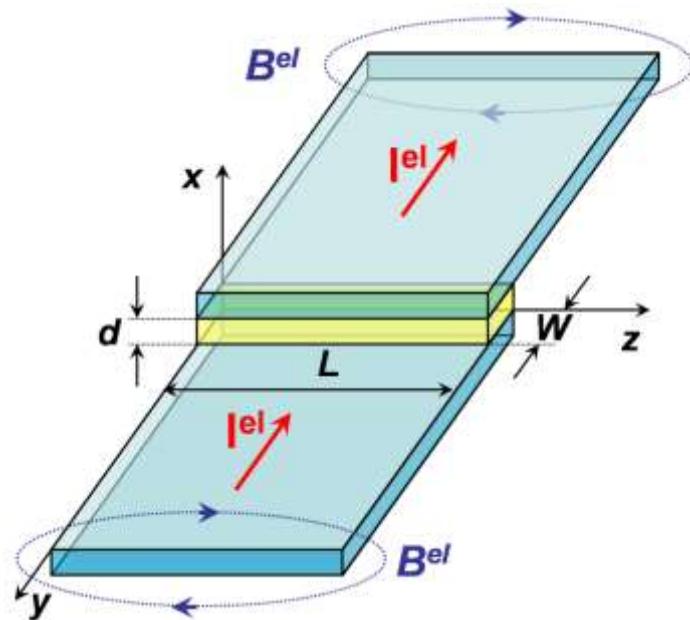
Three basic types of junction geometries

- Overlap junction
- In-line junction
- Grain boundary junctions

## 2.3.3 Junction Types and Boundary Conditions

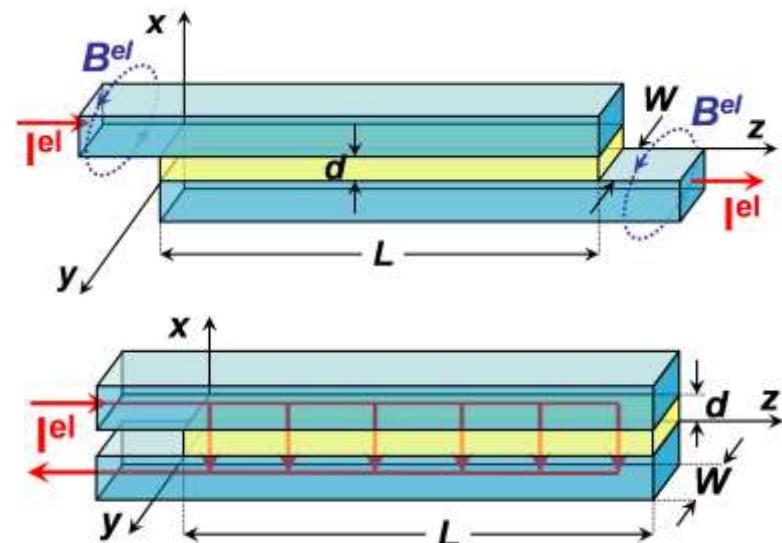
### Overlap junction

- Overlap of width  $W$
- Junction of length  $L$  extends in  $z$ -direction
- Perpendicular to current flow
- $B^{\text{el}} \parallel z \rightarrow \perp$  to the short side
- $\Phi^{\text{el}} = B^{\text{el}} \times W \times t_B$  negligibly small



### Inline junction

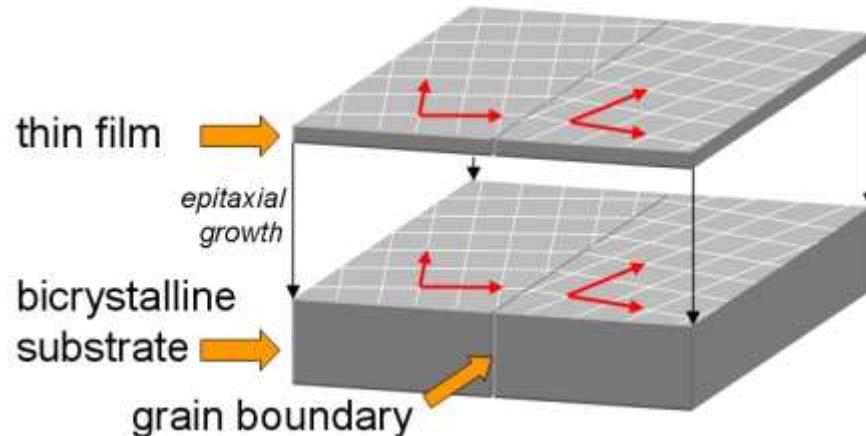
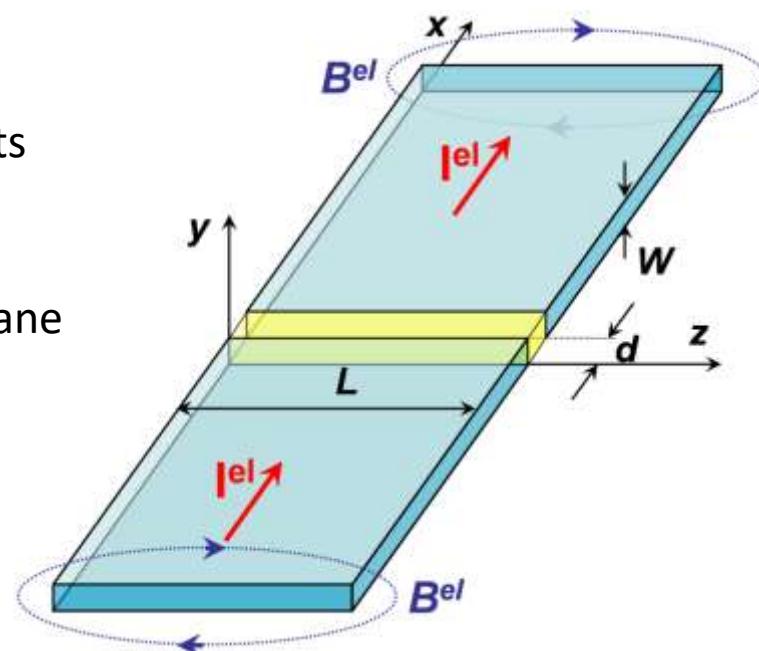
- Overlap of length  $L$
- Junction of length  $L$  extends in  $z$ -direction
- Parallel to current flow
- $B^{\text{el}} \perp z \rightarrow \parallel$  to the short side
- $\Phi^{\text{el}} = B^{\text{el}} \times L \times t_B$  not negligible



## 2.3.3 Junction Types and Boundary Conditions

### Grain boundary junction

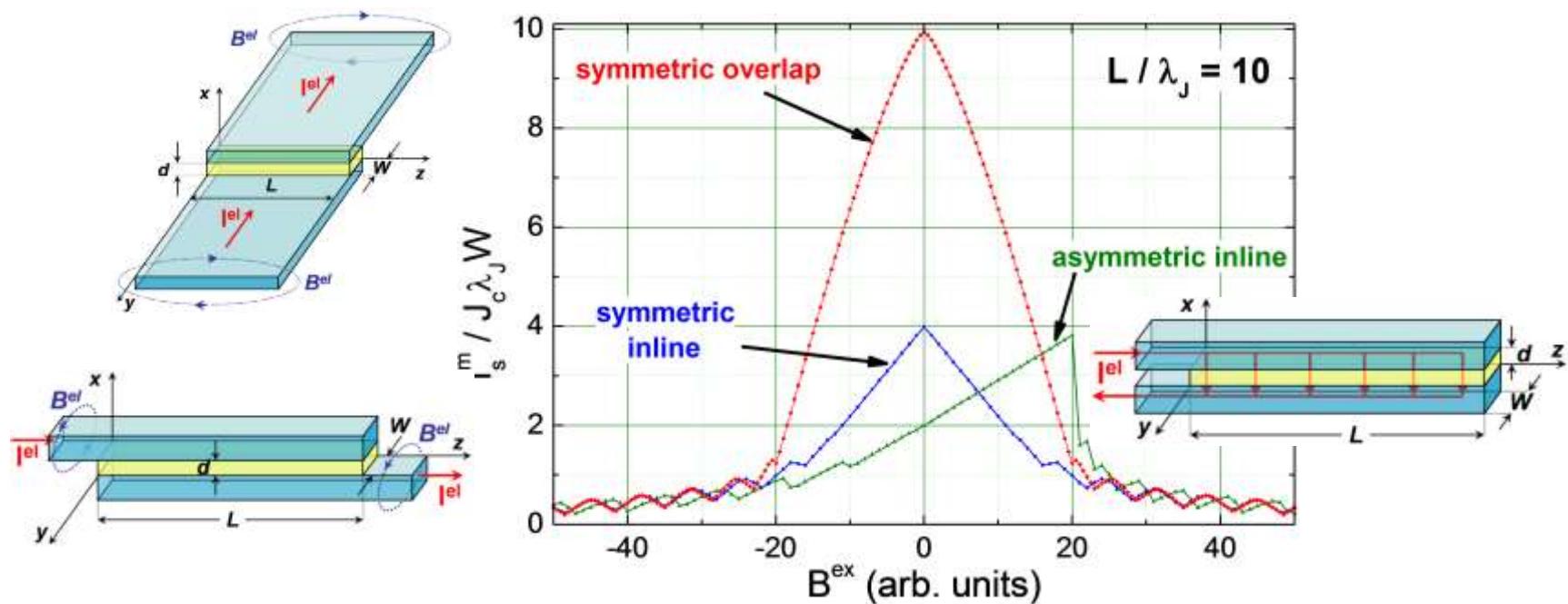
- Mixture of overlap and inline geometry
- Junction area is perpendicular to electrode currents
- Junction area extends in  $yz$ -plane
- Perpendicular to current flow
- Both  $y$ - and  $z$ -component of  $B^{\text{el}}$  are in junction plane
- $B_z^{\text{el}}$  has negligible impact since  $W \ll L$
- $\Phi_z^{\text{el}} = B_z^{\text{el}} W t_B \ll \Phi_y^{\text{el}} = B_y^{\text{el}} L t_B$
- Finite inline admixture  $s = \frac{W}{L} \ll 1$



HTS bicrystal grain boundary junction

## 2.3.3 Junction types and boundary conditions

### $I_s^m(B^{\text{ex}})$ for different junction geometries



#### Overlap junction

→ Highest  $I_s^m \propto$  junction area  $A_i = L \times W$  at zero field

#### Inline junction

→  $I_s^m$  saturates at  $4J_c W \lambda_J$  (Meißner screening, current flow at edges)

#### Asymmetric inline junction

- Fields generated in bottom and top electrode point in the same direction
- Adds to/subtracts from external field
- Increase or decrease of  $I_s^m$  with field

## 2.3.4 The Pendulum analog

SSGE equivalent to equation of motion of pendulum (neglecting electrode currents)

$$\rightarrow z \rightarrow t, \varphi \rightarrow \theta, \frac{1}{\lambda_J^2} \rightarrow \omega_0^2 = \frac{g}{\ell}$$

$\theta$  Angle of the pendulum measured from the top

$\omega_0$  Natural frequency of the pendulum

$$\frac{\partial \varphi}{\partial z} = \frac{2\pi}{\Phi_0} B_y t_B$$

Pendulum with **very large  $E_{\text{kin}}$**

- Gravitational acceleration negligible
- Corresponds to limit of  $\lambda_J \rightarrow \infty$
- $\frac{\partial^2 \varphi}{\partial z^2} = 0$  and  $\frac{\partial \varphi}{\partial z} = \text{const.}$
- Sinusoidal variation of  $J_s(z)$

Pendulum with less  $E_{\text{kin}}$ , but still **nonzero kinetic energy at top**

- $\theta(t)$  anharmonic
- Corresponds to nonsinusoidal, periodically reversing  $J_s(z)$
- Each spatial cycle of the oscillating current contains **one flux quantum**
- In this case, Josephson vortices are localized entities

## 2.3.4 The Pendulum analog

Pendulum with  $E_{\text{kin}}$  just sufficient to go over the top

→ Meißner limit

- Start at  $-\theta_0$  with  $\left(\frac{d\theta}{dt}\right)_0$  at time  $t$  corresponding to  $-\frac{L}{2}$
- Pendulum moves very slowly for long time while going over top (interior of junction)
- Exponential acceleration, recovering initial velocity at  $\theta_0$  (at time  $t$  corresponding to  $+\frac{L}{2}$ )

→ Negligible energy at the top

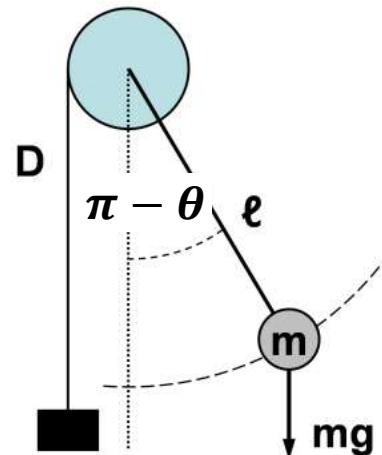
- Conservation of energy connects  $\theta_0$  and  $\left(\frac{d\theta}{dt}\right)_0$

$$\left(\frac{2\pi}{\Phi_0}B_y t_B\right)^2 = \left(\frac{d\varphi}{dz}\right)_0^2 = \frac{2}{\lambda_J^2}(1 - \cos \varphi_0) \Rightarrow \cos \varphi_0 = 1 - \frac{1}{2} \left(\frac{B_y}{\mu_0 J_c \lambda_J}\right)^2$$

- Small fields →  $\varphi_0 = \frac{B_y}{\mu_0 J_c \lambda_J}$  (Taylor expansion of cosine!)

→ Strongest field that can be screened is  $B_{\text{max}} = 2\mu_0 J_c \lambda_J$  (for  $\varphi_0 = \pi$ )

→ (Screening at  $B_{\text{max}}$  is only metastable!)



# Summary (short junctions)

Josephson penetration depth

$$\lambda_J \equiv \sqrt{\frac{\Phi_0}{2\pi\mu_0 t_B J_c}} \quad (\text{short} - \text{long junctions})$$

Josephson coupling energy

$$E_J = \frac{\Phi_0 I_c}{2\pi} (1 - \cos \varphi) = E_{J0} (1 - \cos \varphi)$$

nonlinear inductance

$$L_s = \frac{\Phi_0}{2\pi I_c \cos \varphi} = L_c \frac{1}{\cos \varphi} \quad L_c = \frac{\hbar}{2eI_c}$$

washboard potential

$$E_{\text{pot}}(\varphi) = E_J(\varphi) - \frac{\Phi_0}{2\pi} I \varphi = E_{J0} \left[ 1 - \cos \varphi - \frac{I}{I_c} \varphi \right]$$

in-plane magnetic field  $B_y$

$$\frac{\partial \varphi}{\partial z} = \frac{2\pi}{\Phi_0} B_y t_B$$

spatial oscillations of the Josephson current density:

$$\Rightarrow J_s(y, z, t) = J_c(y, z) \sin \left( \frac{2\pi}{\Phi_0} t_B B_y z + \varphi_0 \right) = J_c(y, z) \sin(kz + \varphi_0)$$

integral Josephson current  $\rightarrow$  FT of  $i_c(z)$ :

$$\Rightarrow I_s^m(B_y) = \left| \int_{-\infty}^{\infty} i_c(z) e^{ikz} dz \right| \quad i_c(z) = \int_{-W/2}^{W/2} J_c(y, z) dy$$

# Summary (long junctions)

SSGE: spatial distribution of gauge invariant phase difference:

$$\frac{\partial^2 \varphi(y, z)}{\partial y^2} + \frac{\partial^2 \varphi(y, z)}{\partial z^2} = \frac{2\pi\mu_0 t_B J_c}{\Phi_0} \sin \varphi(y, z) = \frac{1}{\lambda_J^2} \sin \varphi(y, z)$$

self-consistent solution: boundary conditions depend on flux density at edges

3 basic types: inline, overlap and grain boundary junctions

particular solution of SSGE: Josephson vortex

$$\varphi(z) = \pm 4 \arctan \left\{ \exp \left( \frac{z - z_0}{\lambda_J} \right) \right\} + 2\pi n$$

Insight into the solutions for  $\varphi(z)$  can be found via the pendulum analog

SSGE equivalent to equation of motion of pendulum

$$z \rightarrow t, \varphi \rightarrow \theta, \frac{1}{\lambda_J^2} \rightarrow \omega_0^2 = \frac{g}{\ell}$$