# **Chapter 4**

Applications of the Josephson Effect

# **II. Applications of the Josephson Effect**

## Motivation for analog and digital applications

I<sub>s</sub><sup>m</sup> = I<sub>s</sub><sup>m</sup>(B)
 → Superconducting quantum interference device (Magnetic field sensors) (Ch. 4)

 $\beta_C \gg 1$   $\rightarrow$  Zero/finite voltage state bistability  $\rightarrow$  Switching devices, Josephson computer (ch. 5)

2<sup>nd</sup> Josephson equation  $\frac{d\varphi}{dt} = 2eV/\hbar$ → VCO, voltage standard

Nonlinear IVC → Mixers up to THz, oscillators

Macroscopic quantum behavior

→ Superconducting qubits (ch. 6)



# 4. Superconducting quantum interference devices

Superconducting Quantum Interference Devices (SQUID)

Single Josephson junction = Magnetic field sensor  $I_s^m = I_s^m(B)$ 

- → Sensitivity:  $\frac{dI_s}{dB} = \frac{dI_s}{d\Phi} t_B L \approx \frac{I_s^m}{\Phi_0} t_B L$
- $\rightarrow$  Increase area A =  $t_B L$  to increase sensitivity

Superconducting loop with one or more Josephson junctions

- $\rightarrow$  Relevant area = Loop area
- $\rightarrow$  Dual- or multi-beam interference

Superconducting Quantum Interference Devices (SQUIDs)

- $\rightarrow$  Relevant physics: flux quantization & Josephson effect
- $\rightarrow$  Most sensitive detectors for magnetic flux
- → Can detect any quantity that can be converted into magnetic flux: magnetic field, field gradient, current, voltage, displacement, ...
- $\rightarrow$  Two important types:

Direct current (dc) and radio frequency (rf) SQUIDs

 $\rightarrow$  Dc-SQUIDs: highest energy sensitivity at low temperatures



# 4.1 The dc SQUID

#### Definition

- → Parallel circuit of two lumped elements Josephson junctions
- $\rightarrow$  Important for sensing applications





Substitution 
$$\rightarrow \varphi_1 - \varphi_2 = -\frac{2\pi}{\Phi_0} \oint_C \mathbf{A} \cdot d\ell - \frac{2\pi}{\Phi_0} \int_{Q_b}^{P_c} \wedge \mathbf{J}_s \cdot d\ell - \frac{2\pi}{\Phi_0} \int_{P_d}^{Q_a} \wedge \mathbf{J}_s \cdot d\ell$$

Integrate vector potential A

Closed loop  $\rightarrow$  Total flux  $\Phi$  threading the loop Integrate self-induced current  $J_s$ 

 $J_{\rm s}$  vanishes deep inside electrode material  $\rightarrow \int \Lambda J_{\rm s} \cdot d\ell$  vanishes

→ Phase differences are not independent but linked via the fluxoid quantization

$$\varphi_{2} - \varphi_{1} = \frac{2\pi\Phi}{\Phi_{0}}$$

$$I_{s} = 2I_{c}\cos\left(\frac{\varphi_{1} - \varphi_{2}}{2}\right)\sin\left(\frac{\varphi_{1} + \varphi_{2}}{2}\right)$$

$$\Rightarrow I_{s} = 2I_{c}\cos\left(\pi\frac{\Phi}{\Phi_{0}}\right)\sin\left(\varphi_{1} + \pi\frac{\Phi}{\Phi_{0}}\right)$$

 $\Phi = \Phi_{\text{ext}} \rightarrow \text{Maximum supercurrent}$  is obtained for  $\sin\left(\varphi_1 + \pi \frac{\Phi}{\Phi_0}\right) = 1$ 

$$I_s^m = 2I_c \left| \cos \left( \pi \frac{\Phi_{\text{ext}}}{\Phi_0} \right) \right|$$

In reality often finite inductance L of the loop  $\rightarrow$  Total flux  $\Phi = \Phi_{ext} + \Phi_L$ 

Intuitive variables (symmetric loop)

$$\begin{split} I_{s1} &= \widetilde{I} + I_{\text{cir}} & \widetilde{I} &= (I_{s1} + I_{s2})/2 & \text{Average (tr} \\ I_{s2} &= \widetilde{I} - I_{\text{cir}} & I_{\text{cir}} &= (I_{s1} - I_{s2})/2 & \text{Circulating} \end{split}$$

Average (transport) supercurrent Circulating current

$$\Rightarrow \Phi = \Phi_{\text{ext}} + LI_{\text{cir}} = \Phi_{\text{ext}} + \frac{LI_c}{2} (\sin \varphi_1 - \sin \varphi_2)$$
$$= \Phi_{\text{ext}} + LI_c \sin \left(\frac{\varphi_1 - \varphi_2}{2}\right) \cos \left(\frac{\varphi_1 + \varphi_2}{2}\right) \qquad \qquad \varphi_2 - \varphi_1 = \frac{2\pi\Phi}{\Phi_0}$$

$$\Phi = \Phi_{\text{ext}} - LI_c \sin\left(\pi \frac{\Phi}{\Phi_0}\right) \cos\left(\varphi_1 + \pi \frac{\Phi}{\Phi_0}\right)$$
$$I_s = 2I_c \cos\left(\pi \frac{\Phi}{\Phi_0}\right) \sin\left(\varphi_1 + \pi \frac{\Phi}{\Phi_0}\right)$$

Equations have to be solved self-consistently Maximize  $I_s$  with respect to  $\varphi_1$ with constraint  $\rightarrow I_s^m$ 

Relevance of finite inductance is characterized by

Screening parameter

$$\beta_L \equiv \frac{2LI_c}{\Phi_0}$$

Negligible screening:  $oldsymbol{eta}_L \ll 1$ 

→ Flux due to circulating current can be neglected → Maximum supercurrent for given  $\Phi_{\text{ext}}$  requires  $\frac{dI_{\text{s}}}{d\varphi_1} = 0$  →  $\cos\left(\varphi_1 + \pi \frac{\Phi_{\text{ext}}}{\Phi_0}\right) = 0$ 

$$\sin\left(\varphi_1 + \pi \frac{\Phi_{\text{ext}}}{\Phi_0}\right) = \pm 1 \quad \Rightarrow I_s^m \simeq 2I_c \left|\cos\left(\pi \frac{\Phi_{\text{ext}}}{\Phi_0}\right)\right|$$



#### Large screening: $\beta_L \gg 1$

→  $LI_c \gg \Phi_0$  → Circulating current compensates external field

 $\rightarrow$  Total flux in the loop tends to be quantized

$$\Phi = \Phi_{\text{ext}} + LI_{\text{cir}} \simeq n\Phi_0$$

Example 
$$\rightarrow I \simeq 0 \rightarrow \sin \varphi_1 \approx -\sin \varphi_2$$

$$\Phi_{\text{ext}} = \Phi + LI_c \sin\left(\pi \frac{\Phi}{\Phi_0}\right) \quad \text{or} \quad \frac{\Phi_{\text{ext}}}{\Phi_0} = \frac{\Phi}{\Phi_0} + \frac{\beta_L}{2} \sin\left(\pi \frac{\Phi}{\Phi_0}\right)$$

Finite screening  $\rightarrow \beta_L > 0$ 

## How does ${\pmb \Phi}({\pmb \Phi}_{\rm ext})$ look like in detail ?

 $\Phi(\Phi_{ext})$  can be single-valued or multiple-valued (hysteretic)

Maximum value of  $\Phi_{\rm cir} \simeq L I_c$ 

#### Rough estimate

 $\Phi(\Phi_{\text{ext}})$  single valued curve when  $\Phi_{\text{cir}}$ does not bring  $\Phi$  too far into the next period

$$\Rightarrow |\Phi_{\rm cir}| \le \frac{\Phi_0}{2} \Rightarrow LI_c \le \frac{\Phi_0}{2} \Rightarrow \beta_L \le 1 \quad \text{(more precisely: } \beta_L \le \frac{2}{\pi}\text{)}$$

Dc SQUID response in integer multiples of  $\Phi_0$  not affected by screening

3

2

0

-1

-2

-3

-4

-3

Φ/Φ



 $\beta_L = 1$ 

 $\beta_L = 2/\pi$ 

 $\beta_L = 0.2$ 



 $\rightarrow$  Modulation depth of  $I_{\rm s}^{\rm m}(\Phi_{\rm ext})$  is strongly reduced with increasing  $\beta_L$  (roughly  $\propto 1/\beta_L$ )

## Negligible screening ( $m{eta}_L \ll$ 1) and strong damping ( $m{eta}_C \ll$ 1)

- $\rightarrow$  Total flux = Applied flux
- $\rightarrow$  (Neglect displacement current)
- $\rightarrow$  Total current = Josephson current + resistive current

dentical junctions  

$$\Rightarrow I = I_{c} \sin \varphi_{1} + I_{c} \sin \varphi_{2} + \frac{V}{R_{N}} + \frac{V}{R_{N}}$$

$$= 2I_{c} \cos \left(\pi \frac{\phi}{\phi_{0}}\right) \sin \left(\varphi_{1} + \pi \frac{\phi}{\phi_{0}}\right) + 2\frac{V}{R_{N}}$$

$$\varphi = \varphi_{1} + \pi \frac{\Phi}{\phi_{0}}$$

Define new phase 
$$\varphi \equiv \varphi_1 + \pi \frac{\phi}{\phi_0}$$
  
with  $\Phi \approx \Phi_{\text{ext}} = const. \rightarrow \frac{d\varphi}{dt} = \frac{d\varphi_1}{dt} = \frac{2\pi}{\phi_0}V(t)$ 

$$I = I_s^m(\Phi_0) \sin \varphi + \frac{2}{R_N} \frac{\Phi_0}{2\pi} \frac{d\varphi}{dt} \text{ with } I_s^m(\Phi_{ext}) = 2I_c \cos\left(\pi \frac{\Phi_{ext}}{\Phi_0}\right)$$

 $\rightarrow$  IVC of single junction with flux dependent  $I_{\rm c} = I_{\rm c}(\Phi_{\rm ext})$ 

Mechanical analog  $\rightarrow$  Two pendula with rigid coupling because of  $\beta_L \ll 1$ 



## Finite screening: $\beta_L \approx 1$ , intermediate damping: $\beta_C \approx 1$

 $\rightarrow$  Increase flux threading loop  $\rightarrow$  Larger loops  $\rightarrow$  Large L

 $\rightarrow$  Consider displacement + noise current  $\rightarrow$  Numerical solution required!

**Basic equations** 

$$V = \frac{\Phi_0}{4\pi} \left( \frac{d\varphi_1}{dt} + \frac{d\varphi_2}{dt} \right)$$
Relates voltage to phase change  

$$2\pi n = \varphi_2 - \varphi_1 - 2\pi \frac{\Phi_{\text{ext}}}{\Phi_0} - 2\pi \frac{LI_{\text{cir}}}{\Phi_0}$$
Fluxoid quantization  

$$\frac{I}{2} = \frac{\hbar C}{2e} \frac{d^2 \varphi_1}{dt^2} + \frac{\hbar}{2eR_N} \frac{d\varphi_1}{dt} + [I_c \sin \varphi_1 + I_{\text{cir}}] + I_{F1}$$
coupling  

$$\frac{I}{2} = \frac{\hbar C}{2e} \frac{d^2 \varphi_2}{dt^2} + \frac{\hbar}{2eR_N} \frac{d\varphi_2}{dt} + [I_c \sin \varphi_2 - I_{\text{cir}}] + I_{F2}$$

## **Mechanical analog**

- → Two pendula with mass M and length ℓ coupled via twistable bar
- → Negligible screening ( $\beta_L \ll 1$ )
  - $\rightarrow$  Rigid bar
  - → Relative angle  $\varphi_1 \varphi_2 = 2\pi \frac{\phi_{\text{ext}}}{\phi_0}$  fixed by external flux
  - $\rightarrow$  Single pendulum with mass 2M
  - → Distance from pivot point  $I \cos\left(\frac{\varphi_1 \varphi_2}{2}\right)$
  - → Zero torque → Pendula reside at  $\frac{\varphi_1 \varphi_2}{2}$
  - → Finite torque (bias current) → Pendulum rotates
- $\rightarrow$  Finite screening
  - ightarrow Relative motion of the pendula
  - $\rightarrow$  Coupling  $\rightarrow$  Numerical solution





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## Important parameters for practical applications of SQUIDs

Main goal  $\rightarrow$  High resolution = Low noise!

Flux-to-voltage transfer coefficient (measure of sensitivity to flux):

$$H \equiv \left| \left( \frac{\partial V}{\partial \Phi_{\text{ext}}} \right)_{I=const} \right|$$

Maximum at steepest point of  $\langle V \rangle (\Phi_{ext})$  $\rightarrow$  Flux to voltage transducer

Equivalent flux noise (measure of flux resolution)

 $S_{\Phi}(f) = \frac{S_V(f)}{H^2}$   $S_V(f) =$  Power spectral density of voltage noise at fixed bias current

Noise energy (measure of energy resolution when comparing different geometries)

$$\epsilon(f) = \frac{S_{\Phi}(f)}{2L} = \frac{S_V(f)}{2LH^2}$$

Sets energy resolution Should be as small as possible!

Of course it is always good to reduce the noise itself, but typically  $S_V(f)$  given  $\rightarrow$  Maximize H and L!

1. Current & flux bias

$$\blacktriangleright \quad I \approx I_{\rm c}, \, \Phi_{\rm ext} \approx \frac{2n+1}{4} \Phi_0$$

- ▶ Largest modulation of  $\langle V \rangle (\Phi_{\text{ext}})$
- 2. Junction critical current
  - $\succ$  Coupling energy  $E_{\rm J} \gg k_{\rm B}T$
  - > Simulations  $\rightarrow \frac{1}{5}I_{c} \gtrsim I_{th} = \frac{2\pi k_{B}T}{\Phi_{0}}$
  - $\succ$  T = 4.2 K →  $I_c \gtrsim 1$  μA



- Should be large, but thermal flux noise  $\sqrt{k_B T L}$  should be  $\ll \Phi_0$
- ▶ Define thermal inductance  $L_{\text{th}}I_{\text{th}} \equiv \frac{\phi_0}{2}$
- ▶ Simulations →  $5L \leq L_{\text{th}} \rightarrow L \leq 1 \text{ nH}$  @ 4.2K

> Define 
$$\beta_{\text{th}} \equiv \frac{2I_{\text{th}}L}{\Phi_0} = \frac{L}{L_{\text{th}}} = \frac{I_{\text{th}}}{I_c} \beta_L \lesssim 0.2$$



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 $S_V(f)$  given  $\rightarrow$  Maximize H and L!

#### 4. Screening parameter

$$\succ \quad \beta_L = \frac{2I_{\rm c}L}{\Phi_0}$$

- > No hysteresis in  $\langle V \rangle (\Phi_{\text{ext}})$
- $\succ$  Small L, but large area → Choose  $β_L \approx 1$
- ➤ Smallest possible  $I_c \approx 1 \ \mu A @ 4.2 \ K \rightarrow L \approx 1 \ nH$
- Does not contradict 3

#### 5. Stewart-McCumber parameter

- ➤ No hysteresis in IVC →  $β_C ≤ 1$
- $\succ$  Underdamped junctions  $\rightarrow$  Shunt resistor
- Choose  $\beta_C \approx 1$  for large voltage output

Detailed numerical simulations

 $\rightarrow \epsilon(f)$  minimum for  $\beta_L \simeq 1$ ,  $\beta_C \simeq 1$  at  $(2n+1)\frac{\Phi_0}{4}$  for max. voltage modulation ( $\approx I_c R_N$ )

then  

$$H = \left| \left( \frac{\partial V}{\partial \Phi_{\text{ext}}} \right)_{I=const} \right| \simeq \frac{I_c R_N}{\Phi_0/2} \simeq \frac{R_N}{L}$$

 $S_I^{in} = 4k_BT/(R_N/2)$ 

In-phase current fluctuations

$$S_I^{out} = 4k_BT/2R_N$$

Small signal analysis in white noise regime (@ optimal point)

$$S_V(f) = S_I^{in}(f)R_d^2 + S_I^{out}(f)L^2 H^2 = \frac{4k_BT}{R_N} \left[2R_d^2 + \frac{L^2H^2}{2}\right] \simeq 18k_BTR_N$$

 $R_{\rm d}$  = differential resistance at the operation point

$$\Rightarrow \epsilon(f) = \frac{S_V(f)}{2LH^2} \simeq \frac{9k_BTL}{R_N} \simeq \frac{9k_BT\Phi_0}{2I_cR_N} \quad \text{for } \beta_L \simeq 1$$
  
$$\Rightarrow \epsilon(f) \simeq 16k_BT \sqrt{\frac{LC}{\beta_C}} \simeq 16\sqrt{\pi}k_BT \sqrt{\frac{\Phi_0C_s}{2\pi J_c}} = \frac{16\sqrt{\pi}k_BT}{\omega_p} \quad \text{for } \beta_L \simeq 1; \quad \beta_C \simeq 1$$

 $\rightarrow$  Miminize *T*, *C* and maximize *J*<sub>c</sub>,  $\omega_{\rm p}$ 

0.01

Improve performance of dc-SQUID

- $\rightarrow$  Decrease *T* and *C*, increase  $J_c$
- $\rightarrow \epsilon(f)$  is given in units of  $\hbar \simeq 10^{-34}$  Js
- $\rightarrow$  Optimized SQUIDs approach quantum limit  $\hbar/2$
- → Practical SQUIDs:  $\epsilon(f) \approx 10\hbar$

Dimensionless parameter Reduced noise energy

$$\Sigma(f) = \frac{\epsilon(f)}{\frac{2\Phi_0 k_B T}{I_c R_N}}$$

Rapid increase for  $\gamma \beta_L > 0.2$   $\rightarrow$  Due to thermal noise rounding of IVC

→ Corresponds to 
$$L \leq \frac{1}{5}L_{\text{th}}$$



D. Kölle et al., Rev. Mod. Phys. 71, 631 (1999)

## **Required components**

- $\rightarrow$  Antenna
- → SQUID (cryogenic)
- $\rightarrow$  Room temperature electronics



### **SQUID** geometries

Today's SQUIDs and antenna consist of **thin film structures** → Fabrication by optical and electron beam lithography

- $\rightarrow$  Requirements
  - $\rightarrow$  Large sensitivity  $\rightarrow$  Large area  $A \rightarrow$  Large L
  - $\rightarrow$  Deterioration of performance

$$\Delta \Phi_{\rm ext} = A \cdot \Delta B$$

## Washer type SQUID

- → Large effective area and small inductance (perfect diamagnetism)
- → Easy coupling to antenna via planar spiral coil



Loop currents around inner opening

- $\rightarrow L = 1.25 \mu_0 D \text{ (for } W \gg D \text{)}$
- → Effective area:  $A_{\rm eff} \propto D \times W$

Limit: flux trapping in washer area

ightarrow Flux noise by thermally activated motion

Flux focusing effect in washer-type YBCO grain boundary junction dc SQUID





Specific problem: Capacitance between spiral input coil and square washer  $\rightarrow$  LC resonances  $\rightarrow$  Excess noise



- $\rightarrow$  Heteroepitaxial growth of the different SC layers
- $\rightarrow$  Low reproducibility of junctions
- → Alternatives: flip-chip design, directly coupled SQUID (only 1 SC layer required)



# 4.1.5 Readout schemes

## Flux-locked loop operation

 $\langle V \rangle (\Phi_{\text{ext}})$  curve is nonlinear  $\rightarrow$  Linearization by feedback circuit  $\rightarrow$  Linear input – output relation  $\rightarrow$  Apply oscillating flux with peak-to-peak amplitude  $\frac{\Phi_0}{2}$  $\rightarrow f_{\text{mod}} \approx 100 \text{ kHz} - \text{several MHz}$ 

Quasistatic flux =  $n\Phi_0$ 

- $\rightarrow$  Rectified input
- $\rightarrow 2f_{\text{mod}}$ -component
- $\rightarrow$  Lock-in signal at  $f_{\rm mod}$  vanishes
- Quasistatic flux =  $\left(n + \frac{1}{4}\right)\Phi_0$   $\rightarrow$  Only  $f_{\text{mod}}$ -component exists  $\rightarrow$  Maximum lock-in output signal

## Detection of ac voltage

- ightarrow Low-noise preamplifier
- → Cooled transformer for SQUID impedance matching
- → Example:  $R_d \rightarrow N^2 R_d$



# 4.1.5 Readout schemes



Small flux change  $\delta \Phi$  to SQUID  $\rightarrow$  Positive output  $\propto \delta \Phi$ 

- $\rightarrow$  Increase of integrator output voltage  $\delta V_{\rm in} \propto \delta \Phi$
- ightarrow Increase of current through feedback coil
- → Feedback flux must compensate  $\delta \Phi \rightarrow |\delta \Phi_{\rm f}| = |\delta \Phi|$
- $\rightarrow$  SQUID voltage (and integrator output) stay constant  $\rightarrow$  Null detector

$$\delta I_{\rm f} = \frac{\delta V_{\rm in}}{R_{\rm f}}, \, \delta \Phi_{\rm f} = k^2 L_{\rm f} \delta I_{\rm f} \stackrel{|\delta \Phi_{\rm f}| = |\delta \Phi|}{\rightarrow} \quad \delta V_{\rm in} = \frac{R_{\rm f}}{k^2 L_{\rm f}} \delta \Phi$$

Specs of readout electronics

→  $f_{mod} \approx 100 \text{ kHz} - \text{several MHz}$ , bandwidth  $\simeq 100 \text{ kHz}$ , dynamic range  $\simeq 140 \text{ dB}$ → Slew rate (maximum compensation rate) up to  $10^7 \Phi_0/\text{s}$ 

# 4.1.5 Readout schemes

## **Bias current reversal**

- $\rightarrow$  Bias current is modulated  $\rightarrow$  Double modulation technique
- → Suppress low-frequency noise of Josephson junctions
- $\rightarrow$  Asymmetric part of critical current fluctuations can be eliminated

## Additional positive feedback

 $\rightarrow$  Part of the bias current used to obtain asymmetric  $\langle V \rangle (\Phi_{\rm ext})$ 

- → Steeper slope → Larger  $\frac{\partial V}{\partial \phi}$
- $\rightarrow$  Direct read-out with room temperature electronics

## **Digital read-out schemes**

→ Cryogenic digital feedback schemes → Compact, wideband
 → Digitized output signals for transmission to room temperature

## **Relaxation oscillation schemes**

- $\rightarrow$  Hysteretic junctions
- $\rightarrow$  SQUID shunted by series *LR*-circuit
- ightarrow Frequency of relaxation oscillations depends on flux
- ightarrow Large SQUID output voltage

# 4.2 The rf SQUID

Superconducting loop with a single Josephson junction

 → Rf current is applied via a tank circuit inductively coupled to SQUID loop
 → Measure time-averaged tank circuit voltage



### Advantage

- $\rightarrow$  Simpler fabrication, no dc-current to SQUID
- Disadvantage
- ightarrow Energy resolution limited by readout electronics

Flux-phase relation (exercises)  $\Rightarrow \varphi = -2\pi \frac{\phi}{\phi_0}$ 



## **Operation of rf SQUIDs**



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 $\beta_{L,rf} > 1$   $\Rightarrow \Phi(\Phi_{ext}) \text{ hysteretic}$   $\Rightarrow \text{ Applied flux through SQUID}$   $\Phi_{ext} = \Phi_{s} + \Phi_{rf} \sin \omega_{rf} t$   $\Phi_{rf} = MI_{T} = MQI_{rf}$   $\Rightarrow \text{ Linear increase of } \Phi_{rf}$   $\Rightarrow \text{ Linear increase of } V_{T}$ for  $\Phi_{s} + \Phi_{rf} < \Phi_{ext,c}$  k = 0



For  $\Phi_{\rm s} + \Phi_{\rm rf} > \Phi_{\rm ext,c} \rightarrow$  Hysteresis loop

 $\rightarrow$  Energy loss  $\propto$  hysteresis loop area extracted from tank circuit

→ Damping of tank circuit

ightarrow Damping is proportional to the area of a traced-out hysteresis loop

 $\rightarrow$  Also for  $\beta_{L,rf} > 1 \rightarrow$  Tank voltage is periodic in applied flux

Dependence of  $V_{\rm T}$  on  $\boldsymbol{\Phi}_s$  and  $\boldsymbol{\Phi}_{\rm rf}$ 

Start at  $\Phi_{s} = n\Phi_{0}, n = 0$   $\rightarrow$  Tank voltage  $V_{T}$  increases linearly with  $I_{rf}$  as long as  $\Phi_{rf} = MQI_{rf} < \Phi_{ext,c} (0 \rightarrow A)$   $\rightarrow$  Critical rf-current  $I_{rf,c} \equiv \frac{\Phi_{ext,c}}{MQ}$   $V_{T}^{(n=0)}(\Phi_{ext,c}) = \omega_{rf}L_{T}I_{T,c} = \omega_{rf}L_{T}\frac{\Phi_{ext,c}}{M}$  $\rightarrow$  For  $I_{r} > I_{r} > I_{r} > I_{r}$  hump to  $k = \pm 1$  branch

→ For  $I_{rf} > I_{rf,c}$  → Jump to  $k = \pm 1$  branch
→ Hysteresis loop, energy loss of tank circuit
→ Decrease of rf-current in tank circuit
→ Decrease of rf-current in tank circuit
→ No hysteresis loops until tank circuit recovers
→ Further increase of  $I_{rf}$  (A → B)
→ Transitions at higher rate
→  $I_{rf} = I_{rf,r}$  → One transition per rf cycle
→ Linear increase of  $V_T^{(n=0)}$  until jumps to  $k = \pm 2$  branch become possible



Dependence of  $V_{\rm T}$  on  ${m \Phi}_s$  (signal) and  ${m \Phi}_{\rm rf}$ 

Start at 
$$\Phi_{s} = \left(n + \frac{1}{2}\right) \Phi_{0}, n = 0$$
  
 $\rightarrow$  Transition to  $k = \pm 1$  branch at  $\pm \left(\Phi_{ext,c} \mp \frac{\Phi_{0}}{2}\right)$   
 $\rightarrow 1^{st}$  horizontal branch at  
 $W^{(n=0.5)} = \omega_{0} L^{-\frac{\Phi_{ext,c} - \Phi_{0}/2}{\Phi_{ext,c} - \Phi_{0}/2}}$ 

$$V_{\rm T} = \omega_{\rm rf} L_{\rm T} - \frac{M}{M}$$

Intermediate signal flux values  

$$\rightarrow V_T^{(n)}(I_{rf})$$
 curves between those for  
 $n = 0$  and  $n = 0.5$ 







Change of  $V_T$  from  $\Phi_s = 0$  to  $\Phi_s = \frac{\Phi_0}{2} \rightarrow \frac{\omega_{rf} L_T \Phi_0}{2M}$ 

- → Flux-to-voltage transfer function near  $\Phi_{\rm S} = \frac{\Phi_0}{4} \rightarrow H = \left(\frac{\partial V_{\rm T}}{\partial \Phi_{\rm S}}\right)_{I_{\rm rf}=const.} = \frac{\omega_{\rm rf}L_{\rm T}}{M}$
- → Lower bound for  $M \propto \alpha \rightarrow \forall \Phi_s$ , there must be an  $I_{rf}$  that intersects the first step of  $V_T(I_{rf})$  (point F has to be to the right of E)

$$\rightarrow H \approx \frac{\omega_{\rm rf}L_{\rm T}}{\alpha\sqrt{L_TL}} = \omega_{rf}\sqrt{Q\frac{L_{\rm T}}{L}}$$

→ Practical operation with flux-locked loop scheme → Stay on one step for all  $\Phi_{\rm s}$ 

## Noise in rf SQUIDs

Mechanism

→ Switching  $k = 0 \rightarrow k = 1$  → Stochastic fluctuations (thermal activation) → Noise in step voltage  $V_T$  → Flux noise  $S_{\Phi} \approx \frac{(LI_c)^2}{\omega_{rf}} \left(\frac{2\pi k_B T}{I_c \Phi_0}\right)^{4/3}$  or  $\eta^2 \equiv \frac{S_{\Phi} \omega_{rf}}{\pi \Phi_0^2}$ 

 $\rightarrow$  Noise causes finite slope of horizontal branches

 $\rightarrow$  Extrinsic noise sources (preamplifier, lines)  $\rightarrow T_{amp}^{eff}$ 

→ Energy resolution 
$$\epsilon \approx \left(\frac{\pi \eta^2 \Phi_0^2}{2L} + 2\pi \eta k_{\rm B} T_{\rm amp}^{\rm eff}\right) \frac{1}{\omega_{\rm rf}}$$

→ High frequencies (few GHz), cryogenic amplifiers:  $\epsilon \simeq 3 \times 10^{-32}$  J/Hz

Comparison

→ Rf SQUID  $\epsilon \approx \frac{k_{\rm B}T}{\omega_{\rm rf}} \qquad (\omega_{\rm rf} \simeq \text{few GHz})$   $\rightarrow \text{Dc SQUID} \qquad \epsilon \approx \frac{k_{\rm B}T}{\omega_{\rm c}} \qquad (\omega_{\rm c} = \frac{2\pi I_{\rm c}R_{\rm N}}{\phi_0} \simeq 100 \text{ GHz})$   $\rightarrow \text{Better energy resolution of dc-SQUID because } \omega_{\rm c} \gg \omega_{\rm rf}$ 

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# 4.2.3 Practical rf-SQUIDs

## Low- $T_{\rm c}$ rf SQUIDs

 $\rightarrow$  Early versions were toroidal configuration machined from Nb

- $\rightarrow$  Operated at 10 MHz with  $\epsilon \simeq 5 \times 10^{-29}$  J/Hz
- → Today thin film technology →  $\epsilon \simeq 10^{-32}$  J/Hz

## High- $T_{c}$ rf-SQUIDs

- $\rightarrow$  Operating at 77 K (liquid nitrogen)
- $\rightarrow$  Washer-type rf-SQUIDs incorporated in a  $\lambda/2$  microstrip resonator



# 4.3 Additional topic: Other SQUID configurations

## **Motivation**

- $\rightarrow$  Dc and rf SQUID are the most obvious configurations
- $\rightarrow$  Over the years, many other configurations have been developed
- → Specific advantages and disadvantages
- $\rightarrow$  Examples discussed here: DROS, SQUIF, cartwheel SQUID



## 4.3.1 Additional topic: The DROS (Double Relaxation Oscillation SQUID)

Hysteretic dc-SQUID ( $\beta_C > 1$ ) + hysteretic reference junction in series + *LR* shunt

- $\rightarrow$  System performs relaxation oscillations
- $\rightarrow$  DROS functions as comparator of the two critical currents
- ightarrow Voltage output behaves like square wave
- ightarrow Large flux-to-voltage transfer coefficients up to 3 mV/ $\Phi_0$
- $\rightarrow$  Direct read-out by RT amplifier



# 4.3.2 Additional topic: The SQIF

## Motivation

- $ightarrow eta_L \ll 1 
  ightarrow$  Dc-SQUID analogous to double slit configuration
- → Steeper  $I_{s}^{m}(\Phi_{ext})$  inspired by optical grid analog → N junctions in parallel
- ightarrow Experimental problem ightarrow Uniformity of junctions and loops

Irregular parallel array of JJ

- → Superconducting Quantum Interference Filter (SQUIF)
- →  $I_{\rm s}^{\rm m}(\Phi_{\rm ext})$  and  $\langle V \rangle(\Phi_{\rm ext})$  show a sharp peak at zero flux → Large  $\frac{\partial V}{\partial \Phi_{\rm ext}}$



# 4.3.3 Additional topic: Cartwheel SQUID

SQUID loop  $\rightarrow$  Several loops in parallel  $\rightarrow$  reducing total SQUID loop inductance





# **4.4 Instruments Based on SQUIDs**

#### SQUIDs sense any signal that can be converted to flux

SQUID based instrument  $\rightarrow$  Antenna determines quantity to be measured



Input circuit influences signal and noise properties of SQUID Reduction of SQUID inductance to

$$L' = L - \frac{M^2}{L_i + L_p} = L \left( 1 - \frac{\alpha^2 L_i}{L_i + L_p} \right)$$

 $\alpha^2$  = coupling coefficient Mutual inductance:  $M_i = \alpha \sqrt{L_i L}_{AS-Chap. 4-46}$ 

Flux change in input coil:  $\delta \Phi^{\rm p} = N_{\rm p} A_{\rm p} \delta B_{\rm ext}$ 

→ Shielding current  $I_{\rm sh}$  in pickup and input coil → Flux coupled to SQUID



Flux quantization (superconducting contact between  $L_p$  and  $L_i$ !)

$$\delta \Phi^p + (L_i + L_p)I_{\rm sh} = N_p A_p \delta B_{\rm ext} + (L_i + L_p)I_{\rm sh} = 0$$

Flux coupled to SQUID operating in flux locked loop

$$\delta \Phi = M_i |I_{sh}| = M_i \frac{\delta \Phi^p}{L_i + L_p} = \frac{\alpha \sqrt{L_i L}}{L_i + L_p} \, \delta \Phi^p = \frac{\alpha \sqrt{L_i L}}{L_i + L_p} N_p A_p \delta B_{ext}$$

Minimum detectable  $\delta \Phi^{p} \rightarrow \text{Compare } \delta \Phi$  and equivalent SQUID flux noise

Spectral flux noise density referred to pick-up loop:

$$S_{\Phi}^{p} = \frac{(L_{i} + L_{p})^{2}}{M_{i}^{2}} S_{\Phi} = \frac{(L_{i} + L_{p})^{2}}{\alpha^{2} L_{i} L} S_{\Phi}$$

Equivalent noise energy referred to pick-up loop

$$\epsilon^{p} = \frac{S_{\Phi}^{p}}{2L_{p}} = \frac{(L_{i} + L_{p})^{2}}{L_{i}L_{p}} \frac{S_{\Phi}}{2\alpha^{2}L} = \frac{(L_{i} + L_{p})^{2}}{L_{i}L_{p}} \frac{\epsilon}{\alpha^{2}}$$

Minimum for  $L_i = L_p$ 

$$\epsilon^p(f) = rac{4\epsilon(f)}{lpha^2}$$

Matching of  $L_i$  and  $L_p \rightarrow$  Maximum fraction  $\frac{\alpha^2}{4}$  of the energy is transferred

#### Thin film magnetometers

@ 4.2 K: wire wound  $L_p$  & planar multi-turn thin film input coil Reminder: Superconducting contact between  $L_p$  and  $L_i$ !

#### **HTS magnetometers**

No flexible wires (prototypes exist already)  $\rightarrow$  Thin film flux transformers  $\rightarrow$  Directly coupled SQUID

 $\rightarrow$  Flip-chip arrangement of single layer flux transformer and SQUID

ightarrow Flip-chip arrangement of multilayer flux transformer and SQUID

#### **Multi-loop magnetometers**

N loops in parallel → Reduction of total inductance & large effective area Typically trilayer structures

Example  $\rightarrow$  8 loops, diameter 7.2 mm  $\rightarrow$  Sensitivity  $\simeq 1.5 \frac{\text{fT}}{\sqrt{\text{Hz}}}$ 





# 4.4.2 Gradiometers



- $\rightarrow$  Reduce perturbing environmental magnetic fields
- $\rightarrow$  Non-magnetic materials
- $\rightarrow$  High permeability shields ( $\mu$ -metal or cryoperm)
- ightarrow Magnetically shielded rooms
- → Further reduction via gradiometers!



WMI qubit cryostat:

- 3 μ-metal cylindric pots (top is open) at room temperature
- 1 cryoperm pot at 4 K
- Shielding factor:  $\simeq 2 \times 10^3$  @ a few Hz
- Now further improved by superconducting Al or Pb pot at 50 mK

PTB Berlin:

- 7 μ-metal shields
- 1 Al layer
- Active field reduction
- Shielding factor:
   2 × 10<sup>6</sup> @ 0.01 Hz
   2 × 10<sup>8</sup> @ 5 Hz

# 4.4.2 Gradiometers

R. Gross, A. Marx , F. Deppe, and K. Fedorov © Walther-Meißner-Institut (2001 - 2020)



## n<sup>th</sup>-order gradiometers

→ Suppression of uniform remote signals
 → Sensitive to field gradients



# **4.4.3 Susceptometers**

## Measurement of magnetic properties of materials

- $\rightarrow \chi = \frac{M}{H}$  is response of magnetization to an external field
- → 1<sup>st</sup> or 2<sup>nd</sup> order gradiometer & sample in static B field (gradiometer removes effect of static field)
- ightarrow Sample in one of the pick-up loops



Non-magnetic sample $\rightarrow$  No output signalSample with susceptibility  $\chi$  $\rightarrow$  Additional flux detected by SQUID

## **Commercial systems**

- $\rightarrow$  2<sup>nd</sup> order gradiometer
- $\rightarrow$  Sample axially moving
- → Resolution of  $10^{-8}$  emu at 1.8 400 K up to 7 T

# **4.4.3 Susceptometers**

### **Miniature susceptometer**

 $\rightarrow \chi_{\rm m} \equiv \frac{\partial M}{\partial H}, \, \mu_{\rm r} = 1 + \chi_{\rm m}$ 

 $\rightarrow$  1st order gradiometer

 $\rightarrow$  Sample in one loop + global static field

 $\rightarrow$  Measure only response of the sample

## $\rightarrow$ For very small samples

 $\rightarrow$  SQUID loop



# **4.4.4 Voltmeters**

Voltage transformed into current via input resistor

- ightarrow Feedback of SQUID output to input resistor
- $\rightarrow$  Flux locked loop (null-balancing of voltage)

# $R_i$

## Resolution

- $ightarrow \simeq 10^{-12} \ {
  m V}/\sqrt{{
  m Hz}}$  for  $R_{
  m i} = 0.01 \ {\Omega}$
- $ightarrow \simeq 10^{-10} \ {
  m V}/\sqrt{{
  m Hz}}$  for  $R_{
  m i} = 100 \ {\Omega}$
- $\rightarrow$  Superior for low impedance samples

## Applications:

- $\rightarrow$  Transport and noise measurments
- $\rightarrow$  Thermoelectric properties of metallic/superconducting samples

# 4.4.5 Radiofrequency amplifiers

## Tuned amplifier

- $\rightarrow$  Input circuit:  $R_{\rm i}$  ,  $C_{\rm i}$ , and  $L_{\rm i}$
- ightarrow For frequencies up to 100 MHz

→ Noise temperature close to the quantum limit  $T_N^{QL} \approx \frac{\hbar\omega}{k_B \ln 2}$ 



#### Motivation of the quantum limit for amplifiers

- → Bosonic input field mode  $\hat{b}$  with commutation relation  $[\hat{b}, \hat{b}^{\dagger}] = 1$
- $\rightarrow$  Linear amplification by factor  $G \gg 1 \rightarrow$  Output mode  $\hat{c} \equiv G\hat{b}$
- →  $[\hat{c}, \hat{c}^{\dagger}] = G^2 \neq 1$ , but must also be bosonic
- → Solution → Phase-insensitive amplifier must add noise!

# **4.5 Applications of SQUIDs**

Detection of small signals is practically relevant in modern science and technology!

- $\rightarrow$  Biomagnetism
- $\rightarrow$  Nondestructive evaluation
- $\rightarrow$  Archeology
- $\rightarrow$  SQUID microscopy
- ightarrow Gravity wave detectors

# 4.5.1 Biomagnetism

#### **Biomagnetic method**

ightarrow Non-invasive detection of magnetic signals from human body

## **Biomagnetic imaging**

- ightarrow Field map of heart / brain activity
- ightarrow Source location via simple volume conductor models
- $\rightarrow$  MEG (magnetoencephalography)  $\rightarrow$  Brain
- $\rightarrow$  MCG (magnetocardiography)  $\rightarrow$  Heart



# 4.5.1 Biomagnetism



## **Signal reconstruction**

- → Current distribution cannot be calculated from measured field distribution
- $\rightarrow$  Inverse problem has no unique solution
- → Model assumptions based on elementary current dipoles (short localized conductor segments & volume backflow)





# 4.5.2 Nondestructive evaluation (NDE)

- $\rightarrow$  Non-invasive identification of structural or material defects
- $\rightarrow$  (Sub-) surface cracks in aircrafts
- $\rightarrow$  Reinforcing rods in concrete strutures
- → Short distance between inner cold and outer warm wall
- (spatial resolution) → HTS SQUIDs (@ 77 K) advantageous



## Eddy-current techniques

- $\rightarrow$  Alternating field
- ightarrow Eddy currents disturbed by material defects

# 4.5.2 Nondestructive evaluation (NDE)









# 4.5.3 SQUID microscopy

## Image magnetic field distribution

- ightarrow High sensitivity, modest spatial resolution
- $\rightarrow$  Initially low- $T_{\rm c}$  dc SQUIDs  $\rightarrow$  now high- $T_{\rm c}$  SQUIDs @ 77 K
- $\rightarrow$  Frequency: dc up to 1 GHz

Spatial resolution

- $\rightarrow$  Cold samples  $\simeq$  5  $\mu$ m (with soft magnetic focusing tip  $\simeq$  0.1  $\mu$ m)
- $\rightarrow$  Room temperature samples  $\simeq 30 50 \ \mu m$

## Applications

- ightarrow Diagnostics of SC devices
- → Properties of ultra-thin magnetic films
- ightarrow Analysis of semiconducting devices

## SQUID-sample separation

- $\rightarrow 75~\mu m$  Sapphire window  $~\simeq 150~\mu m$
- $\rightarrow$  3 µm Si<sub>x</sub>N<sub>y</sub> window





# 4.5.3 SQUID Microscopy



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# 4.5.3 nano-SQUID Microscopy



## → Scanning nano-SQUIDs

Illustration of an atomic defect in graphene that creates a localized resonant dissipative state at the center of the ring (D), which in turn mediates inelastic scattering of an impinging electron

D. Vasyukov et al., *Nature Nanotechnology* **8**, 639–644 (2013) D. Halbertal et al., Science **358**, 1303-1306 (2017).

Bridge

Pb

100 nm

Pb

00 nm

## 4.5.4 Gravity wave antennas and gravity gradiometers

#### Motivation:

Inertial navigation, general relativity, deviations from  $r^{-2}$ -law, and gravitational waves:

- $\rightarrow$  E.g., collapsing stars, rotating double stars
- $\rightarrow$  Expansion and contraction oscillations
- → Expected length change  $\frac{\delta \ell}{\rho} \simeq 10^{-19}$
- → Required resolution  $\simeq 10^{-21}$



## Resonant mass transducer from displacement to current

- ightarrow Antenna in the mK regime
- ightarrow Required resolution
  - ightarrow Zero point motion
  - → Quantum limited antenna!

## **4.5.4 Gravity Wave Antennas and Gravity Gradiometers**

- → Typical resonance frequency  $\simeq 1 \text{ kHz} \rightarrow T < \frac{\hbar\omega_{\text{ant}}}{k_{\text{B}}} \approx 50 \text{ nK} \rightarrow \text{Impractical}$
- $\rightarrow$  Increase effective noise temperature  $T_{\rm eff}$  by increasing Q
  - → Gravitational pulse of length  $\tau$  & antenna decay time  $\frac{Q}{\omega_{ant}}$  →  $T_{eff} = T \frac{\tau}{Q/\omega_{ant}}$
  - → Quantum limit (bar energy  $\hbar \omega_{ant} > k_B T_{eff}$ ) → Cool below  $T < \frac{Q\hbar}{k_B \tau}$
  - $\rightarrow$  for  $Q = 2 \times 10^6$  and  $\tau = 1 \text{ ms} \rightarrow T \simeq 20 \text{ mK}$
- ightarrow Quantum limited sensor is required
- → Present sensitivities  $\frac{\delta \ell}{\rho} \simeq 10^{-18}$
- $\rightarrow$  2015  $\rightarrow$  Gravitational wave reported in LIGO (laser interferometer, no SQUID)

## **Gravity gradiometer**

Gravity gradient  $\rightarrow$  Separation of test masses  $\rightarrow$  Coil induction  $\rightarrow$  Map earth's gravity gradient  $\rightarrow$  Test of  $r^{-2}$  law  $a = r^{-2} r^{-2}$ 



# **4.5.5 Geophysics**

SQUIDs used to probe the magnetic properties of earth

- Rock magnetometry
- Mapping earth magnetic field / em impedance
- Geophysical surveying
- Archeology



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30 m (1,35 kHz (1 kHz)



# 4.5.5 Geophysics



Supracon SQUID detector

# Summary (dc SQUID)

Negligible screening 
$$\beta_L = 2LI_c/\Phi_0 \ll 1 \rightarrow \Phi \approx \Phi_{ext}$$
  
 $I_s^m = 2I_c \left| \cos \left( \pi \frac{\Phi_{ext}}{\Phi_0} \right) \right|$ 

Strong damping:

$$\langle V(t) \rangle = I_c R_N \sqrt{\left(\frac{I}{2I_c}\right)^2 - \left[\cos\left(\pi \frac{\Phi_{\text{ext}}}{\Phi_0}\right)\right]^2}$$



Large screening  $\beta_L = 2 \text{LI}_c / \Phi_0 \gg 1 \rightarrow \Phi = \Phi_{\text{ext}} + \text{LI}_{\text{cir}} \approx n \Phi_0$  $I_s^m = 2I_c - \frac{2\Phi_{\text{ext}}}{L} = 2I_c \left(1 - \frac{2\Phi_{\text{ext}}}{\Phi_0} \frac{1}{\beta_L}\right)$ 

Intermediate  $\beta_L \rightarrow I_s^m(\Phi_{ext})$  self-consistently from  $\Phi(\Phi_{ext})$  and  $I_s^m(\Phi)$ 

### Performance

$$H \equiv \left| \left( \frac{\partial V}{\partial \Phi_{ext}} \right)_{I=const} \right| \qquad S_{\Phi}(f) = \frac{S_{V}(f)}{H^{2}} \qquad \epsilon(f) = \frac{S_{\Phi}(f)}{2L} = \frac{S_{V}(f)}{2LH^{2}}$$
Optimum operation ( $\beta_{L} \approx 1, \beta_{C} \approx 1$ ):  

$$\epsilon(f) \simeq 16k_{B}T \sqrt{\frac{LC}{\beta_{C}}} \simeq \frac{16\sqrt{\pi}k_{B}T}{\omega_{p}}$$
Operation in flux-locked-loop as null detector

# Summary (rf-SQUID)



$$\frac{\Phi}{\Phi_{0}} = \frac{\Phi_{\text{ext}}}{\Phi_{0}} - \frac{\beta_{L,rf}}{2\pi} \sin\left(2\pi \frac{\Phi}{\Phi_{0}}\right)$$
Operation  $\Rightarrow$  inductive coupling to tank circuit
Performance:
$$H \equiv \left| \left( \frac{\partial V_{T}}{\partial \Phi_{\text{ext}}} \right)_{I_{\text{rf}}=const} \right| \simeq \frac{\omega_{\text{rf}}L_{T}}{M}$$

$$S_{\Phi} \approx \frac{(LI_{c})^{2}}{\omega_{\text{rf}}} \left( \frac{2\pi k_{B}T}{I_{c}\Phi_{0}} \right)^{4/3} \quad \epsilon \approx \left( \frac{\pi \eta^{2}\Phi_{0}^{2}}{2L} + 2\pi \eta k_{B}T_{\text{amp}}^{\text{eff}} \right) \frac{1}{\omega_{\text{rf}}}$$

Operation in flux-locked-loop as null detector

# Summary (SQUID based instruments)

Antenna, SQUID (flux-to-voltage transformer), read-out electronics Magnetometer, gradiometer, voltmeter, susceptometer, ... Magnetic field resolution: few fT/(Hz)<sup>1/2</sup> Application: magnetocardiography/-encephalography, NDE, microscopy, geophysics