Applied Superconductivity:

Josephson Effect and Superconducting Electronics

Manuscript to the Lectures during WS 2003/2004, WS 2005/2006, WS 2006/2007, WS 2007/2008, WS 2008/2009, and WS 2009/2010

Prof. Dr. Rudolf Gross ^{and} Dr. Achim Marx

Walther-Meißner-Institut Bayerische Akademie der Wissenschaften and Lehrstuhl für Technische Physik (E23)

Technische Universität München

Walther-Meißner-Strasse 8 D-85748 Garching Rudolf.Gross@wmi.badw.de

© Walther-Meißner-Institut — Garching, October 2005

Contents

	Pref	face		xxi
I	Fou	ndatio	ns of the Josephson Effect	1
1	Mac	roscopi	ic Quantum Phenomena	3
	1.1	The M	lacroscopic Quantum Model	3
		1.1.1	Coherent Phenomena in Superconductivity	3
		1.1.2	Macroscopic Quantum Currents in Superconductors	12
		1.1.3	The London Equations	18
	1.2	Flux Q	Quantization	24
		1.2.1	Flux and Fluxoid Quantization	26
		1.2.2	Experimental Proof of Flux Quantization	28
		1.2.3	Additional Topic: Rotating Superconductor	30
	1.3	Joseph	son Effect	32
		1.3.1	The Josephson Equations	33
		1.3.2	Josephson Tunneling	37
2	JJs:	The Ze	ero Voltage State	43
	2.1	Basic	Properties of Lumped Josephson Junctions	44
		2.1.1	The Lumped Josephson Junction	44
		2.1.2	The Josephson Coupling Energy	45
		2.1.3	The Superconducting State	47
		2.1.4	The Josephson Inductance	49
		2.1.5	Mechanical Analogs	49
	2.2	Short.	Josephson Junctions	50
		2.2.1	Quantum Interference Effects – Short Josephson Junction in an Applied Mag- netic Field	50

		2.2.2	The Fraunhofer Diffraction Pattern	54
		2.2.3	Determination of the Maximum Josephson Current Density	58
		2.2.4	Additional Topic: Direct Imaging of the Supercurrent Distribution	62
		2.2.5	Additional Topic: Short Josephson Junctions: Energy Considerations	63
		2.2.6	The Motion of Josephson Vortices	65
	2.3	Long J	osephson Junctions	68
		2.3.1	The Stationary Sine-Gordon Equation	68
		2.3.2	The Josephson Vortex	70
		2.3.3	Junction Types and Boundary Conditions	73
		2.3.4	Additional Topic: Josephson Current Density Distribution and Maximum Josephson Current	79
		2.3.5	The Pendulum Analog	84
3	He	The Vo	Itage State	89
5	3 1	The Ba	asic Equation of the Lumped Iosephson Junction	90
	5.1	311	The Normal Current: Junction Resistance	90
		3.1.2	The Displacement Current: Junction Capacitance	92
		3.1.3	Characteristic Times and Frequencies	93
		3.1.4	The Fluctuation Current	94
		3.1.5	The Basic Junction Equation	96
	3.2	The Re	esistively and Capacitively Shunted Junction Model	97
		3.2.1	Underdamped and Overdamped Josephson Junctions	100
	3.3	Respor	nse to Driving Sources	102
		3.3.1	Response to a dc Current Source	102
		3.3.2	Response to a dc Voltage Source	107
		3.3.3	Response to ac Driving Sources	107
		3.3.4	Photon-Assisted Tunneling	112
	3.4	Additio Effect	onal Topic: of Thermal Fluctuations	115
		3.4.1	Underdamped Junctions: Reduction of I_c by Premature Switching	117
		3.4.2	Overdamped Junctions: The Ambegaokar-Halperin Theory	118
	3.5	Second	lary Quantum Macroscopic Effects	122
		3.5.1	Quantum Consequences of the Small Junction Capacitance	122

v

		3.5.2	Limiting Cases: The Phase and Charge Regime	125
		3.5.3	Coulomb and Flux Blockade	128
		3.5.4	Coherent Charge and Phase States	130
		3.5.5	Quantum Fluctuations	132
		3.5.6	Macroscopic Quantum Tunneling	133
	3.6	Voltage	e State of Extended Josephson Junctions	139
		3.6.1	Negligible Screening Effects	139
		3.6.2	The Time Dependent Sine-Gordon Equation	140
		3.6.3	Solutions of the Time Dependent Sine-Gordon Equation	141
		3.6.4	Additional Topic: Resonance Phenomena	144
II	Ap	plicatio	ons of the Josephson Effect	153
4	SQU	IDs		157
	4.1	The dc	-SQUID	159
		4.1.1	The Zero Voltage State	159
		4.1.2	The Voltage State	164
		4.1.3	Operation and Performance of dc-SQUIDs	168
		4.1.4	Practical dc-SQUIDs	172
		4.1.5	Read-Out Schemes	176
	4.2	Additio The rf-	onal Topic: SQUID	180
		4.2.1	The Zero Voltage State	180
		4.2.2	Operation and Performance of rf-SQUIDs	182
		4.2.3	Practical rf-SQUIDs	186
	4.3	Additio Other S	onal Topic: SQUID Configurations	188
		4.3.1	The DROS	188
		4.3.2	The SQIF	189
		4.3.3	Cartwheel SQUID	189
	4.4	Instrun	nents Based on SQUIDs	191
		4.4.1	Magnetometers	192
		4.4.2	Gradiometers	194
		4.4.3	Susceptometers	196

		4.4.4	Voltmeters
		4.4.5	Radiofrequency Amplifiers
	4.5	Applic	ations of SQUIDs
		4.5.1	Biomagnetism
		4.5.2	Nondestructive Evaluation
		4.5.3	SQUID Microscopy
		4.5.4	Gravity Wave Antennas and Gravity Gradiometers
		4.5.5	Geophysics
5	Digi	tal Elec	tronics 215
	5.1	Superc	conductivity and Digital Electronics
		5.1.1	Historical development
		5.1.2	Advantages and Disadvantages of Josephson Switching Devices
	5.2	Voltag	e State Josephson Logic
		5.2.1	Operation Principle and Switching Times
		5.2.2	Power Dissipation
		5.2.3	Switching Dynamics, Global Clock and Punchthrough
		5.2.4	Josephson Logic Gates
		5.2.5	Memory Cells
		5.2.6	Microprocessors
		5.2.7	Problems of Josephson Logic Gates
	5.3	RSFQ	Logic
		5.3.1	Basic Components of RSFQ Circuits
		5.3.2	Information in RSFQ Circuits
		5.3.3	Basic Logic Gates
		5.3.4	Timing and Power Supply
		5.3.5	Maximum Speed
		5.3.6	Power Dissipation
		5.3.7	Prospects of RSFQ
		5.3.8	Fabrication Technology
		5.3.9	RSFQ Roadmap
	5.4	Analog	g-to-Digital Converters
		5.4.1	Additional Topic:Foundations of ADCs256
		5.4.2	The Comparator
		5.4.3	The Aperture Time
		5.4.4	Different Types of ADCs

6	The	Josephson Voltage Standard 26	<u>í9</u>
	6.1	Voltage Standards	0
		6.1.1 Standard Cells and Electrical Standards	0
		6.1.2 Quantum Standards for Electrical Units	1
	6.2	The Josephson Voltage Standard	'4
		6.2.1 Underlying Physics	'4
		6.2.2 Development of the Josephson Voltage Standard	'4
		6.2.3 Junction and Circuit Parameters for Series Arrays	'9
	6.3	Programmable Josephson Voltage Standard	31
		6.3.1 Pulse Driven Josephson Arrays	33
7	Sup	erconducting Photon and Particle Detectors 28	35
	7.1	Superconducting Microwave Detectors: Heterodyne Receivers	36
		7.1.1 Noise Equivalent Power and Noise Temperature	36
		7.1.2 Operation Principle of Mixers	37
		7.1.3 Noise Temperature of Heterodyne Receivers	90
		7.1.4 SIS Quasiparticle Mixers	92
		7.1.5 Josephson Mixers	96
	7.2	Superconducting Microwave Detectors: Direct Detectors	97
		7.2.1 NEP of Direct Detectors)8
	7.3	Thermal Detectors)0
		7.3.1 Principle of Thermal Detection)0
		7.3.2 Bolometers)2
		7.3.3 Antenna-Coupled Microbolometers)7
	7.4	Superconducting Particle and Single Photon Detectors	4
		7.4.1 Thermal Photon and Particle Detectors: Microcalorimeters	.4
		7.4.2 Superconducting Tunnel Junction Photon and Particle Detectors	8
	7.5	Other Detectors	28
8	Mic	rowave Applications 32	<u>29</u>
	8.1	High Frequency Properties of Superconductors	30
		8.1.1 The Two-Fluid Model	30
		8.1.2 The Surface Impedance	33
	8.2	Superconducting Resonators and Filters	36
	8.3	Superconducting Microwave Sources	37

9	Sup	erconducting Quantum Bits	339
	9.1	Quantum Bits and Quantum Computers	. 341
		9.1.1 Quantum Bits	. 341
		9.1.2 Quantum Computing	. 343
		9.1.3 Quantum Error Correction	. 346
		9.1.4 What are the Problems?	. 348
	9.2	Implementation of Quantum Bits	. 349
	9.3	Why Superconducting Qubits	. 352
		9.3.1 Superconducting Island with Leads	. 352
II	[A	nhang	355
A	The	Josephson Equations	357
B	Ima	ging of the Maximum Josephson Current Density	361
С	Nun	nerical Iteration Method for the Calculation of the Josephson Current Distribution	363
D	Pho	ton Noise	365
	Ι	Power of Blackbody Radiation	. 365
	II	Noise Equivalent Power	. 367
E	Qub	its	369
	Ι	What is a quantum bit ?	. 369
		I.1 Single-Qubit Systems	. 369
		I.2 The spin-1/2 system	. 371
		I.3 Two-Qubit Systems	. 372
	II	Entanglement	. 373
	III	Qubit Operations	. 375
		III.1 Unitarity	. 375
		III.2 Single Qubit Operations	. 375
		III.3 Two Qubit Operations	. 376
	IV	Quantum Logic Gates	. 377
		IV.1 Single-Bit Gates	. 377
		IV.2 Two Bit Gates	. 379
	V	The No-Cloning Theorem	. 384
	VI	Quantum Complexity	. 385
	VII	The Density Matrix Representation	. 385

•	
	v
	л

F	Two	Level Systems 38	89
	Ι	Introduction to the Problem	89
		I.1 Relation to Spin-1/2 Systems	90
	II	Static Properties of Two-Level Systems	90
		II.1 Eigenstates and Eigenvalues	90
		II.2 Interpretation	91
		II.3 Quantum Resonance	94
	III	Dynamic Properties of Two-Level Systems	95
		III.1 Time Evolution of the State Vector	95
		III.2 The Rabi Formula	95
G	The	Spin 1/2 System 39	99
	Ι	Experimental Demonstration of Angular Momentum Quantization	99
	II	Theoretical Description	01
		II.1 The Spin Space	01
	III	Evolution of a Spin 1/2 Particle in a Homogeneous Magnetic Field	02
	IV	Spin 1/2 Particle in a Rotating Magnetic Field	04
		IV.1 Classical Treatment	04
		IV.2 Quantum Mechanical Treatment	06
		IV.3 Rabi's Formula	07
H	Lite	ature 40	09
	Ι	Foundations of Superconductivity	09
		I.1 Introduction to Superconductivity	09
		I.2 Early Work on Superconductivity and Superfluidity	10
		I.3 History of Superconductivity	10
		I.4 Weak Superconductivity, Josephson Effect, Flux Structures	10
	II	Applications of Superconductivity	11
		II.1 Electronics, Sensors, Microwave Devices	11
		II.2 Power Applications, Magnets, Transportation	12
		II.3 Superconducting Materials	12
I	SI-E	nheiten 41	13
	Ι	Geschichte des SI Systems	13
	II	Die SI Basiseinheiten	15
	III	Einige von den SI Einheiten abgeleitete Einheiten	16
	IV	Vorsätze	18
	V	Abgeleitete Einheiten und Umrechnungsfaktoren	19

J Physikalische Konstanten

List of Figures

1.1	Meissner-Effect	19
1.2	Current transport and decay of a supercurrent in the Fermi sphere picture	20
1.3	Stationary Quantum States	24
1.4	Flux Quantization in Superconductors	25
1.5	Flux Quantization in a Superconducting Cylinder	27
1.6	Experiment by Doll and Naebauer	29
1.7	Experimental Proof of Flux Quantization	29
1.8	Rotating superconducting cylinder	31
1.9	The Josephson Effect in weakly coupled superconductors	32
1.10	Variation of n_s^{\star} and γ across a Josephson junction	35
1.11	Schematic View of a Josephson Junction	36
1.12	Josephson Tunneling	39
2.1	Lumped Josephson Junction	45
2.2	Coupling Energy and Josephson Current	46
2.3	The Tilted Washboard Potential	48
2.4	Extended Josephson Junction	51
2.5	Magnetic Field Dependence of the Maximum Josephson Current	55
2.6	Josephson Current Distribution in a Small Josephson Junction for Various Applied Mag- netic Fields	56
2.7	Spatial Interference of Macroscopic Wave Funktions	57
2.8	The Josephson Vortex	57
2.9	Gaussian Shaped Josephson Junction	59
2.10	Comparison between Measurement of Maximum Josephson Current and Optical Diffrac- tion Experiment	60
2.11	Supercurrent Auto-correlation Function	61
2.12	Magnetic Field Dependence of the Maximum Josephson Current of a YBCO-GBJ	63

2.13	Motion of Josephson Vortices	66
2.14	Magnetic Flux and Current Density Distribution for a Josephson Vortex	70
2.15	Classification of Junction Types: Overlap, Inline and Grain Boundary Junction	74
2.16	Geometry of the Asymmetric Inline Junction	77
2.17	Geometry of Mixed Overlap and Inline Junctions	78
2.18	The Josephson Current Distribution of a Long Inline Junction	80
2.19	The Maximum Josephson Current as a Function of the Junction Length	81
2.20	Magnetic Field Dependence of the Maximum Josephson Current and the Josephson Current Density Distribution in an Overlap Junction	83
2.21	The Maximum Josephson Current as a Function of the Applied Field for Overlap and Inline Junctions	84
3.1	Current-Voltage Characteristic of a Josephson tunnel junction	91
3.2	Equivalent circuit for a Josephson junction including the normal, displacement and fluc- tuation current	92
3.3	Equivalent circuit of the Resistively Shunted Junction Model	97
3.4	The Motion of a Particle in the Tilt Washboard Potential	98
3.5	Pendulum analogue of a Josephson junction	99
3.6	The IVCs for Underdamped and Overdamped Josephson Junctions	01
3.7	The time variation of the junction voltage and the Josephson current	03
3.8	The RSJ model current-voltage characteristics	05
3.9	The RCSJ Model IVC at Intermediate Damping	07
3.10	The RCJ Model Circuit for an Applied dc and ac Voltage Source	08
3.11	Overdamped Josephson Junction driven by a dc and ac Voltage Source	10
3.12	Overdamped Josephson junction driven by a dc and ac Current Source $\ldots \ldots \ldots \ldots 1$	11
3.13	Shapiro steps for under- and overdamped Josephson junction	12
3.14	Photon assisted tunneling	13
3.15	Photon assisted tunneling in SIS Josephson junction	13
3.16	Thermally Activated Phase Slippage	16
3.17	Temperature Dependence of the Thermally Activated Junction Resistance 1	19
3.18	RSJ Model Current-Voltage Characteristics Including Thermally Activated Phase Slippage 1	20
3.19	Variation of the Josephson Coupling Energy and the Charging Energy with the Junction Area	24
3.20	Energy diagrams of an isolated Josephson junction	27
3.21	The Coulomb Blockade	28

3.22	The Phase Blockade
3.23	The Cooper pair box
3.24	Double well potential for the generation of phase superposition states
3.25	Macroscopic Quantum Tunneling
3.26	Macroscopic Quantum Tunneling at Large Damping
3.27	Mechanical analogue for phase dynamics of a long Josephson junction
3.28	The Current Voltage Characteristic of an Underdamped Long Josephson Junction 145
3.29	Zero field steps in IVCs of an annular Josephson junction
4.1	The dc-SQUID
4.2	Maximum Supercurrent versus Applied Magnetic Flux for a dc-SQUID at Weak Screening162
4.3	Total Flux versus Applied Magnetic Flux for a dc SQUID at $\beta_L > 1$
4.4	Current-voltage Characteristics of a dc-SQUID at Negligible Screening
4.5	The pendulum analogue of a dc SQUID
4.6	Principle of Operation of a dc-SQUID
4.7	Energy Resolution of dc-SQUIDs
4.8	The Practical dc-SQUID
4.9	Geometries for thin film SQUID washers
4.10	Flux focusing effect in a $YBa_2Cu_3O_{7-\delta}$ washer $\ldots \ldots 175$
4.11	The Washer dc-SQUID
4.12	The Flux Modulation Scheme for a dc-SQUID
4.13	The Modulation and Feedback Circuit of a dc-SQUID
4.14	The rf-SQUID
4.15	Total flux versus applied flux for a rf-SQUID
4.16	Operation of rf-SQUIDs
4.17	Tank voltage versus rf-current for a rf-SQUID
4.18	High T_c rf-SQUID
4.19	The double relaxation oscillation SQUID (DROS)
4.20	The Superconducting Quantum Interference Filter (SQIF)
4.21	Input Antenna for SQUIDs
4.22	Various types of thin film SQUID magnetometers
4.23	Magnetic noise signals
4.24	Magnetically shielded room
4.25	Various gradiometers configurations

4.26	Miniature SQUID Susceptometer
4.27	SQUID Radio-frequency Amplifier
4.28	Multichannel SQUID Systems
4.29	Magnetocardiography
4.30	Magnetic field distribution during R peak
4.31	SQUID based nondestructive evaluation
4.32	Scanning SQUID microscopy
4.33	Scanning SQUID microscopy images
4.34	Gravity wave antenna
4.35	Gravity gradiometer
5.1	Cryotron
5.2	Josephson Cryotron
5.3	Device performance of Josephson devices
5.4	Principle of operation of a Josephson switching device
5.5	Output current of a Josephson switching device
5.6	Threshold characteristics for a magnetically and directly coupled gate
5.7	Three-junction interferometer gate
5.8	Current injection device
5.9	Josephson Atto Weber Switch (JAWS)
5.10	Direct coupled logic (DCL) gate
5.11	Resistor coupled logic (RCL) gate
5.12	4 junction logic (4JL) gate
5.13	Non-destructive readout memory cell
5.14	Destructive read-out memory cell
5.15	4 bit Josephson microprocessor
5.16	Josephson microprocessor
5.17	Comparison of latching and non-latching Josephson logic
5.18	Generation of SFQ Pulses
5.19	dc to SFQ Converter
5.20	Basic Elements of RSFQ Circuits
5.21	RSFQ memory cell
5.22	RSFQ logic
5.23	RSFQ OR and AND Gate

5.24	RSFQ NOT Gate
5.25	RSFQ Shift Register
5.26	RSFQ Microprocessor
5.27	RSFQ roadmap
5.28	Principle of operation of an analog-to-digital converter
5.29	Analog-to-Digital Conversion
5.30	Semiconductor and Superconductor Comparators
5.31	Incremental Quantizer
5.32	Flash-type ADC 265
5.33	Counting-type ADC
6.1	Weston cell
6.2	The metrological triangle for the electrical units
6.3	IVC of an underdamped Josephson junction under microwave irradiation
6.4	International voltage comparison between 1920 and 2000
6.5	One-Volt Josephson junction array
6.6	Josephson series array embedded into microwave stripline
6.7	Microwave design of Josephson voltage standards
6.8	Adjustment of Shapiro steps for a series array Josephson voltage standard 281
6.9	IVC of overdamped Josephson junction with microwave irradiation
6.10	Programmable Josephson voltage standard
7.1	Block diagram of a heterodyne receiver
7.2	Ideal mixer as a switch
7.3	Current response of a heterodyne mixer
7.4	IVCs and IF output power of SIS mixer
7.5	Optimum noise temperature of a SIS quasiparticle mixer
7.6	Measured DSB noise temperature of a SIS quasiparticle mixers
7.7	High frequency coupling schemes for SIS mixers
7.8	Principle of thermal detectors
7.9	Operation principle of superconducting transition edge bolometer
7.10	Sketch of a HTS bolometer
7.11	Specific detectivity of various bolometers
7.12	Relaxation processes in a superconductor after energy absorption
7.13	Antenna-coupled microbolometer

Schematic illustration of the hot electron bolometer mixer
Hot electron bolometer mixers with different antenna structures
Transition-edge sensors
Transition-edge sensors
Functional principle of a superconducting tunnel junction detector
Circuit diagram of a superconducting tunnel junction detector
Energy resolving power of STJDs
Quasiparticle tunneling in SIS junctions
Quasiparticle trapping in STJDs
STJDs employing lateral quasiparticle trapping
Superconducting tunnel junction x-ray detector
Equivalent circuit for the two-fluid model
Characteristic frequency regimes for a superconductor
Surface resistance of Nb and Cu
Konrad Zuse 1945
Representation of a Qubit State as a Vector on the Bloch Sphere
Operational Scheme of a Quantum Computer
Quantum Computing: What's it good for?
Shor, Feynman, Bennett and Deutsch
Qubit Realization by Quantum Mechanical Two level System
Use of Superconductors for Qubits
Superconducting Island with Leads
The Bloch Sphere S^2
The Spin-1/2 System
Entanglement – an artist's view
Classical Single-Bit Gate
Quantum NOT Gate
Classical Two Bit Gate
Reversible and Irreversible Logic
Reversible Classical Logic
Reversible XOR (CNOT) and SWAP Gate
The Controlled U Gate

E.11	Density Matrix for Pure Single Qubit States	386
E.12	Density Matrix for a Coherent Superposition of Single Qubit States	387
F.1	Energy Levels of a Two-Level System	392
F.2	The Benzene Molecule	394
F.3	Graphical Representation of the Rabi Formula	396
G.1	The Larmor Precession	100
G.2	The Rotating Reference Frame	104
G.3	The Effective Magnetic Field in the Rotating Reference Frame	405
G.4	Rabi's Formula for a Spin 1/2 System 4	108

List of Tables

5.1	Switching delay and power dissipation for various types of logic gates
5.2	Josephson 4 kbit RAM characteristics (organization: 4096 word x 1 bit, NEC)
5.3	Performance of various logic gates
5.4	Possible applications of superconductor digital circuits (source: SCENET 2001)
5.5	Performance of various RSFQ based circuits
7.1	Characteristic materials properties of some superconductors
8.1	Important high-frequency characteristic of superconducting and normal conducting 334
E.1	Successive measurements on a two-qubit state showing the results A and B with the corresponding probabilities $P(A)$ and $P(B)$ and the remaining state after the measurement

Chapter F

Quantum Mechanical Two-Level Systems

We have seen that quantum bits can be represented by every two-level quantum system. There are numerous cases in physics, which can be in first order approximation treated simply as such kind of system. For example, a system with two states whose energies are close and differ very much from those of all other states of the system can be view as a two-level system. Therefore, we briefly summarize here the basic properties of quantum mechanical two-level systems. In particular we address the effect of an external perturbation as well as an internal interaction on the two states. The general treatment of a two-level system will provide some general and important ideas such as quantum resonance, oscillation between two levels etc..

I Introduction to the Problem

We consider a system with a two-dimensional state space. As an orthonormal basis we choose the system of the two eigenstates $|\phi_1\rangle$ and $|\phi_2\rangle$ (cf. (I.2) and I.3)) of the Hamiltonian \mathcal{H}_0 , whose eigenvalues are E_1 and E_2 , respectively:

$$\mathscr{H}_0|\phi_1\rangle = E_1|\phi_1\rangle \tag{I.1}$$

$$\mathscr{H}_0|\phi_2\rangle = E_2|\phi_1\rangle . \tag{I.2}$$

We further take into account an external perturbation or interactions internal to the system, which are not contained in \mathcal{H}_0 , which is called the unperturbed Hamiltonian. The total Hamiltonian then becomes

$$\mathscr{H} = \mathscr{H}_0 + \mathscr{W} \tag{I.3}$$

with the perturbation or coupling \mathcal{W} . The eigenvalues of \mathcal{H} are denoted by $|\Psi_+\rangle$ and $|\Psi_-\rangle$ with the corresponding eigenvalues E_+ and E_- :

$$\mathscr{H}|\Psi_{+}\rangle = E_{+}|\Psi_{+}\rangle$$
 (I.4)

$$\mathscr{H}|\Psi_{-}\rangle = E_{-}|\Psi_{-}\rangle$$
 (I.5)

For simplicity, we will assume that \mathscr{W} is time-independent. In the basis of $\{|\phi_1\rangle, |\phi_2\rangle\}$ of the unperturbed eigenstates of \mathscr{H}_0 , the perturbation \mathscr{W} is represented by a Hermitian matrix

$$\mathscr{W} = \begin{pmatrix} \mathscr{W}_{11} & \mathscr{W}_{12} \\ \mathscr{W}_{21} & \mathscr{W}_{22} \end{pmatrix} . \tag{I.6}$$

 \mathscr{W}_{11} and \mathscr{W}_{22} are real and moreover $\mathscr{W}_{12} = \mathscr{W}_{21}^{\star}$.

In the absence of any perturbation or coupling the possible eigenenergies of the system are E_1 and E_2 and the states $|\phi_1\rangle$ and $|\phi_2\rangle$ are stationary states, i.e. if the system is prepared in one of these states it stays there forever.

We now have to evaluate what happens if we are introducing a finite coupling \mathcal{W} . The consequences of the coupling are the following:

• E_1 and E_2 are no longer the possible eigenstates of the system.

If we are measuring the energy of the system only the two values E_+ and E_- are possible, which generally differ from E_1 and E_2 . Therefore, we first have to calculate the new eigenenergies E_+ and E_- in terms of E_1 and E_2 and the matrix elements \mathcal{W}_{ij} of the coupling \mathcal{W} . That is, we have to study the effect of the coupling on the position of the energy levels.

• $|\phi_1
angle$ and $|\phi_2
angle$ are no longer stationary states.

Since $|\phi_1\rangle$ and $|\phi_2\rangle$ are in general no longer eigenstates of the total Hamiltonian \mathscr{H} , they are no longer stationary states. If the system stays in the state $|\phi_1\rangle$ at the time t = 0, there is a certain probability $P_{12}(t)$ for finding the system in the state $|\phi_2\rangle$ at time t. That is, \mathscr{W} introduces transitions between the two unperturbed states. This justifies the name "coupling" for \mathscr{W} . The dynamic aspect of the effect of \mathscr{W} is the second problem we have to address.

I.1 Relation to Spin-1/2 Systems

It can be shown that the Hamiltonian \mathscr{H} has the same form as that of a spin 1/2 placed in a static magnetic field **B**, whose components B_x , B_y and B_z are expressed in terms of E_1 and E_2 and the matrix elements \mathscr{W}_{ij} . That means that we can associate with every two-level system a spin 1/2 placed in a static field **B** and described by a Hamiltonian of identical form. The spin is then called a *fictitious spin*. All results we are deriving in the following can then be interpreted in a simple geometric way in terms of a magnetic moment, Larmor precession and other concepts used for spin 1/2 systems. This geometrical interpretation often helps to get a helpful illustration of what is going on. For a discussion of the spin-1/2-system, see Appendix G.

II Static Properties of Two-Level Systems

II.1 Eigenstates and Eigenvalues

We first write the Hamiltonian \mathscr{H} in the $\{|\phi_1\rangle, |\phi_2\rangle\}$ basis of the unperturbed eigenstates:

$$\mathscr{H} = \begin{pmatrix} \mathscr{H}_0 + \mathscr{W}_{11} & \mathscr{W}_{12} \\ \mathscr{W}_{21} & \mathscr{H}_0 + \mathscr{W}_{22} \end{pmatrix} . \tag{II.7}$$

With $|\Psi\rangle = a|\phi_1\rangle + b|\phi_2\rangle$ we obtain the eigenvalue equation

$$\begin{pmatrix} E_1 + \mathcal{W}_{11} - E & \mathcal{W}_{12} \\ \mathcal{W}_{12}^{\star} & E_2 + \mathcal{W}_{22} - E \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = 0 .$$
(II.8)

© Walther-Meißner-Institut

Upon diagonalization of the matrix we find the eigenvalues

$$E_{+} = \frac{1}{2}(E_{1} + W_{11} + E_{2} + W_{22}) + \frac{1}{2}\sqrt{(E_{1} + W_{11} - E_{2} - W_{22})^{2} + 4|W_{12}|^{2}}$$
(II.9)

$$E_{-} = \frac{1}{2}(E_1 + W_{11} + E_2 + W_{22}) - \frac{1}{2}\sqrt{(E_1 + W_{11} - E_2 - W_{22})^2 + 4|W_{12}|^2} .$$
(II.10)

We immediately see that E_+ and E_- are identical to E_1 and E_2 for W = 0. The corresponding eigenvectors can be written as

$$|\Psi_{+}\rangle = \cos\frac{\theta}{2}e^{-\iota\varphi/2}|\phi_{1}\rangle + \sin\frac{\theta}{2}e^{+\iota\varphi/2}|\phi_{2}\rangle$$
(II.11)

$$|\Psi_{-}\rangle = -\sin\frac{\theta}{2}e^{-\iota\varphi/2}|\phi_{1}\rangle + \cos\frac{\theta}{2}e^{+\iota\varphi/2}|\phi_{2}\rangle , \qquad (II.12)$$

where the angle θ and ϕ are given by

$$\tan \theta = \frac{2|W_{12}|}{E_1 + W_{11} - E_2 - W_{22}}$$
(II.13)

$$W_{21} = |W_{21}| e^{i\varphi} . (II.14)$$

II.2 Interpretation

In order to discuss the above results we first will do a graphical representation of the effect of coupling. The most interesting effect of the perturbation \mathcal{W} is the fact that it possesses off-diagonal matrix elements $\mathcal{W}_{12} = \mathcal{W}_{21}^{\star}$. If the off-diagonal terms would vanish, the eigenstates of \mathcal{H} would be the same as those of \mathcal{H}_0 and the new eigenenergies would be $E_1 + W_{11}$ and $E_2 + W_{22}$. Since the diagonal terms of the perturbation are not very interesting, we will assume $W_{11} = W_{22} = 0$ in the following. With this assumption the expression for the eigenenergies simplify to

$$E_{+} = \frac{1}{2}(E_{1} + E_{2}) + \frac{1}{2}\sqrt{(E_{1} - E_{2})^{2} + 4|W_{12}|^{2}}$$
(II.15)

$$E_{-} = \frac{1}{2}(E_{1} + E_{2}) - \frac{1}{2}\sqrt{(E_{1} - E_{2})^{2} + 4|W_{12}|^{2}}$$
(II.16)

with

$$\tan \theta = \frac{2|W_{12}|}{E_1 - E_2} \qquad 0 \le \theta < \pi \qquad (II.17)$$
$$W_{12} = |W_{21}| e^{i\varphi} . \qquad (II.18)$$

By introducing the two parameters

$$E_m \equiv \frac{1}{2}(E_1 + E_2)$$
 (II.19)

$$\Delta \equiv \frac{1}{2}(E_1 - E_2) \tag{II.20}$$

we obtain



Figure F.1: Variation of the eigenenergies E_+ and E_- as a function of the parameter $\Delta = (E_1 - E_2)/2$. Also shown are the energies E_1 and E_2 (dashed lines).

$$E_{+} = E_{m} + \frac{1}{2}\sqrt{\Delta^{2} + 4|W_{12}|^{2}}$$
(II.21)

$$E_{-} = E_{m} - \frac{1}{2}\sqrt{\Delta^{2} + 4|W_{12}|^{2}} . \qquad (II.22)$$

We see that a variation of E_m corresponds to a shift of the eigenenergies E_+ and E_- along the energy axis. It can be further seen from (F.II.11) to (F.II.14) that the eigenstates $|\Psi_+\rangle$ and $|\Psi_-\rangle$ are not affected by changes of E_m . We therefore are not interested in the effect of E_m . In the following we will set the origin of the energy scale such that $E_m = 0$.

The influence of the parameter Δ is more interesting. In Fig. F.1 we have plotted the variation of the eigenenergies E_+ , E_- , E_1 and E_2 as a function of the parameter $\Delta = (E_1 - E_2)/2$. It is evident that for E_1 and E_2 two straight lines are obtained with slopes +1 and -1, respectively. According to (F.II.21) and (F.II.22), E_+ and E_- describe two branches of a hyperbola, which is symmetrical with respect to the $E = E_m$ and $\Delta = 0$ axis. The asymptotes of the hyperbola are the two straight lines associated with the unperturbed levels. The minimum separation between the two branches is $2|W_{12}|$. We immediately see that $E_+ \rightarrow E_1$ and $E_- \rightarrow E_2$ for $E_1 > E_2$ as well as $E_+ \rightarrow E_2$ and $E_- \rightarrow E_1$ for $E_1 < E_2$.

Discussing the effect of the coupling on the position of the energy levels we see the following: First, in the absence of any coupling the levels (E_1 and E_2) cross at the position ($E = E_m, \Delta = 0$). Under the effect of the off-diagonal coupling the two perturbed levels E_+ and E_- repel each other, i.e. the energy values move further apart from each other, and we obtain the typical *anti-crossing behavior*. We also see that for any Δ we have

$$|E_{+} - E_{-}| > |E_{1} - E_{2}| . (II.23)$$

This result is well known from other fields of physics. For example, in electronic circuit theory the coupling separates the normal frequencies.

© Walther-Meißner-Institut

Near the asymptotes we have $|\Delta| \gg |W_{12}|$ and the expressions (F.II.21) and (F.II.22) can be expanded into a power series in $|W_{12}/\Delta|$:

$$E_{+} = E_{m} + \Delta \left(1 + \frac{1}{2} \left| \frac{W_{12}}{\Delta} \right|^{2} + \dots \right)$$
(II.24)

$$E_{-} = E_{m} - \Delta \left(1 + \frac{1}{2} \left| \frac{W_{12}}{\Delta} \right|^{2} + \dots \right)$$
(II.25)

On the other hand, for Δ close to zero we obtain

$$E_{+} = E_{m} + |W_{12}| \tag{II.26}$$

$$E_{-} = E_{m} - |W_{12}| . (II.27)$$

From this we immediately see that the effect of coupling is more important when the two unperturbed levels have about the same energy. The effect is then of first order as seen from (F.II.26) and (F.II.27), whereas according to (F.II.24) and (F.II.25) it is of second order for $|\Delta| \gg |W_{12}|$.

We next have to discuss the effect of the coupling on the eigenstates. With the parameters E_m and Δ we can rewrite (F.II.17) as

$$\tan\theta = \frac{|W_{12}|}{\Delta} . \tag{II.28}$$

That is, for strong coupling, i.e. $\Delta \ll |W_{12}|$, we have $\theta \simeq \pi/2$. In contrast, for weak coupling, i.e. $\Delta \gg |W_{12}|$, we have $\theta \simeq 0$. Then, at the center of the hyperbola when $E_1 = E_2$, ($\Delta = 0$) we have

$$|\Psi_{+}\rangle = \frac{1}{\sqrt{2}} \left[e^{-\iota \varphi/2} |\phi_{1}\rangle + e^{+\iota \varphi/2} |\phi_{2}\rangle \right]$$
(II.29)

$$|\Psi_{-}\rangle = \frac{1}{\sqrt{2}} \left[-e^{-\iota \varphi/2} |\phi_1\rangle + e^{+\iota \varphi/2} |\phi_2\rangle \right] . \tag{II.30}$$

Near the asymptotes, when $|\Delta| \gg |W_{12}|$ (weak coupling), we obtain in first order of $|W_{12}|/\Delta$:

$$|\Psi_{+}\rangle = e^{-i\phi/2} \left[|\phi_{1}\rangle + e^{+i\phi} \frac{|W_{12}|}{2\Delta} |\phi_{2}\rangle + \dots \right]$$
(II.31)

$$|\Psi_{-}\rangle = e^{+\iota\varphi/2} \left[|\phi_{2}\rangle - e^{-\iota\varphi} \frac{|W_{12}|}{2\Delta} |\phi_{1}\rangle + \dots \right] . \tag{II.32}$$

As expected, for weak coupling ($\Delta \ll |W_{12}|$) the perturbed states differ only slightly from the unperturbed ones. According to (F.II.31) the state $\Psi_+\rangle$ differs from $\phi_1\rangle$ only by the global phase factor $e^{-i\varphi/2}$ with an additional small contribution of the state $\phi_2\rangle$. According to (F.II.32) the same is true for $\Psi_-\rangle$. On the other hand, for strong coupling ($\Delta \gg |W_{12}|$) according to (F.II.29) and (F.II.30) the states $|\Psi_+\rangle$ and $|\Psi_-\rangle$ are very different from the unperturbed states $|\phi_1\rangle$ and $|\phi_2\rangle$, since they are linear superpositions of them with coefficients of the same modulus.



Figure F.2: The two possible configurations of the double bonds in a benzene molecule (top) and of the circulating current in a superconducting loop containing a Josephson junction (bottom).

II.3 Quantum Resonance

We briefly discuss the case where the eigenenergies of \mathcal{H}_0 are two-fold degenerate, i.e. $E_1 = E_2 = E_m$. In this case the coupling W_{12} lifts the degeneracy as discussed above giving rise to a level with reduced energy. That means that if the ground state of a physical system is two-fold degenerate and all other levels are sufficiently far away any purely off-diagonal coupling between the corresponding states is causing a reduction of the ground state energy of the system.

There are many examples of this phenomenon such as the resonance stabilization of the benzene C₆H₆ molecule shown in Fig. F.2. The ground state of the molecule includes three double bonds between neighboring carbons. The eigenfunctions $|\phi_1\rangle$ and $|\phi_2\rangle$ correspond to the two possible configurations of the double bonds shown in Fig. F.2. By symmetry reasons we expect that the ground state energy of the system is $\langle \phi_1 | \mathscr{H} | \phi_1 \rangle = \langle \phi_2 | \mathscr{H} | \phi_2 \rangle = E_m$ resulting in a two-fold degenerate ground state. However, the off-diagonal matrix element $\langle \phi_1 | \mathscr{H} | \phi_2 \rangle$ is not zero resulting in a finite coupling between the states $|\phi_1\rangle$ and $|\phi_2\rangle$. This gives rise to two distinct energy levels with one having an energy lower than E_m . Therefore, the benzene molecule is more stable than we would have expected and the true ground state of the molecule is not represented by one of the two configurations shown in Fig. F.2. The true ground state rather is a superposition of the two configurations.

Further examples are the ionized hydrogen molecule H_2^+ consisting of two protons and one electron. Again there are two possible configuration with the electron localized at proton 1 and proton 2 with degenerate energies. By a finite coupling of these two configurations we again obtain a states with reduced energy. In this state the electron is no longer localized at one of the protons but is delocalized. It is this delocalization which is by reducing the potential energy responsible for the chemical bond.

In chapter 9 as a further example we discuss a superconducting loop with an odd number of Josephson junctions. For half of a flux quantum in the loop there are two degenerate states with circulating currents in opposite direction. Again by a finite coupling a state with lowered energy is achieved given by a superposition of the two configurations.

© Walther-Meißner-Institut

III Dynamic Properties of Two-Level Systems

III.1 Time Evolution of the State Vector

We assume a state vector at the instant t given by the superposition

$$|\Psi(t)\rangle = a(t)|\phi_1\rangle + b(t)|\phi_2\rangle . \tag{III.33}$$

The evolution of the state vector is determined by the Schrödinger equation

$$i\hbar \frac{d}{dt} |\Psi(t)\rangle = (\mathscr{H}_0 + \mathscr{W}) |\Psi(t)\rangle = (\mathscr{H}_0 + \mathscr{W}) (a(t)|\phi_1\rangle + b(t)|\phi_2\rangle) .$$
(III.34)

By projecting this equation onto the basis vectors $|\phi_1\rangle$ and $|\phi_2\rangle$, we obtain (for $W_{11} = W_{22} = 0$):

$$i\hbar \frac{d}{dt}a(t) = E_1a(t) + W_{12}b(t)$$
 (III.35)

$$i\hbar \frac{d}{dt}b(t) = W_{21}a(t) + E_2b(t)$$
 (III.36)

For finite coupling $(|W_{12}| \neq 0)$ we obtain a linear system of homogeneous coupled differential equations. In order to solve this system we have to look for the eigenvectors Ψ_+ with eigenvalue E_+ and Ψ_- with eigenvalue E_- of the operator $\mathscr{H} = \mathscr{H}_0 + \mathscr{W}$, whose matrix elements are the coefficients of equations (F.III.35) and (F.III.36). We then have to decompose $\Psi(0)$ in terms of Ψ_+ and Ψ_- as

$$|\Psi(0)\rangle = \alpha |\Psi_{+}\rangle + \beta |\Psi_{-}\rangle , \qquad (III.37)$$

where α and β are determined by the initial conditions. We then have

$$|\Psi(t)\rangle = \alpha e^{-iE_{+}t/\hbar} |\Psi_{+}\rangle + \beta e^{-iE_{-}t/\hbar} |\Psi_{-}\rangle , \qquad (III.38)$$

which enables us to derive a(t) and b(t) by projecting $|\Psi(t)\rangle$ onto the basis states $|\phi_1\rangle$ and $|\phi_2\rangle$.

It can be shown that a system with the basis state given by (F.III.38) oscillates between the two unperturbed states $|\phi_1\rangle$ and $|\phi_2\rangle$. To demonstrate that we assume that $|\Psi(0)\rangle = |\phi_1\rangle$ and calculate the probability $P_{12}(t)$ of finding the system in the basis state $|\phi_2\rangle$ at the time *t*.

III.2 The Rabi Formula

We first express the state $|\Psi(0)\rangle = |\phi_1\rangle$ on the $\{|\Psi_+\rangle, |\Psi_-\rangle\}$ basis. By inverting the expressions (F.II.11) and (F.II.12) we obtain

$$|\Psi(0)\rangle = |\phi_1\rangle = e^{+\iota\phi/2} \left[\cos\frac{\theta}{2}|\Psi_+\rangle - \sin\frac{\theta}{2}|\Psi_-\rangle\right] . \tag{III.39}$$

Using the time evolution (F.III.38) we then obtain

$$|\Psi(t)\rangle = e^{+\iota\varphi/2} \left[\cos\frac{\theta}{2} e^{-\iota E_+ t/\hbar} |\Psi_+\rangle - \sin\frac{\theta}{2} e^{-\iota E_- t/\hbar} |\Psi_-\rangle \right] .$$
(III.40)



Figure F.3: Variation of the probability P_{12} of finding the system in state $|\varphi_2\rangle$ at time t, when it was in state $|\varphi_1\rangle$ at t = 0. $P_{12}(t)$ is shown for three different values of the parameter $\xi = |W_{12}|^2/(E_1 - E_2)^2$ (weak coupling: $\xi \ll 1$, strong coupling: $\xi \gg 1$).

The probability amplitude of finding the system in state $|\phi_2\rangle$ at time t is given by

$$\begin{aligned} \langle \phi_2 | \Psi(t) \rangle &= e^{+\iota \varphi/2} \left[\cos \frac{\theta}{2} e^{-\iota E_+ t/\hbar} \langle \phi_2 | \Psi_+ \rangle - \sin \frac{\theta}{2} e^{-\iota E_- t/\hbar} \langle \phi_2 | \Psi_- \rangle \right] \\ &= e^{+\iota \varphi/2} \sin \frac{\theta}{2} \cos \frac{\theta}{2} \left[e^{-\iota E_+ t/\hbar} - e^{-\iota E_- t/\hbar} \right] . \end{aligned}$$
(III.41)

With this expression we obtain

$$P_{12}(t) = |\langle \phi_2 | \Psi(t) \rangle|^2 = \frac{1}{2} \sin^2 \theta \left[1 - \cos \left(\frac{E_+ - E_-}{\hbar} t \right) \right]$$

= $\sin^2 \theta \sin^2 \left(\frac{E_+ - E_-}{2\hbar} t \right)$. (III.42)

Using the expression (F.II.15) and (F.II.16) for E_+ and E_- we can rewrite this equation to obtain the so called *Rabi formula*

$$P_{12}(t) = \frac{2|W_{12}|^2}{4|W_{12}|^2 + (E_1 - E_2)^2} \sin^2 \left[\sqrt{4|W_{12}|^2 + (E_1 - E_2)^2} \frac{t}{2\hbar}\right] .$$
(III.43)

We see from (F.III.42) and (F.III.43) that $P_{12}(t)$ oscillates with the frequency $(E_+ - E_-)/\hbar$, which is the Bohr frequency of the system. We further see that $P_{12}(t)$ varies between zero and a maximum value equal to $\sin^2 \theta$, which is obtained for the times $t = (2n+1)\pi\hbar/(E_+ - E_-)$ with n = 0, 1, 2, 3, ... (see Fig. F.3). According to (F.III.43) the value of $\sin^2 \theta$ as well as the oscillation frequency are functions of $|W_{12}|$ and $(E_1 - E_2)$.

For $E_1 = E_2$ we have $(E_+ - E_-)/\hbar = 2|W_{12}|/\hbar$. Then, according to (F.III.43) $P_{12}(t)$ has the maximum possible value of unity at the moments $t = (2n+1)\pi\hbar/2|W_{12}|$. That is, the system that is originally in the state $|\phi_1\rangle$ at t = 0 is in the state $|\phi_2\rangle$ at $t = \pi\hbar/2|W_{12}|$. Evidently any coupling between two states of equal

energy causes the system to oscillate completely between the two states at a frequency proportional to the coupling. This phenomenon is known also for classical systems. For example, when we couple two pendulums of the same frequency by suspending them from the same support and we set only pendulum 1 into motion at t = 0, we will have after a certain time pendulum 1 in complete rest whereas pendulum 2 is oscillating with the initial amplitude of pendulum 1.

Fig. F.3 shows that the oscillation period $(E_+ - E_-)/\hbar$ of $P_{12}(t)$ decreases when $(E_1 - E_2)$ increases due to a decrease of the parameter $\xi = |W_{12}|^2/(E_1 - E_2)^2$ at constant $|W_{12}|$. Note that for weak coupling $(|W_{12}| \ll E_1 - E_2)$ we have $\xi \ll 1$ and hence $\sin^2 \theta$ becomes very small. This is not surprising, since in the case of weak coupling the state $|\phi_1\rangle$ is very close to the stationary state $\Psi_+\rangle$ and therefore the system starting at state $|\phi_1\rangle$ evolves very little over time.

Above we have mentioned the H_2^+ molecule as an example for a two-level system. According to the result (F.III.43) we expect an oscillation of the electron between the two protons of the molecule at a frequency given by the Bohr frequency $(E_+ - E_-)/\hbar$ given by the two stationary states $\Psi_+\rangle$ and $\Psi_-\rangle$ of the molecule. This oscillation corresponds to an oscillation of the mean value of the electric dipole moment of the molecule. Therefore, when the molecule is not in a stationary state, an oscillating dipole field can appear. Such an oscillating dipole can exchange energy with an electromagnetic field of the same frequency. Hence, this frequency must be seen in the absorption and emission spectrum of the molecule. Of course, the same is true for a superconducting flux or charge qubit representing a two-level system. In many experiments the interaction of an electromagnetic field of varying frequency with the qubit has been measured showing absorption/emission features at the characteristic frequency $(E_+ - E_-)/\hbar$.