



Walther
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Institut



Technische
Universität
München



Superconductivity and Low Temperature Physics I



**Lecture Notes
Winter Semester 2022/2023**

**R. Gross
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Chapter 7

High Temperature Superconductivity



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Superconductivity and Low Temperature Physics I

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Lecture No. 13

R. Gross
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7 High Temperature Superconductivity



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7.4 Unconventional Superconductivity

7.4.1 Symmetry of the Pair Wave Function in Cuprates

7.5 Superconducting Properties

7.5.1 Anisotropy

7.1 Discovery of the High T_c Superconductivity

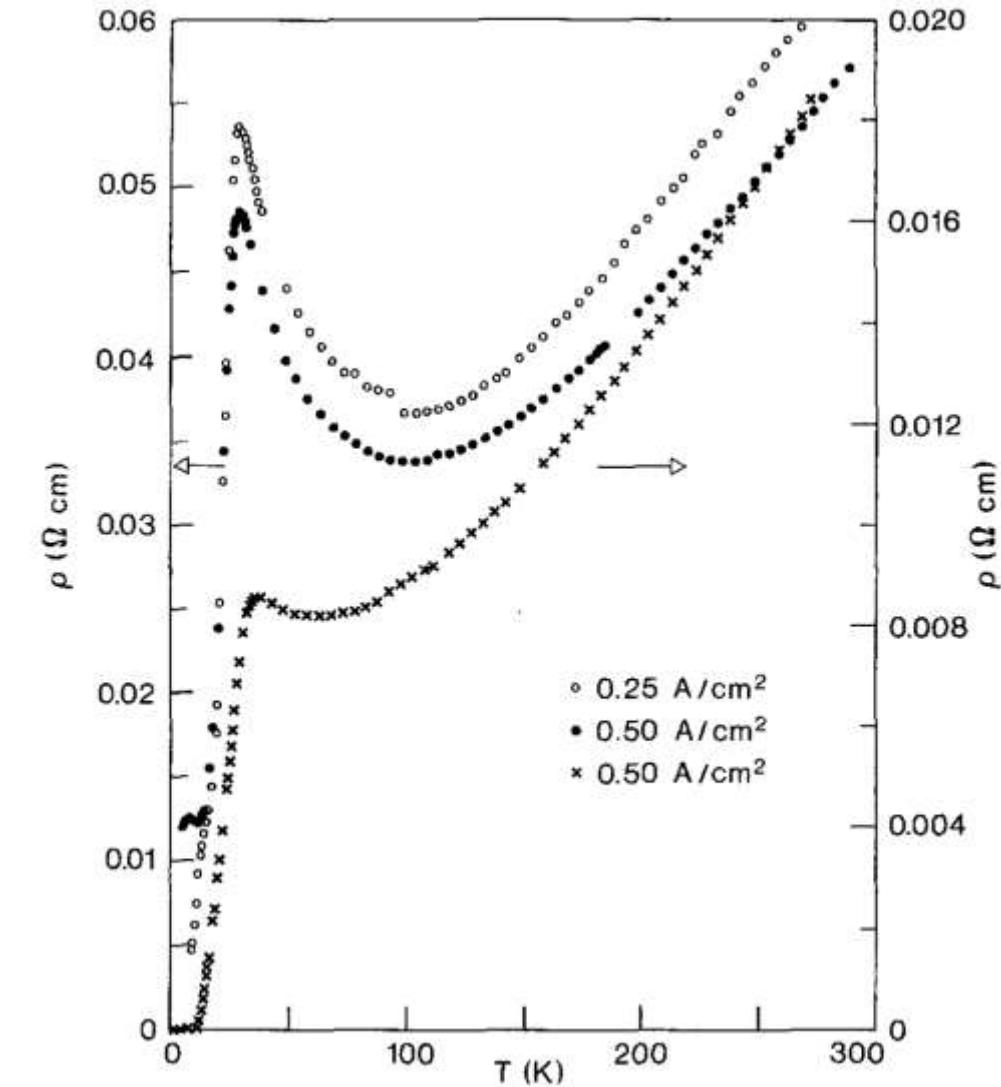
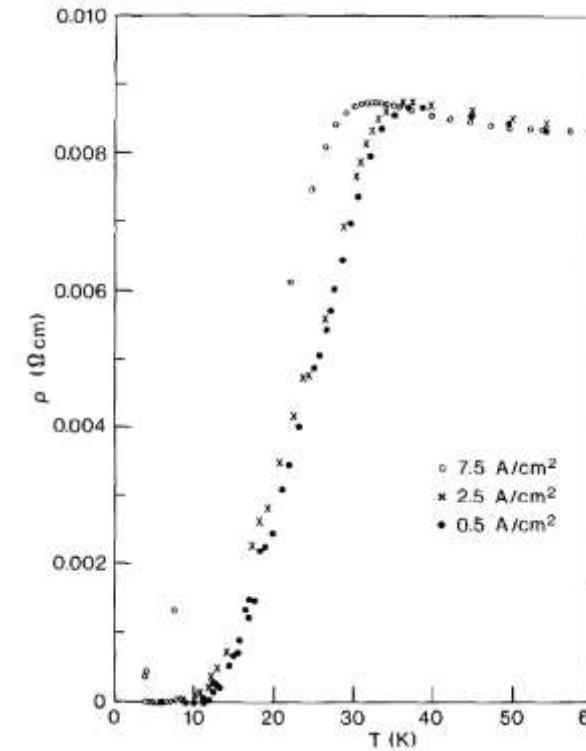
Possible High T_c Superconductivity in the Ba – La – Cu – O System

J.G. Bednorz and K.A. Müller

IBM Zürich Research Laboratory, Rüschlikon, Switzerland

Received April 17, 1986

→ no Meißner effect
demonstrated !!



J. G. Bednorz, K. A. Müller, Zeitschrift für Physik B Condensed Matter **64**, 189–193 (1986)

7.1 Discovery of the High T_c Superconductivity



Karl Alexander Müller

* 20. April 1927 in Basel

† 09. Januar 2023 in Zürich

Johannes Georg Bednorz

* 16. Mai 1950 in Neuenkirchen im Kreis Steinfurt

7.1 Discovery of the High T_c Superconductivity

VOLUME 58, NUMBER 9

PHYSICAL REVIEW LETTERS

2 MARCH 1987

Superconductivity at 93 K in a New Mixed-Phase Y-Ba-Cu-O Compound System at Ambient Pressure

M. K. Wu, J. R. Ashburn, and C. J. Torng

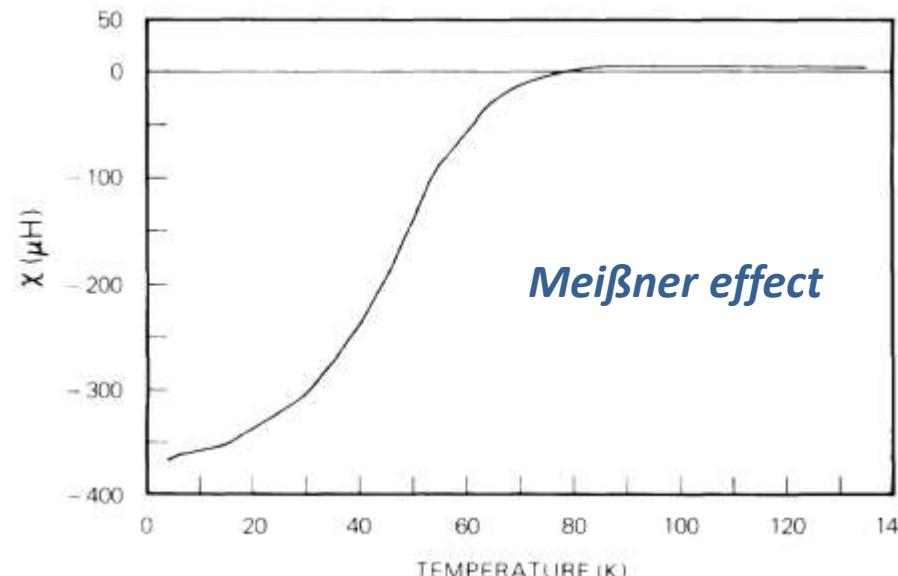
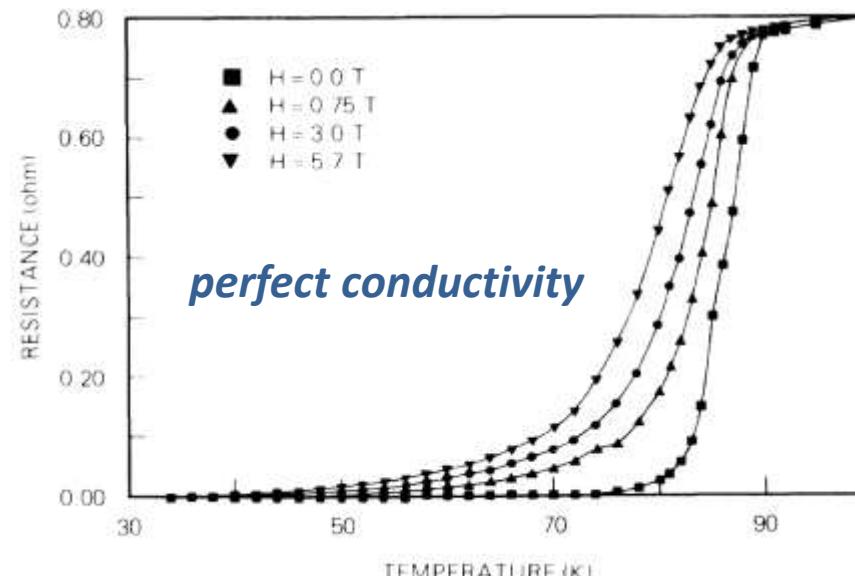
Department of Physics, University of Alabama, Huntsville, Alabama 35899

and

P. H. Hor, R. L. Meng, L. Gao, Z. J. Huang, Y. Q. Wang, and C. W. Chu^(a)

Department of Physics and Space Vacuum Epitaxy Center, University of Houston, Houston, Texas 77004

(Received 6 February 1987; Revised manuscript received 18 February 1987)



→ „Woodstock of Physics“ at APS March Meeting 1987

7.1 Discovery of the High T_c Superconductivity



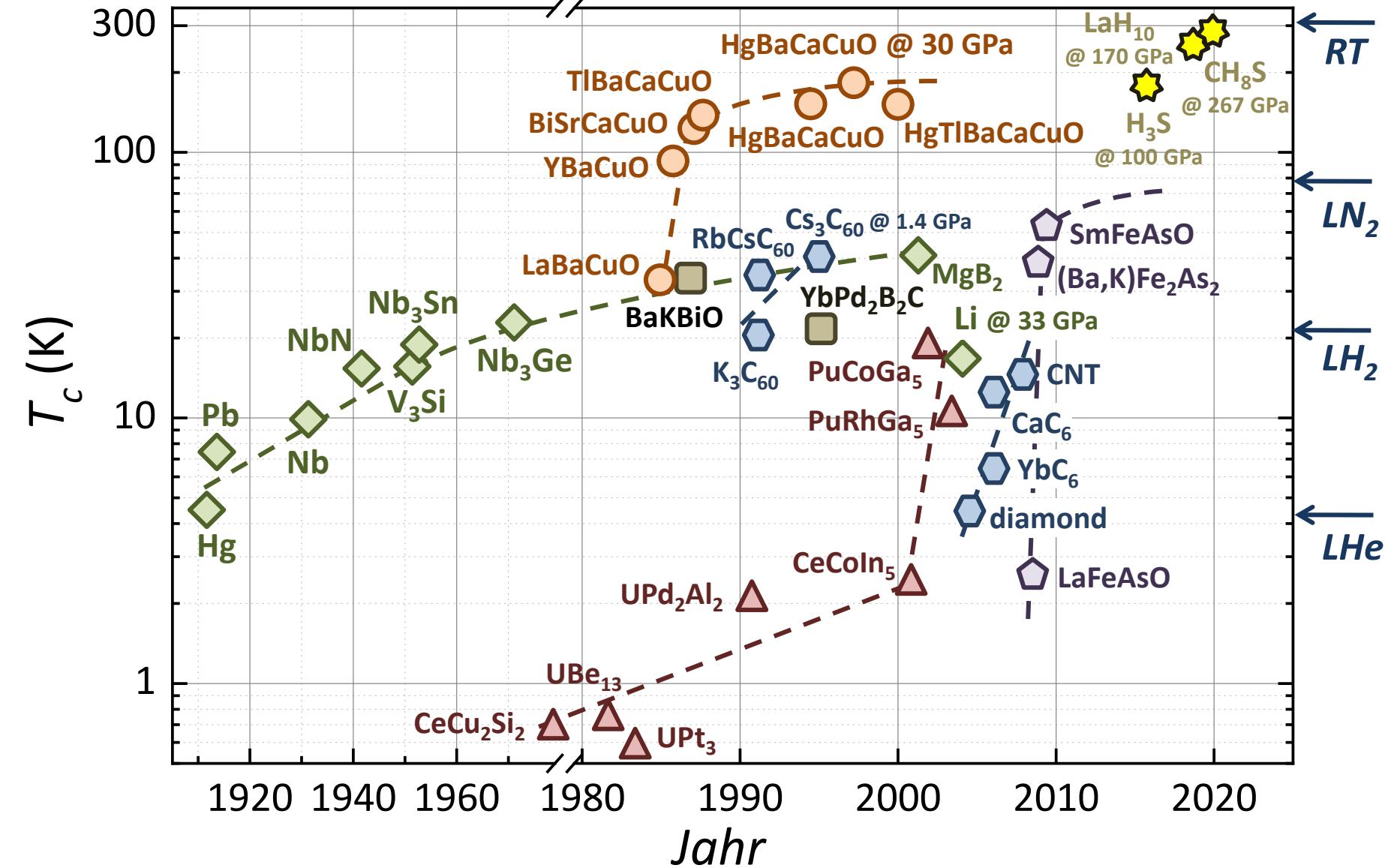
J. Georg Bednorz (b. 1950) K. Alexander Müller (b. 1927)

Nobel Prize in Physics 1987

"for their important break-through in the discovery of superconductivity in ceramic materials"

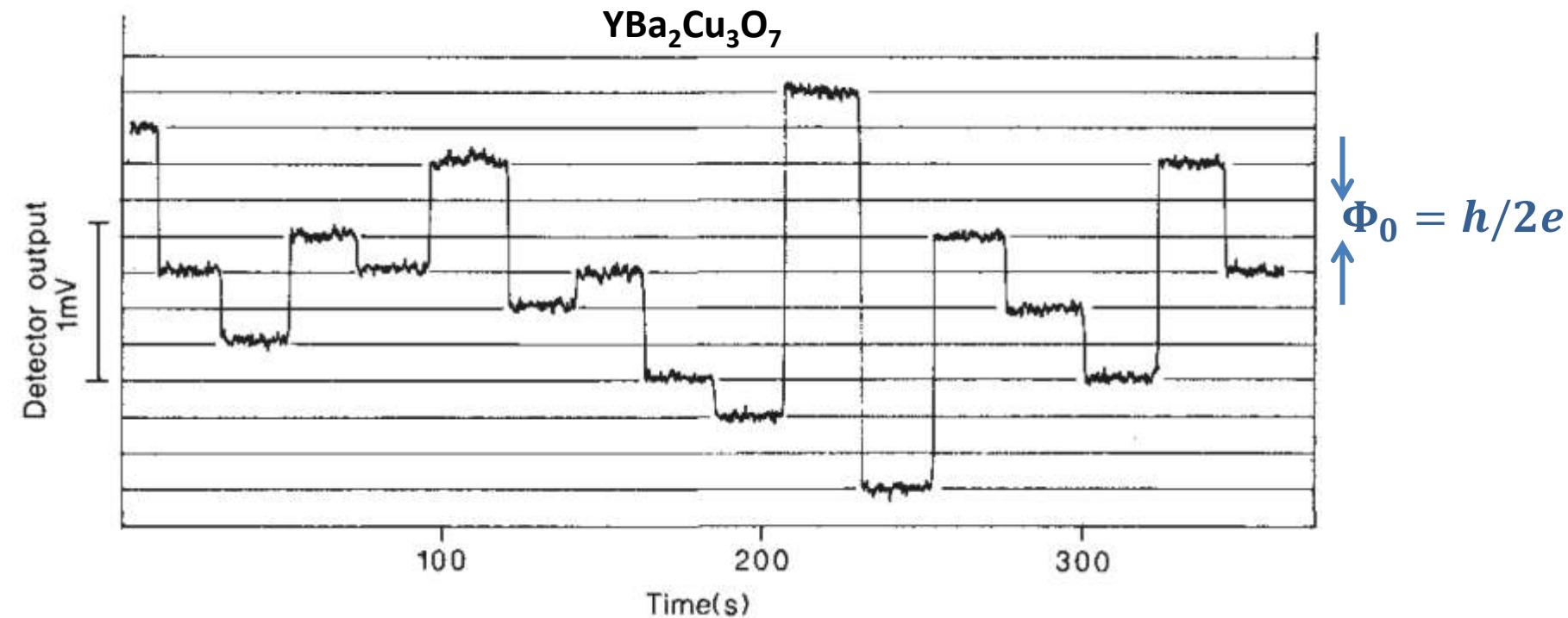
original idea: search for materials with strong electron-phonon coupling → **ferroelectrics**

7.1 Discovery of the High T_c Superconductivity



7.1 Discovery of the High T_c Superconductivity

- evidence for Cooper pairing in cuprate superconductors



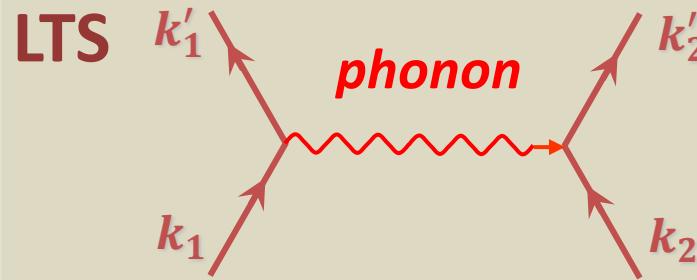
detection of flux jumps with height $n \cdot \Phi_0 = n \cdot \frac{h}{2e}$

C.E. Gough *et al.*,
Nature **326**, 855 (30 April 1987); doi:10.1038/326855a0

Knight shift: spin-singlet Cooper pairs

S. E. Barret *et al.*,
Phys. Rev. B **41** 6283 (1990); doi:10.1103/PhysRevB.41.6283

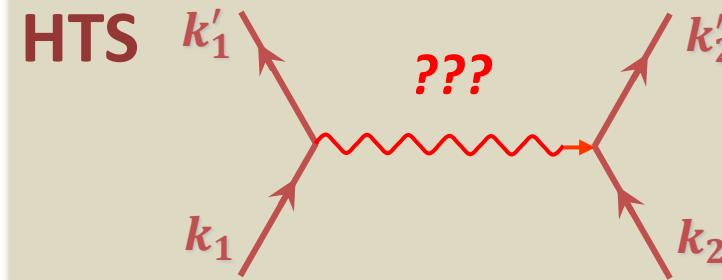
7.1 Discovery of the High T_c Superconductivity



- *spin singlet Cooper pairs ($S = 0$)*
- *orbital symmetry: s-wave*
- $\xi^3 \gg 1/n_s \rightarrow$ *rigid phase*
- *isotropic*

normal state:

→ *metals (Fermi liquid)*



- *spin singlet Cooper pairs ($S = 0$)*
- *orbital symmetry: d-wave, mixed*
- $\xi^3 \simeq 1/n_s \rightarrow$ *phase fluctuations*
- *anisotropic*

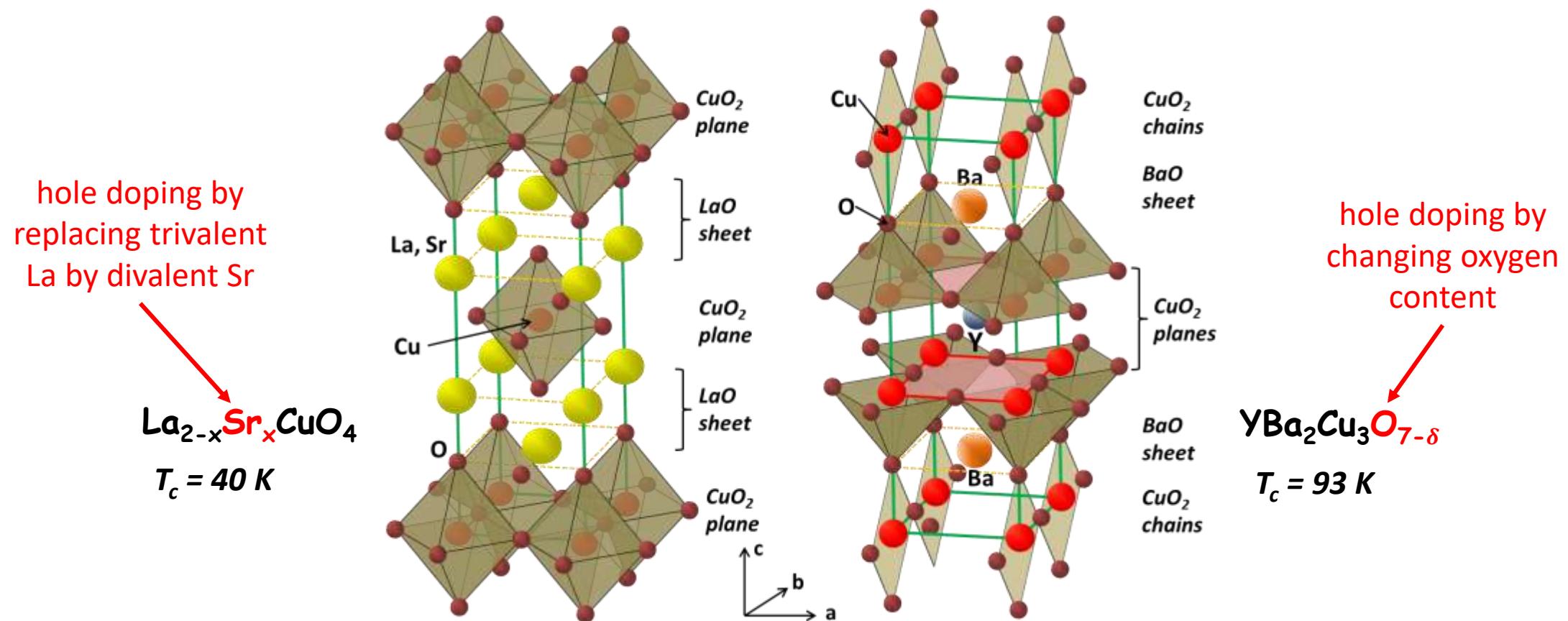
normal state:

→ *doped afm insulators*
→ *competing / coexisting ordering phenomena*

7.2 Crystal Structure and Phase Diagram

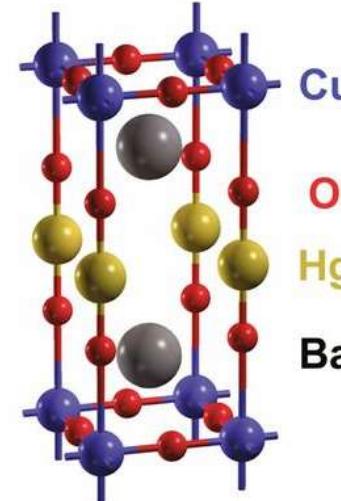
- *crystal structure of cuprate superconductors*

- discovered by **Bednorz** and **Müller** in 1986 in $\text{La}_{2-x}\text{Ba}_x\text{CuO}_4$ (Zurich oxide)
- until today several compounds found with T_c up to 135 K (165 K under pressure)
- layered crystal structure formed by CuO_2 planes and charge reservoir layers

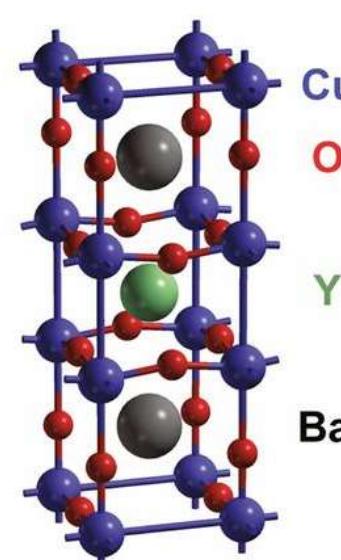


7.2.1 Crystal Structure

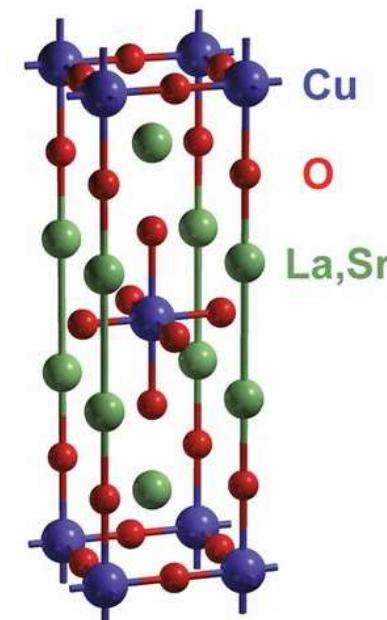
$HgBa_2CuO_{4+\delta}$
(Hg1201)



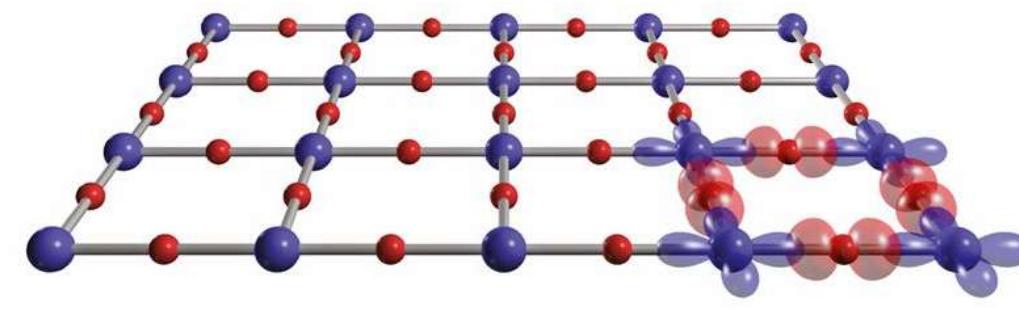
$YBa_2Cu_3O_{6+\delta}$
(YBCO)



$La_{2-x}Sr_xCuO_4$
(LSCO)



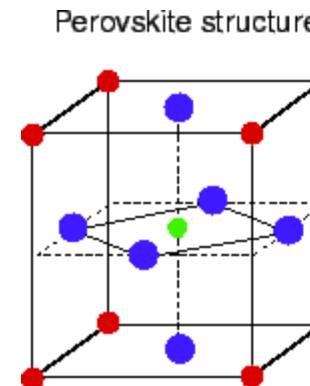
$Tl_2Ba_2CuO_{6+\delta}$
(TI2201)



7.2.1 Crystal Structure

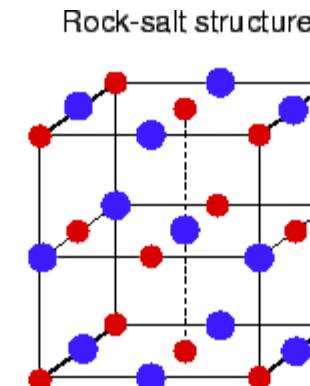
- HTS materials usually have complicated crystal structures
- almost all of the compounds consist of at least three different chemical elements
- most of the materials are layered cuprates, i.e., they consist of CuO planes separated by other planes of insulating rare-earth elements or other oxides
- the crystal lattice is composed of three different building blocks:

(i) perovskite



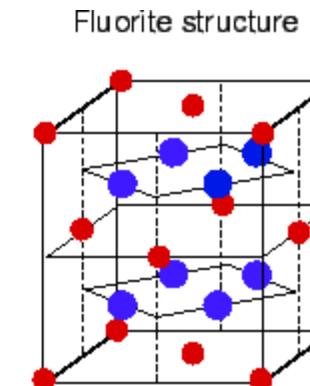
(a)

(ii) the rock-salt

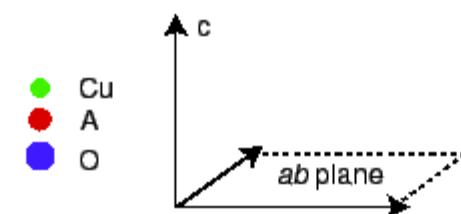


(b)

(iii) fluorite blocks

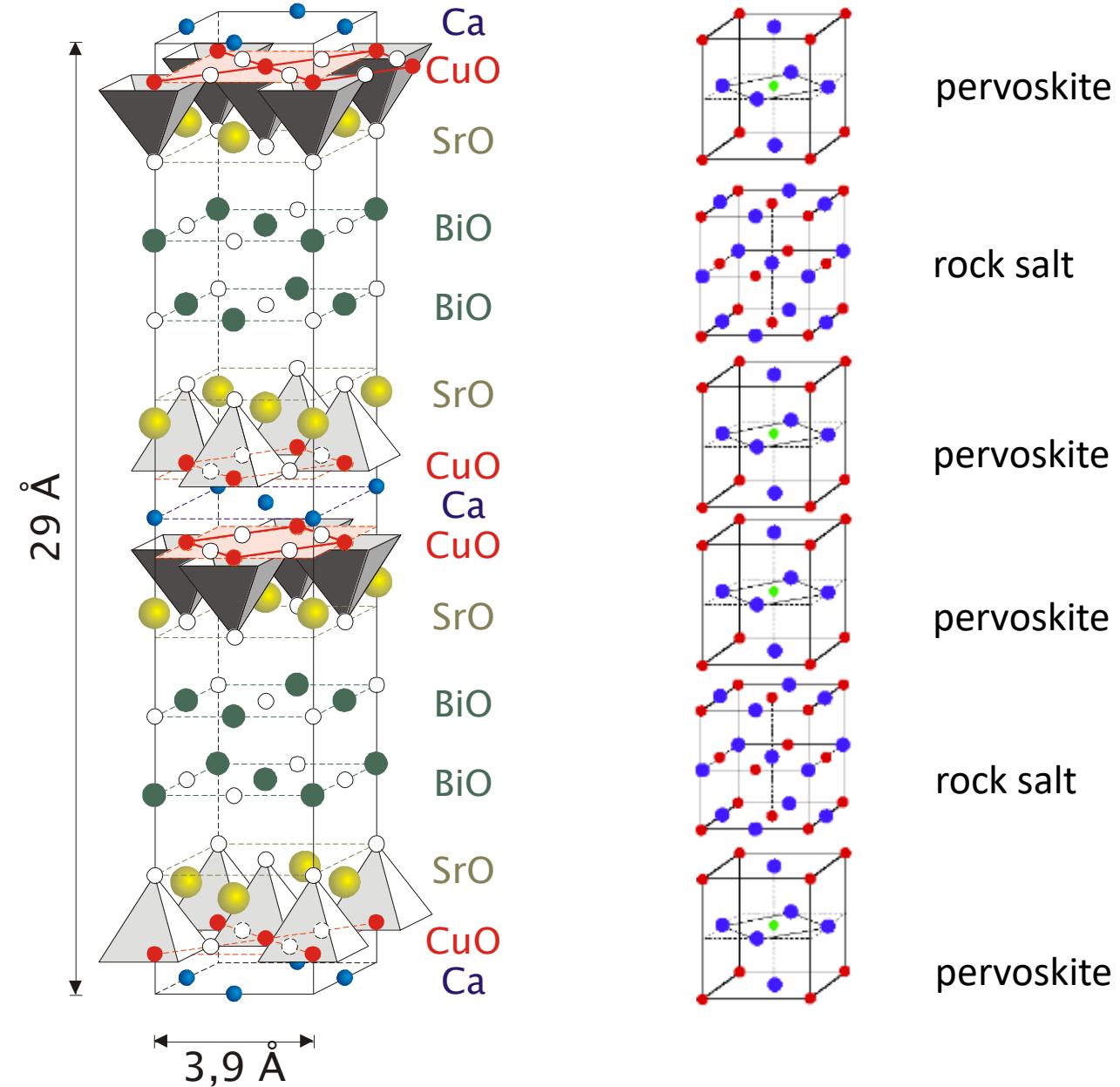


(c)



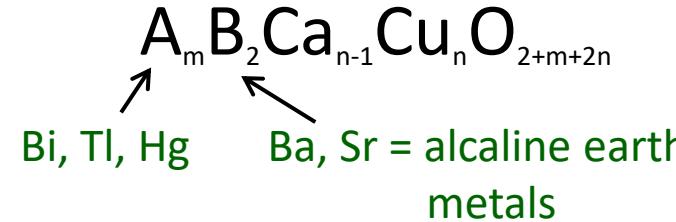
7.2.1 Crystal Structure

$\text{Bi}_2\text{Sr}_2\text{Ca}_1\text{Cu}_2\text{O}_8$
= Bi-2212 ($\approx 90 \text{ K}$)



7.2.1 Crystal Structure

4 component systems

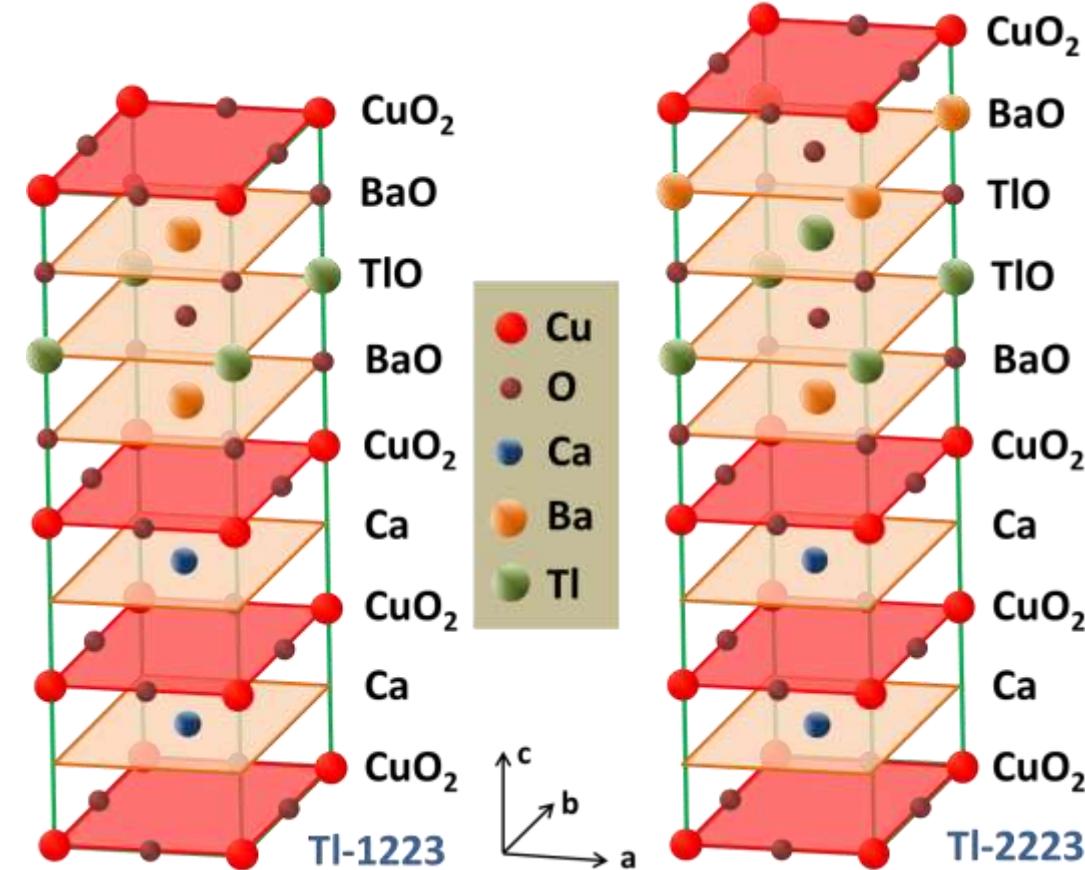


examples

$Bi_2Sr_2Ca_2Cu_3O_{10}$ = Bi-2223 (110 K)

$Tl_2Ba_2Ca_2Cu_3O_{10}$ = Tl-2223 (127 K)

$HgBa_2Ca_2Cu_3O_9$ = Hg-1223 (135 K)



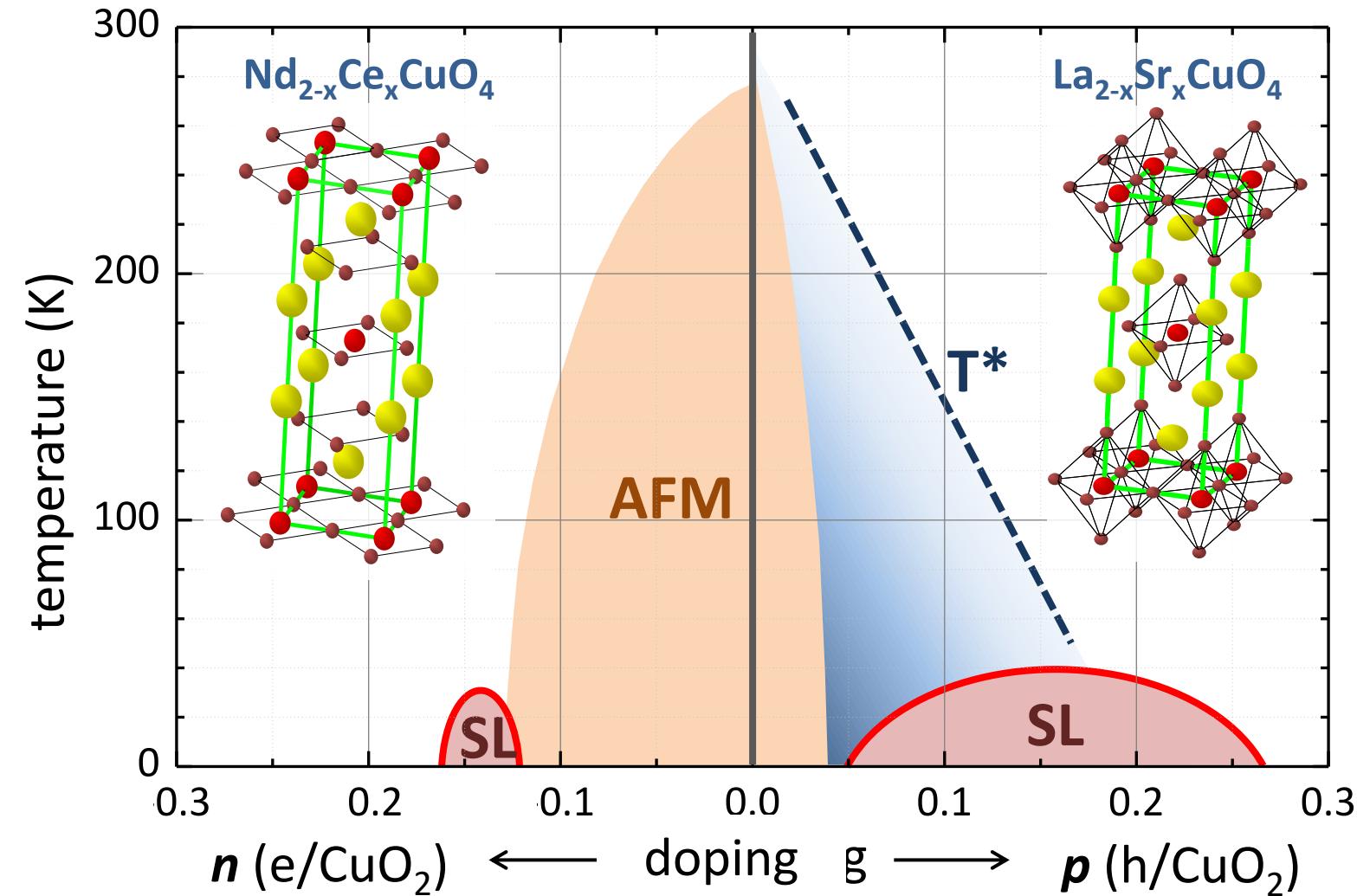
7.2.2 Phase Diagram

electron doping by
replacing trivalent
Nd by tetravalent Ce

$Nd_{2-x}Ce_xCuO_4$

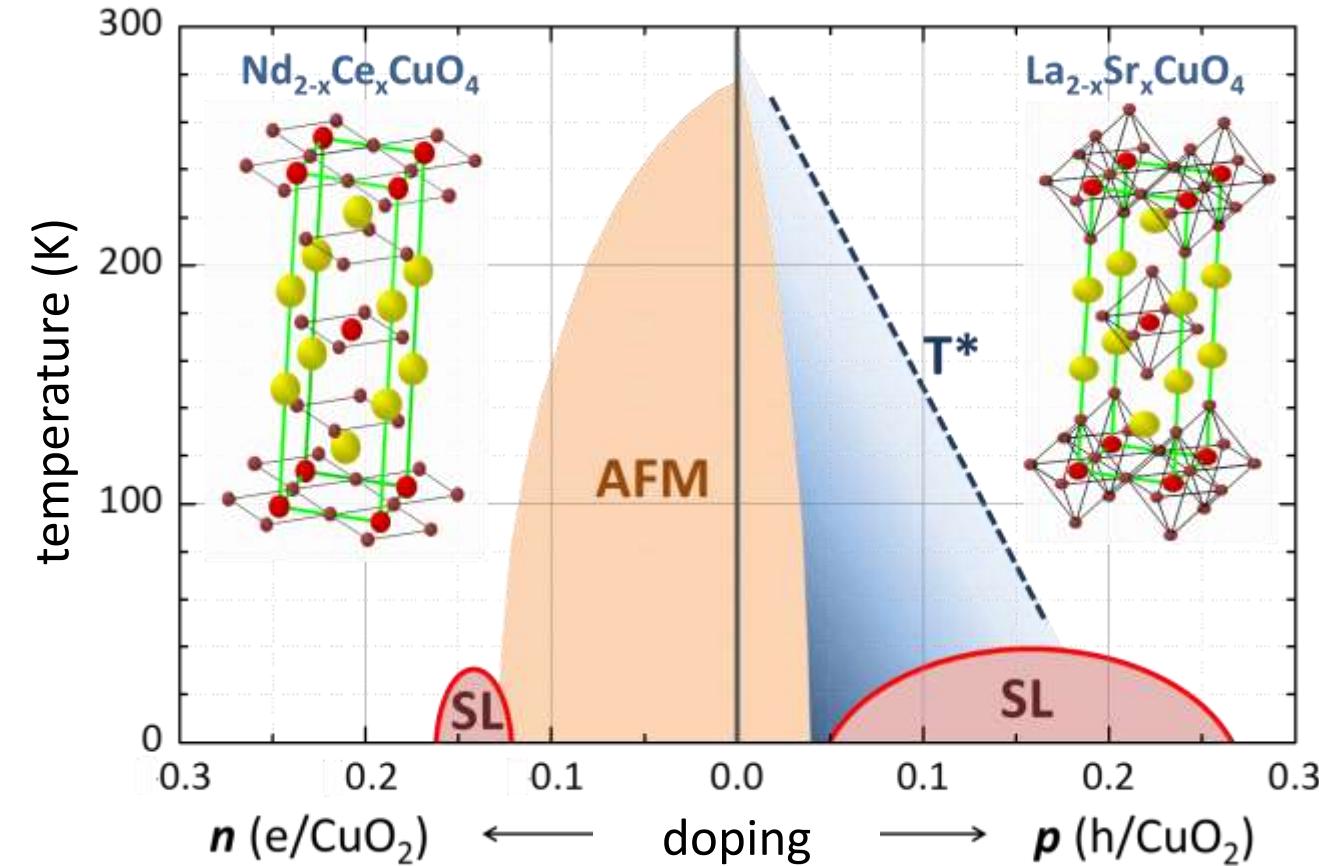
hole doping by
replacing trivalent
La by divalent Sr

$La_{2-x}Sr_xCuO_4$



- without doping: **cuprate superconductors are antiferromagnetic insulators**

7.2.2 Phase Diagram



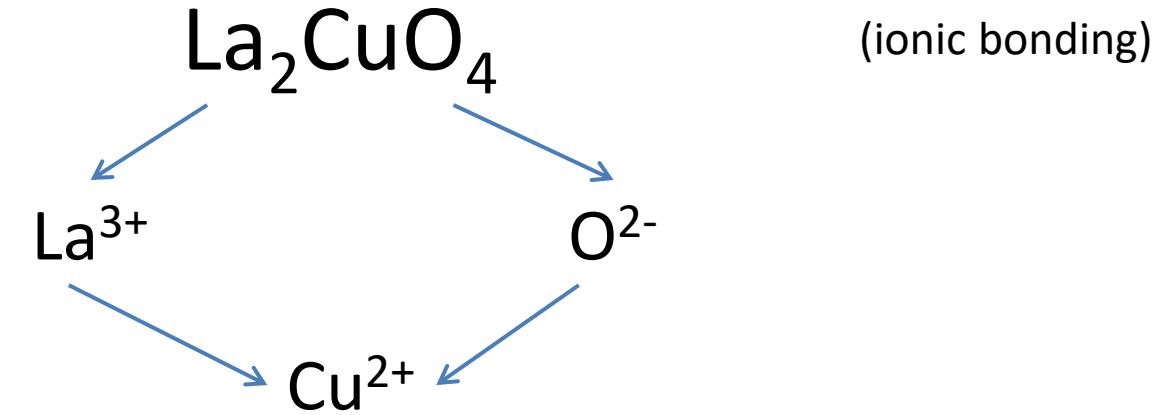
$$\frac{T_c(n)}{T_c^{\max}} \simeq 1 - 1320(n - 0.1466)^2$$

$$\frac{T_c(p)}{T_c^{\max}} \simeq 1 - 82.6(p - 0.16)^2$$

M. Lambacher, Ph.D. Thesis, Walther-Meißner-Institut (2008)

7.2.2 Phase Diagram

example: parent compound of Zurich oxide:

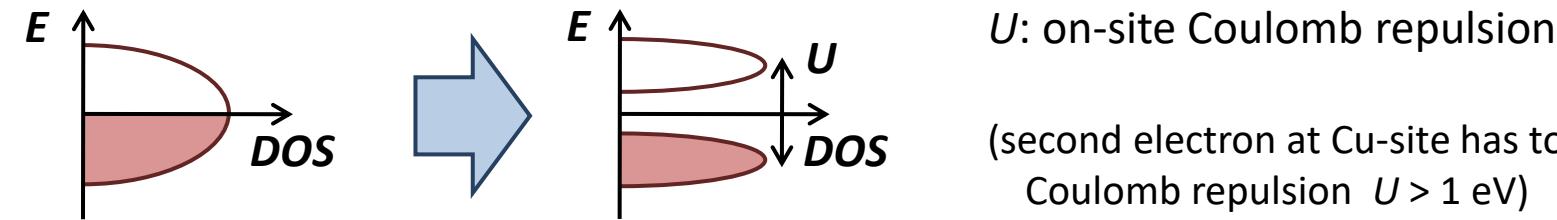


- electronic configuration of Cu: $[\text{Ar}]3\text{d}^{10}4\text{s}^1$
- electronic configuration of Cu^{2+} : $[\text{Ar}]3\text{d}^9$

- naïve view: one hole per unit cell → half-filled band → should be a *metal* !!!???
- contradicts all experimental observations

7.2.2 Phase Diagram

- why are parent compounds of cuprate superconductors afm insulators ??
 → band splits up into lower and upper Hubbard band due to strong electronic correlations (**Mott insulator ??**)



Hubbard - Model

creates electron with spin σ at site i

destroys electron with spin σ at site j

particle number operator $n_{i,\sigma} = c_{i,\sigma}^\dagger c_{i,\sigma}$

$\mathcal{H}_{\text{Hubbard}} = -t \sum_{\langle i,j \rangle, \sigma} (c_{i,\sigma}^\dagger c_{j,\sigma} + c_{j,\sigma}^\dagger c_{i,\sigma}) + \sum_i U n_{i,\uparrow} n_{i,\downarrow}$

summation over nearest neighbors

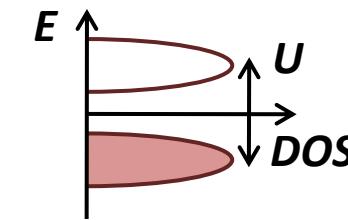
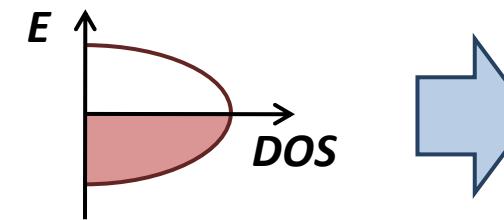
spin: $\sigma = \uparrow, \downarrow$

hopping between neighboring sites, hopping amplitude t

Coulomb energy for multiple occupancy of single site

7.2.2 Phase Diagram

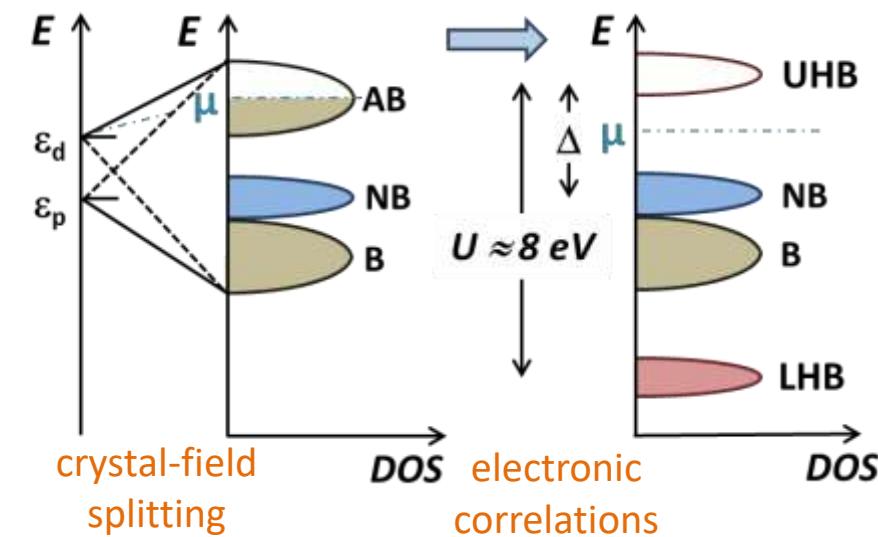
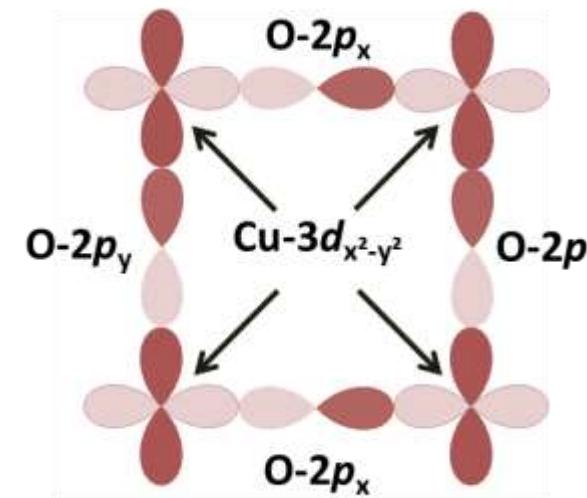
- why are parent compounds of cuprate superconductors afm insulators ??
→ band splits up into lower and upper Hubbard band due to strong electronic correlations (**Mott insulator ??**)



U : on-site Coulomb repulsion

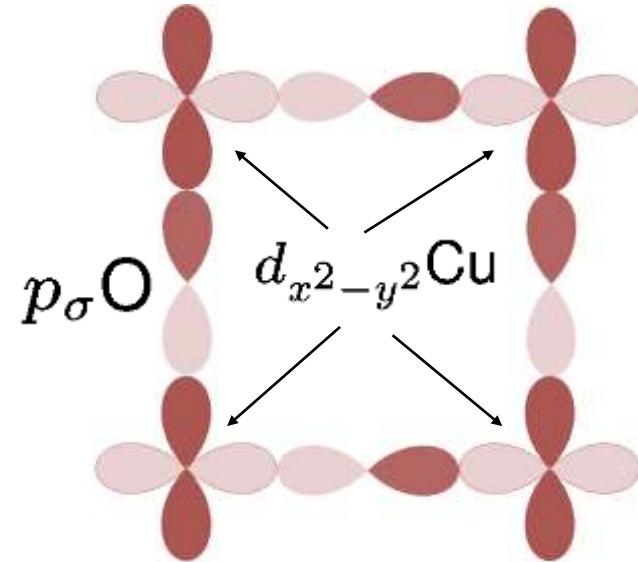
(second electron at Cu-site has to pay a high on-site Coulomb repulsion $U > 1$ eV)

- detailed analysis of undoped CuO_2 plane → **charge transfer insulator ($\Delta < U$)**

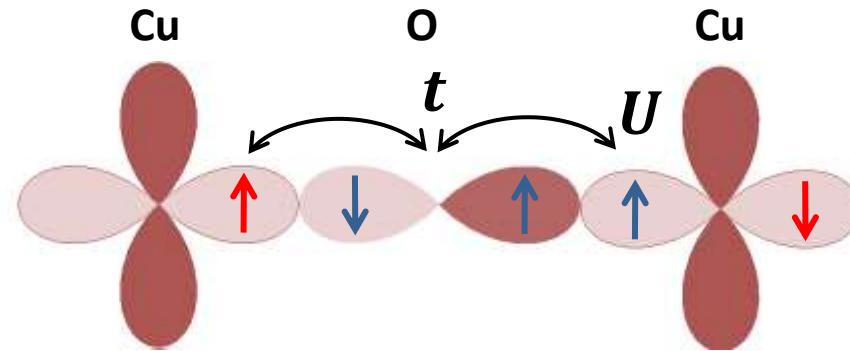


7.2.2 Phase Diagram

- antiferromagnetic interaction in CuO₂ plane (undoped parent compound)



180° superexchange

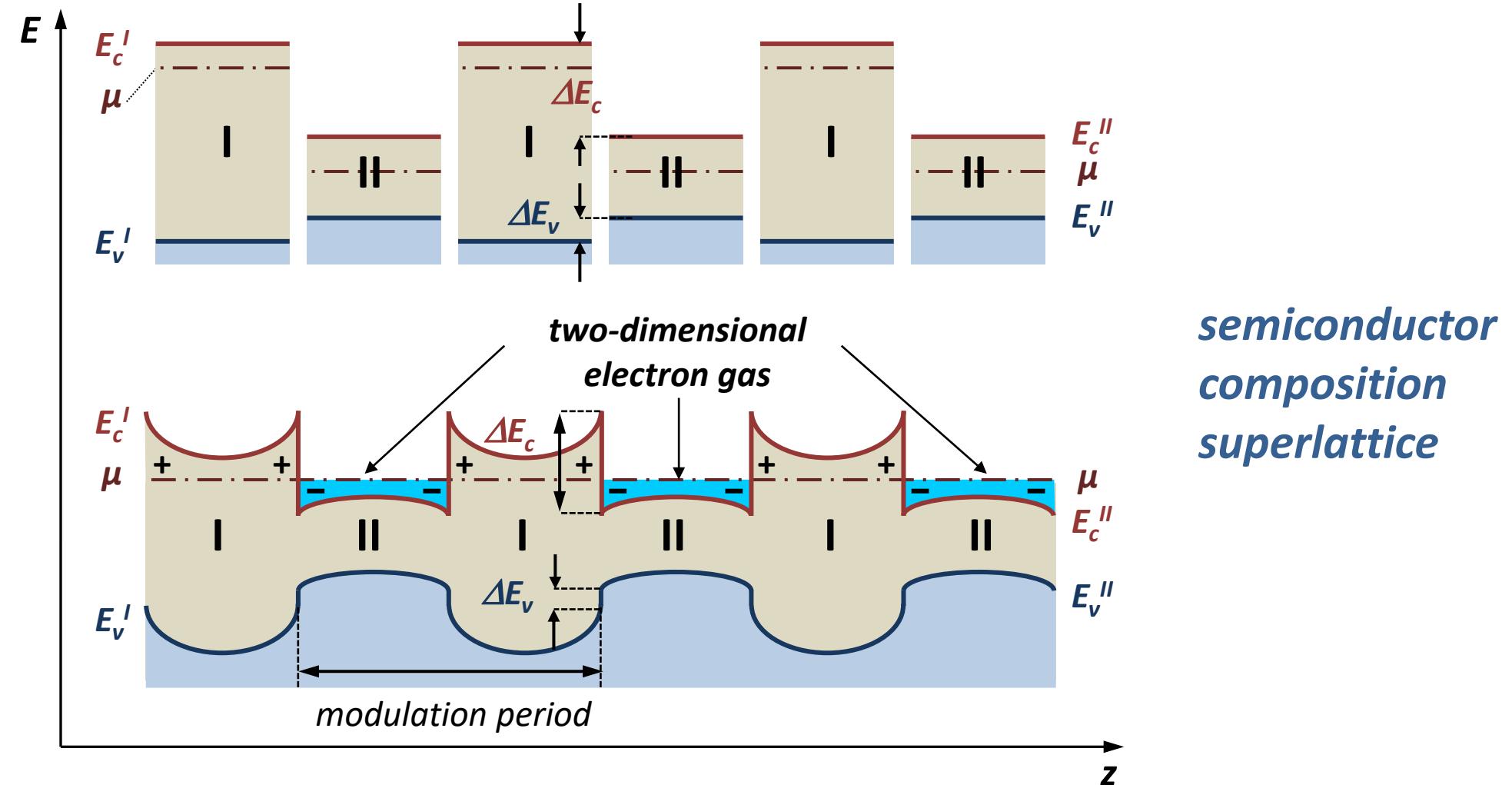


How to dope cuprates to to get superconductivity ?

- delocalization of electrons via virtual hopping:
- hopping amplitude t
 - virtual hopping only possible for anti-parallel spins on Cu-site (Pauli)
 - real hopping prevented by on-site Coulomb repulsion $U \gg t$
 - energy gain by virtual hopping $J_{\text{afm}} \propto t^2/U$

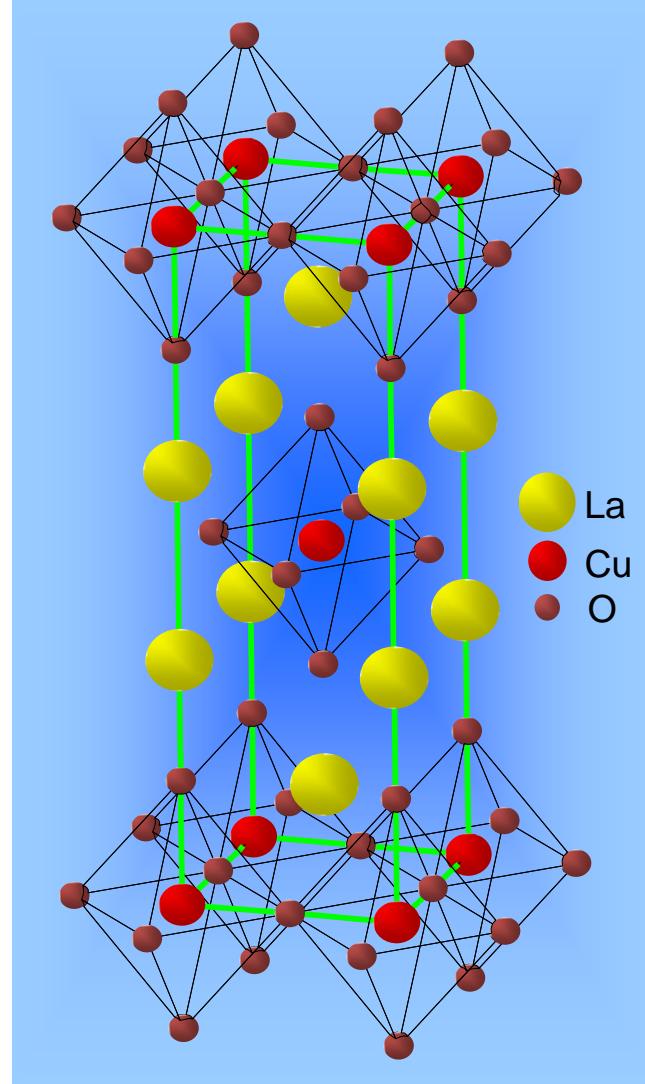
7.2.2 Phase Diagram

- analogy to modulation doping in semiconductors (e.g. GaAs/AlGaAs)
→ generation of high mobility electron gas (dopant atoms in neighboring layer)



7.2.2 Phase Diagram

- cuprate superconductors = *intrinsically modulation doped materials*



CuO₂ plane

charge reservoir layer:
partially replace La³⁺ by Sr²⁺ → hole doping

CuO₂ plane

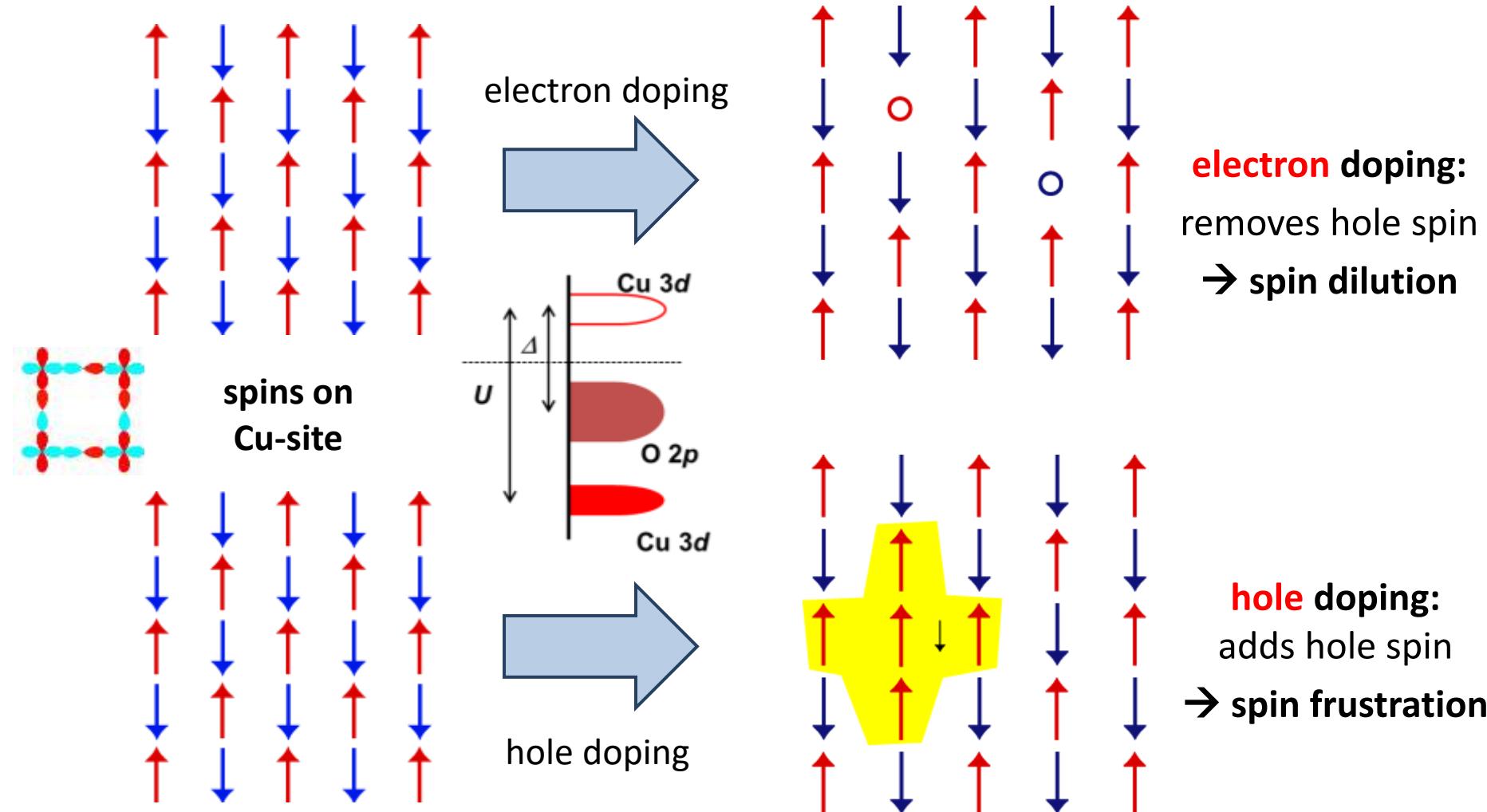
charge reservoir layer:
partially replace La³⁺ by Sr²⁺ → hole doping

CuO₂ plane

7.2.2 Phase Diagram

- How is the afm ordering in the undoped parent compound affected by doping?

→ destruction of afm ordering in CuO₂ planes by hole and electron doping



7.2.2 Phase Diagram

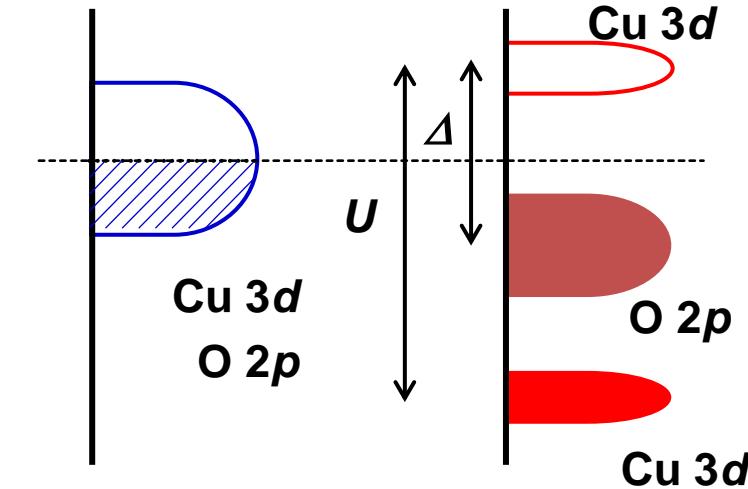
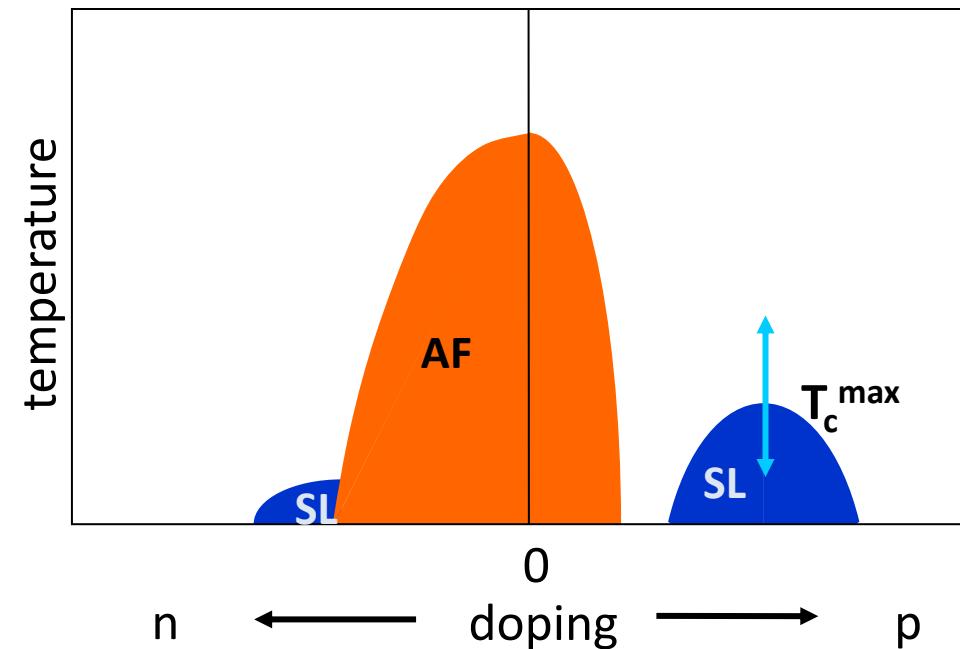
- asymmetry of phase diagram regarding electron and hole doping

- doping into different bands:

- electrons into Cu 3d band
- holes into O 2p band

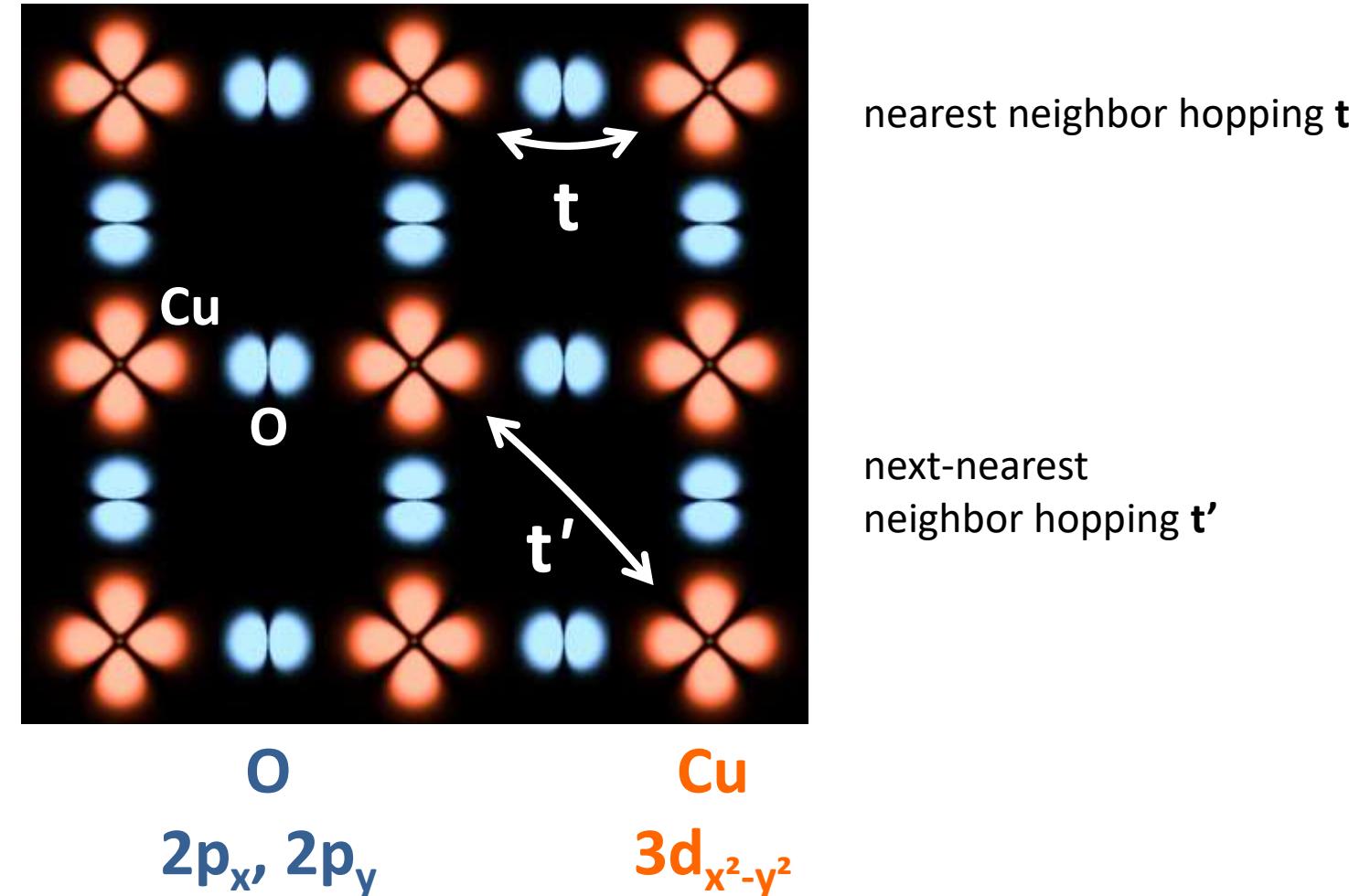
- different effect on afm ordering:

- spin dilution for electron doping
- spin frustration for hole doping



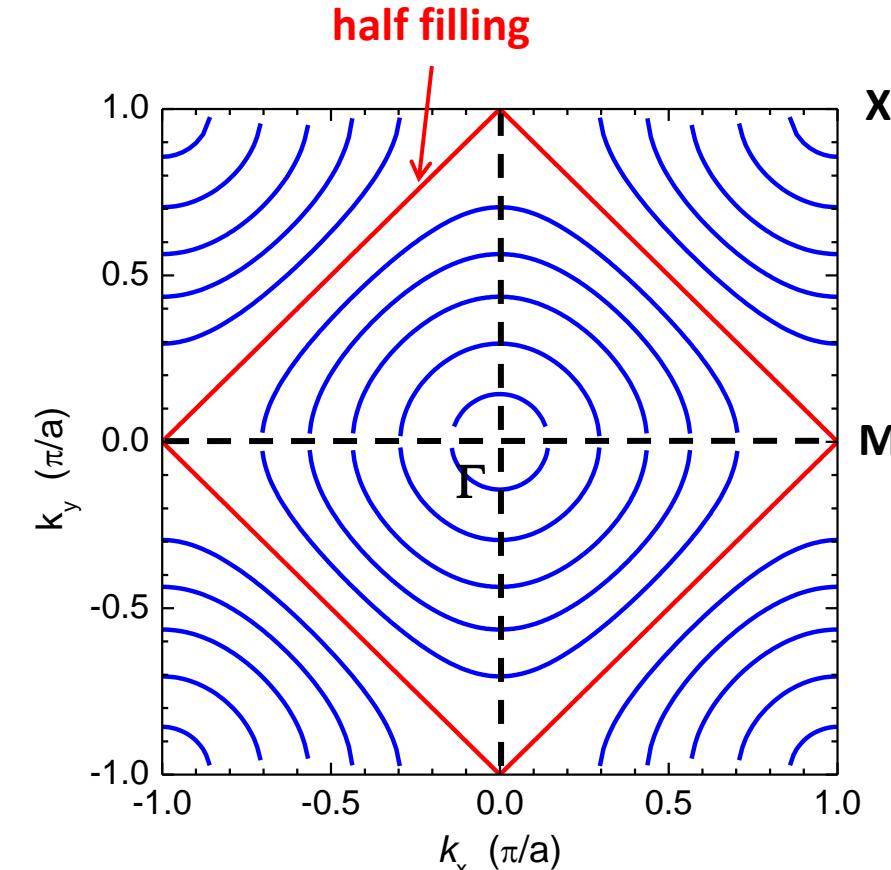
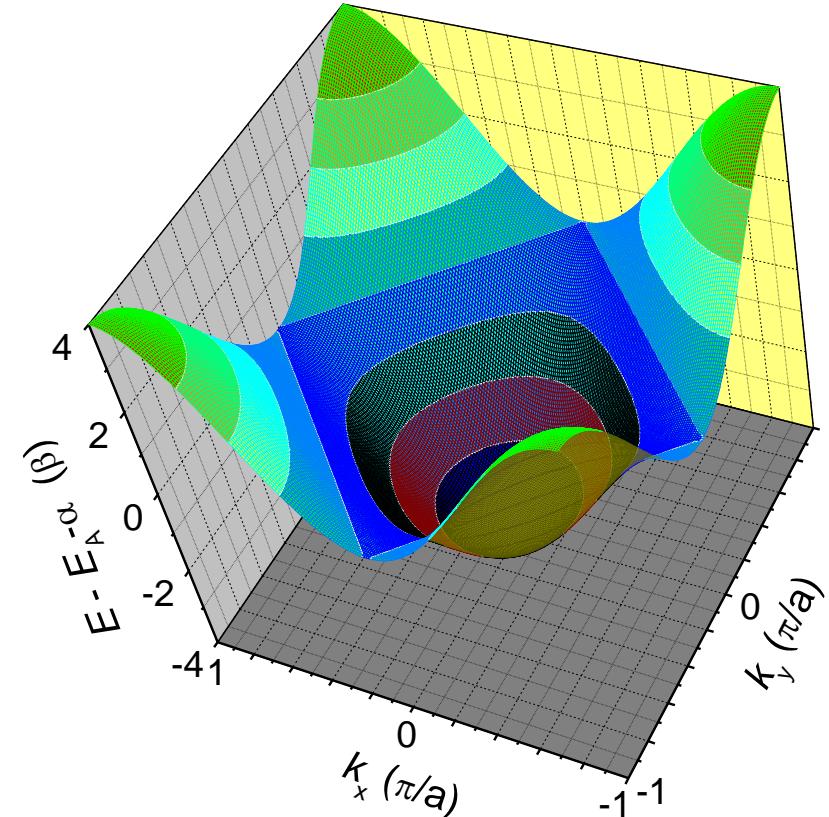
7.3 Electronic Structure

- simple approach: *tight binding model*



7.3 Electronic Structure

$$t' = 0$$

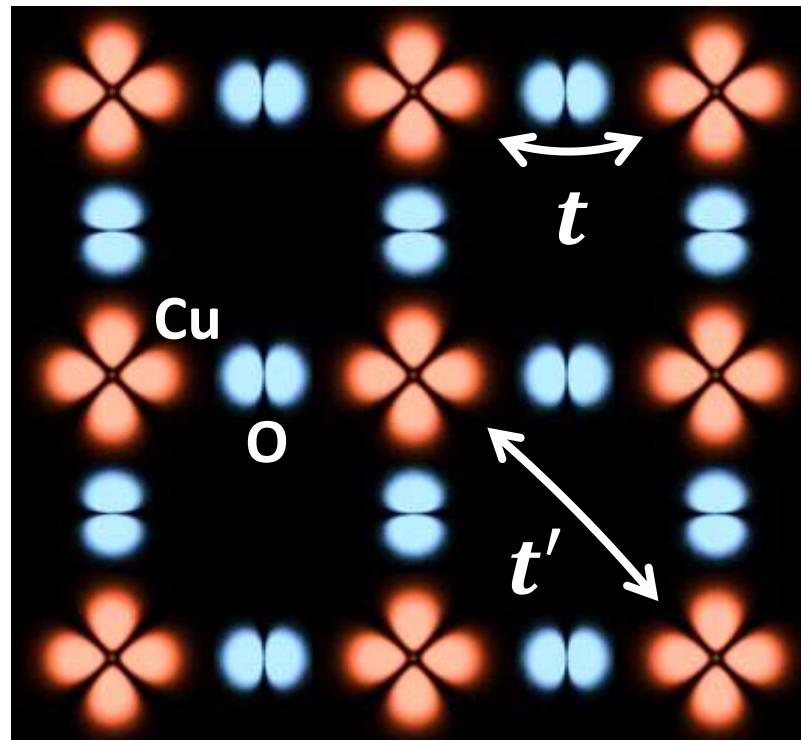


$$E(\mathbf{k}) = E_A - \alpha - 2\beta[\cos(k_x a) + \cos(k_y a)]$$

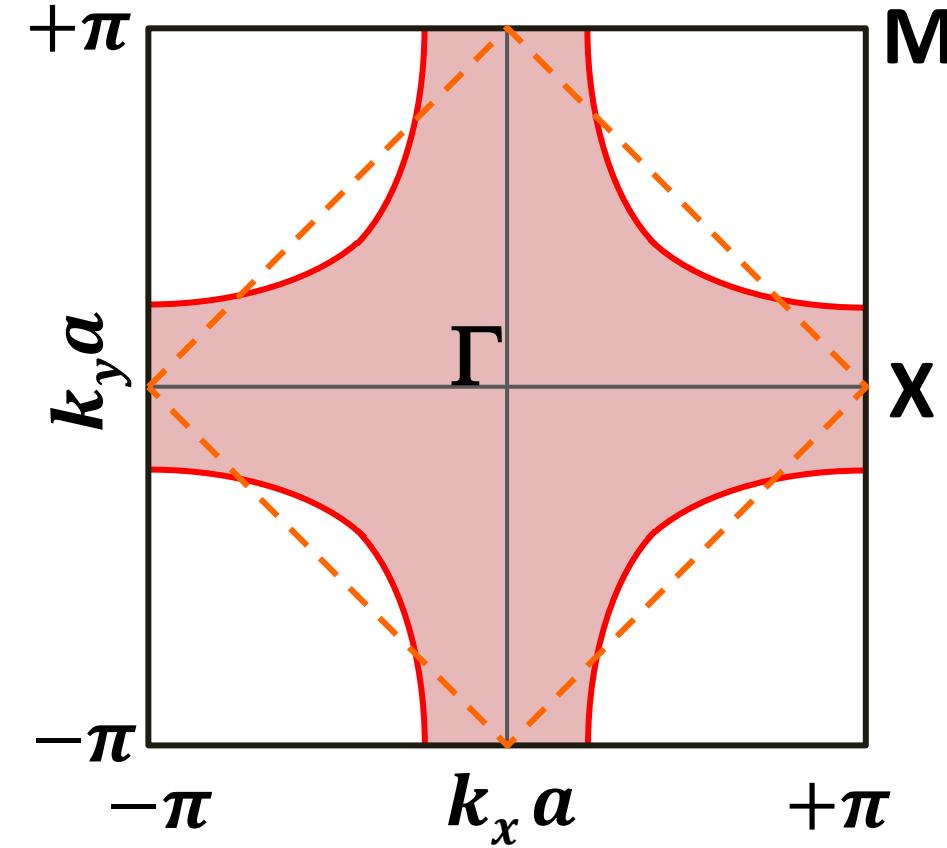
7.3 Electronic Structure

$$t' \neq 0$$

nearest neighbor hopping t

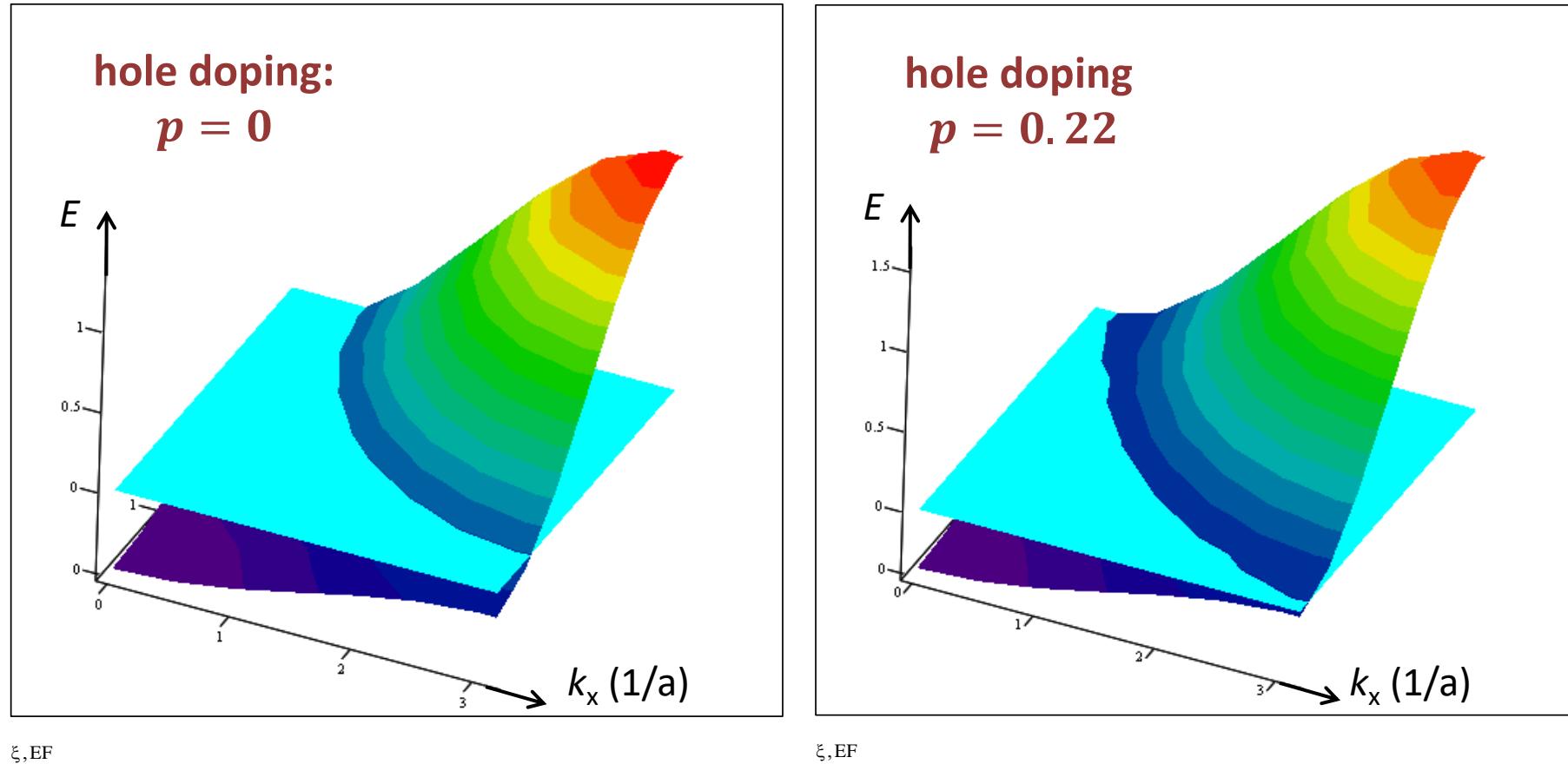


next-nearest
neighbor hopping t'



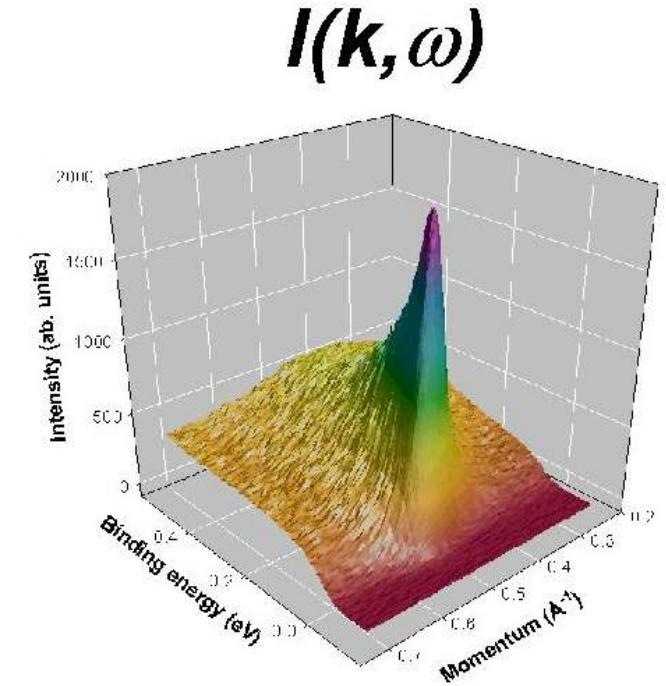
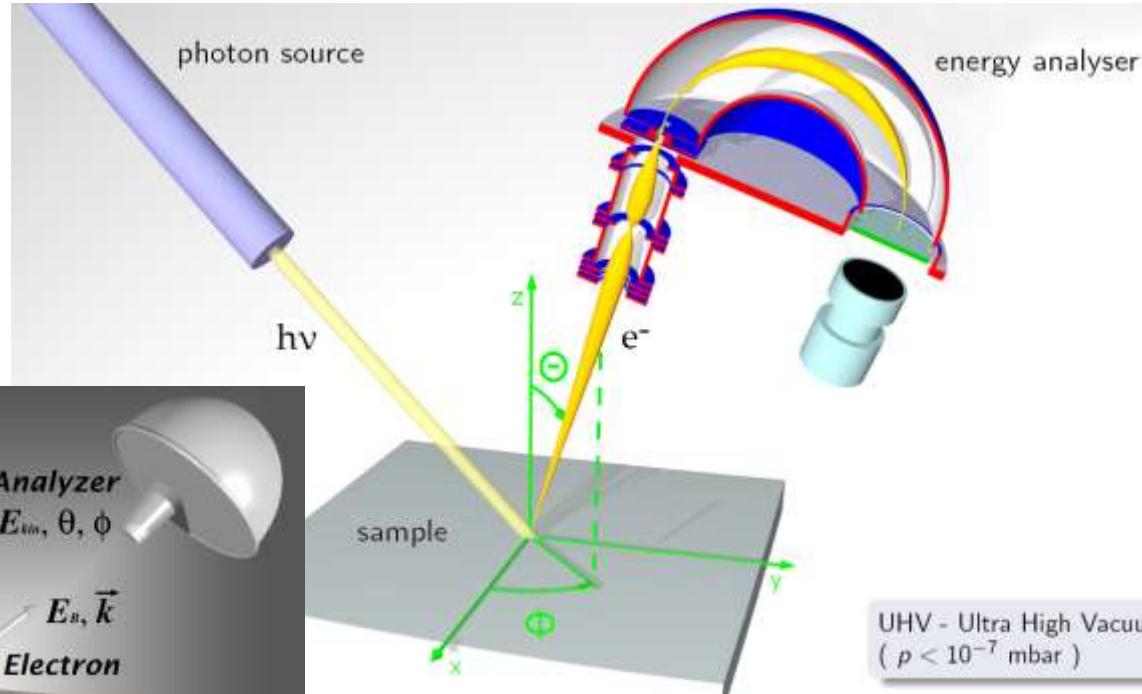
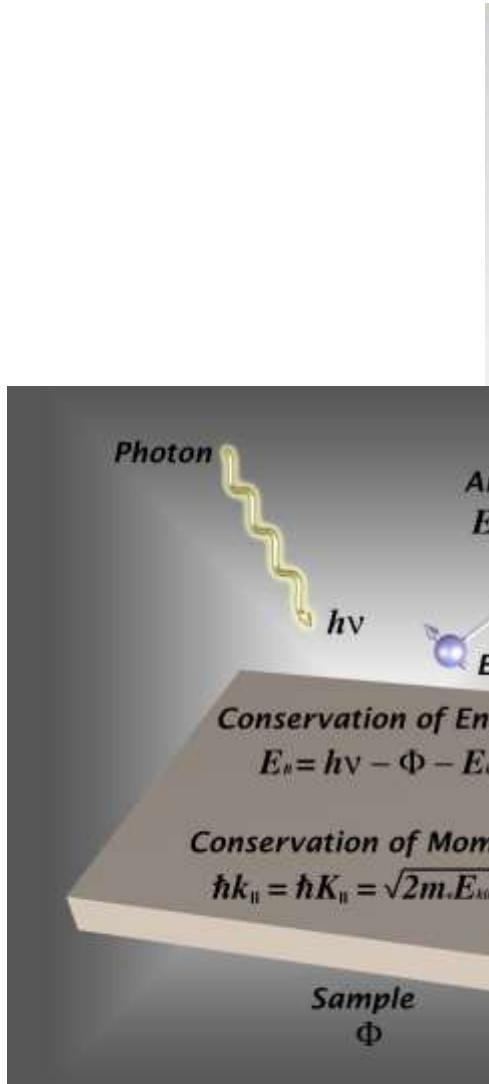
7.3 Electronic Structure

- tight-binding band structure:



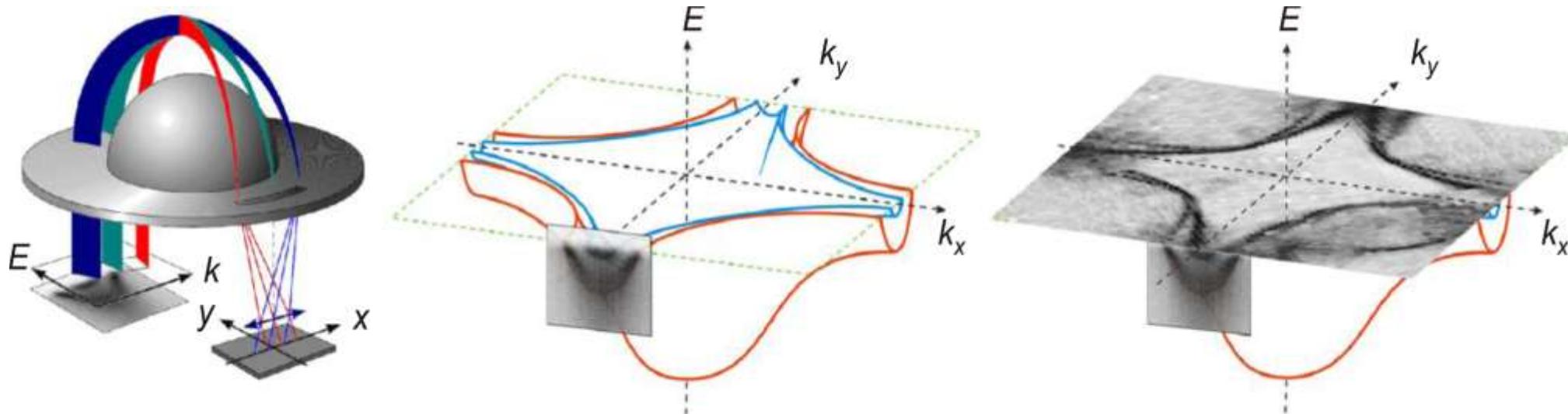
7.3 Electronic Structure – ARPES

- Angle-Resolved Photoelectron Spectroscopy (ARPES)



7.3 Electronic Structure – ARPES

- Angle-Resolved Photoelectron Spectroscopy (ARPES)

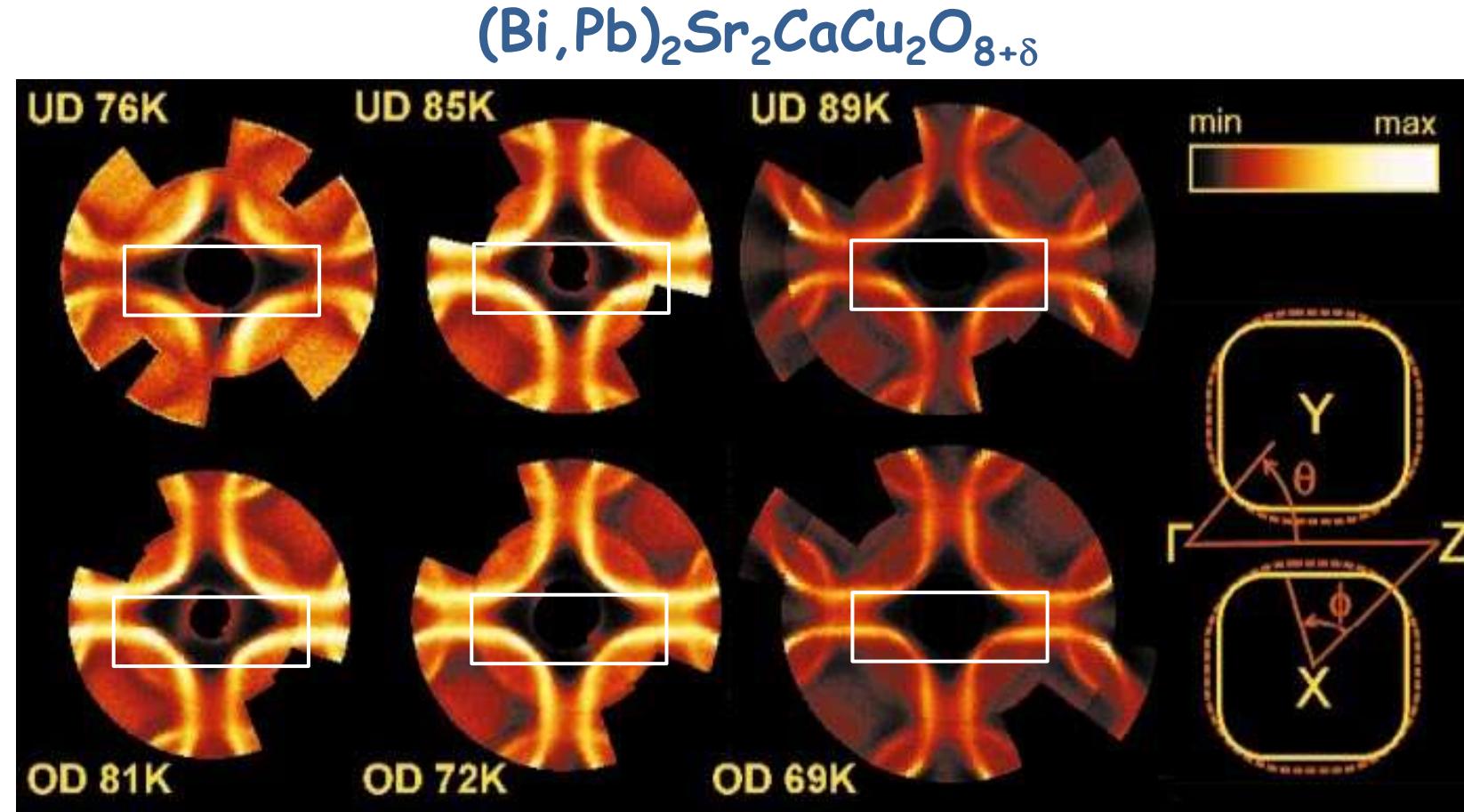


The detector of a modern photoelectron analyzer (left) acts as a **window into the 3D energy-momentum space** of a 2D metal (center). By moving this “window” in (ω, k) -space, a full distribution of electrons and its cross-section at the Fermi level — the Fermi surface (right) can be obtained. Shown are the electronic structure and spectra of high-temperature superconductor Bi-2212.

ARPES experiment in fermiology of quasi-2D metals
A. A. Kordyuk
Low Temp. Phys. **40**, 286–296 (2014)

7.3 Electronic Structure – ARPES

- Angle-resolved photoemission spectroscopy (ARPES)

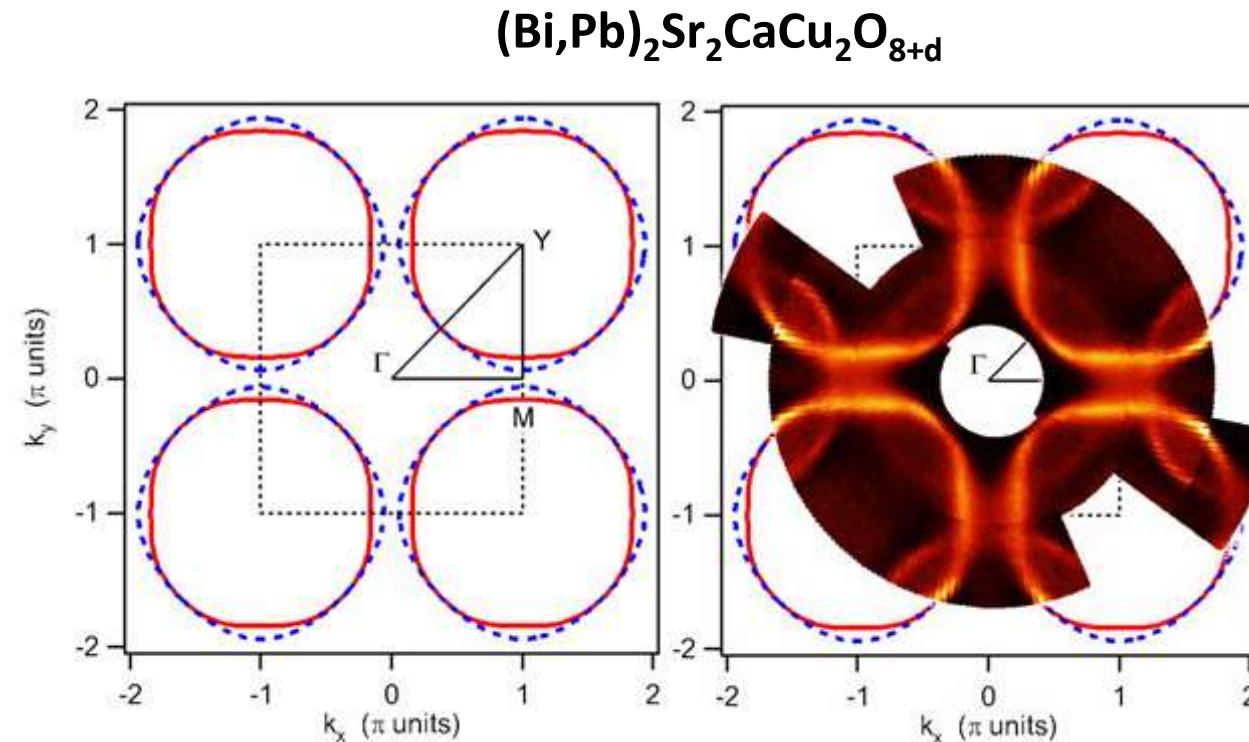


Doping dependence of the Fermi surface in $(\text{Bi},\text{Pb})_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$

A. A. Kordyuk, S. V. Borisenko, M. S. Golden, S. Legner, K. A. Nenkov, M. Knupfer, J. Fink, H. Berger, L. Forro, R. Follath
Phys. Rev. B **66**, 014502 (2002)

7.3 Electronic Structure – ARPES

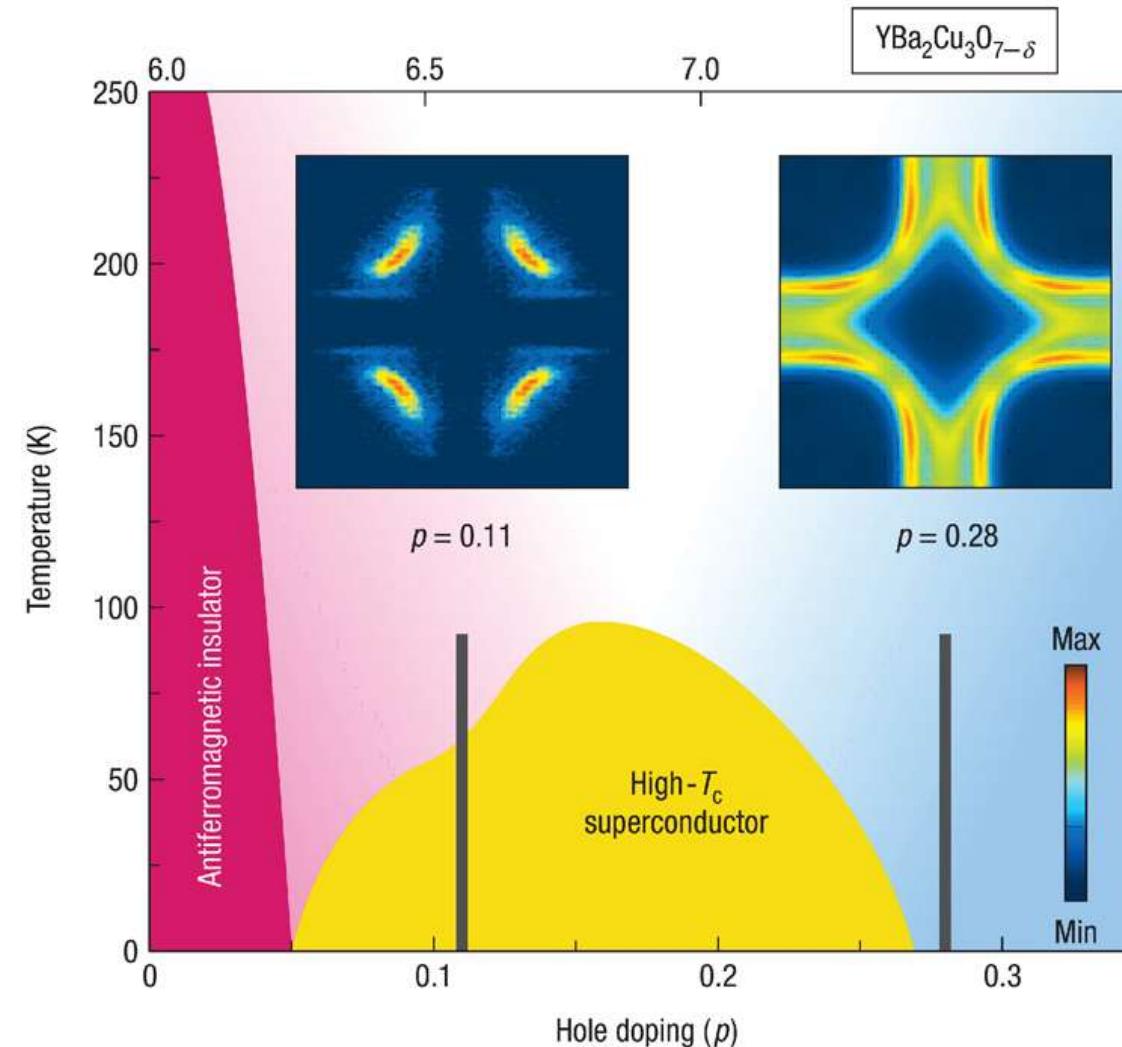
- Angle-resolved photoemission spectroscopy (ARPES)



The Fermi surface of bi-layer BSCCO, calculated (left) and measured by ARPES (right). The dashed rectangle represents the first Brillouin zone.

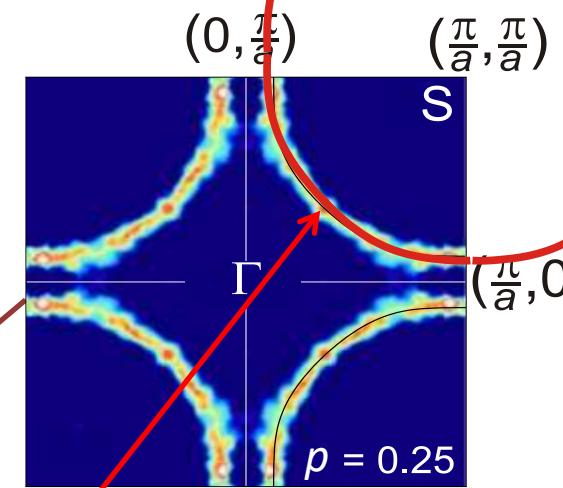
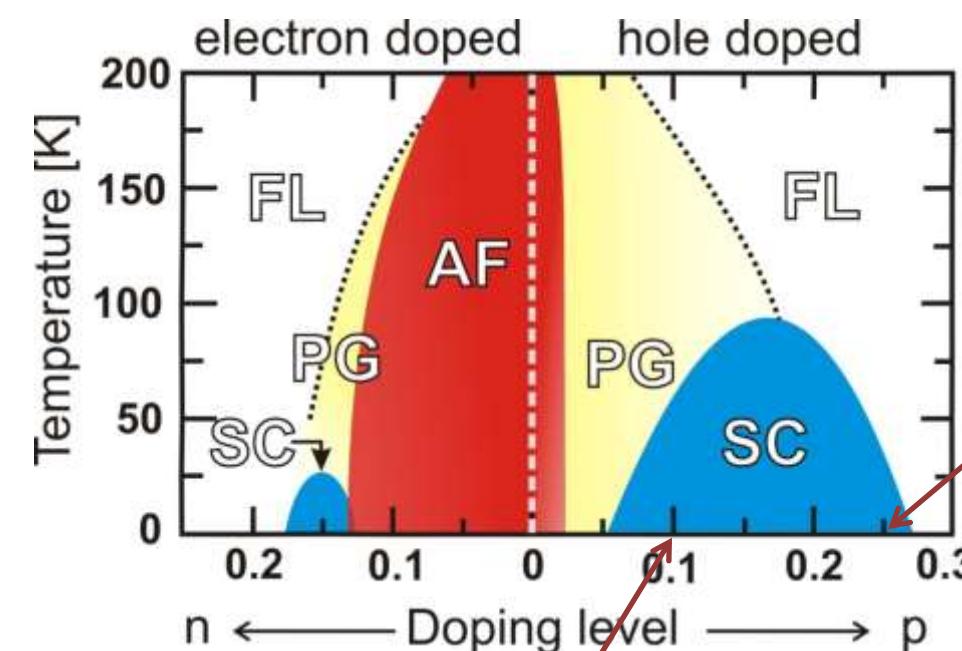
Measuring the gap in angle-resolved photoemission experiments on cuprates
A. A. Kordyuk, S. V. Borisenko, M. Knupfer, and J. Fink
Phys. Rev. B **67**, 064504 – Published 25 February 2003

7.3 Electronic Structure – ARPES



Schematic phase diagram of YBCO. The hole doping p per planar copper and the corresponding oxygen content ($7-\delta$), are indicated on the bottom and top axes. The ARPES Fermi surface for under- and overdoped YBCO is also shown (according to A. Damascelli et al.)

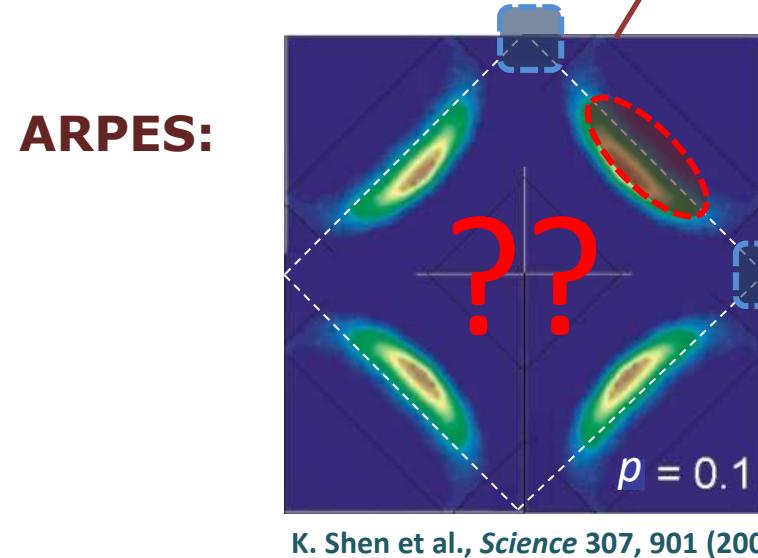
7.3 Electronic Structure – Quantum Oscillations



M. Plate et al., *PRL* 95, 077001 (2005)

SdH: Ti-2201 ($p \approx 0.25$)

B. Vignolle et al., *Nature* 455, 952 (2008)



1st Shubnikov – de Haas oscillations

$\text{YBa}_2\text{Cu}_3\text{O}_{6.5}$ ($p \approx 0.1$)

N. Doiron-Leyraud et al., *Nature* 447, 565 (2007)

D. LeBoeuf et al., *Nature* 450, 522 (2007)

small closed Fermi surface

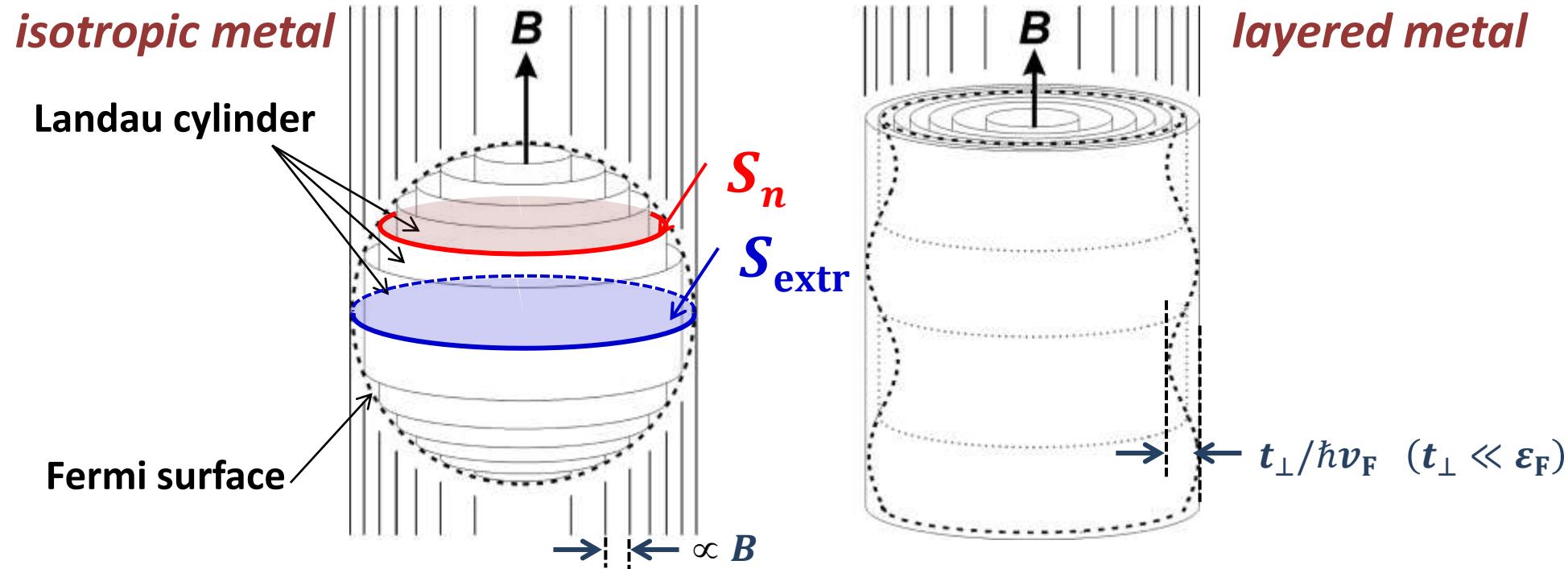
$\text{YBa}_2\text{Cu}_4\text{O}_8$ ($p \approx 0.12$)

E. Yelland et al., *PRL* 100, 047003 (2008)

A. Bangura et al., *PRL* 100, 047004 (2008)

7.3.1 Fermi Surface

- determination of Fermi surface from magnetic quantum oscillations (dHvA, SdH effects)



Onsager relation:

$$S_{n,\perp} = (n + \gamma) \frac{2\pi e}{\hbar} B$$

$$\Delta S = S_{n+1,\perp} - S_{n,\perp} = \frac{2\pi e}{\hbar} B$$



$$F = \left(\frac{1}{B_n} - \frac{1}{B_{n+1}} \right)^{-1} = \frac{\hbar}{2\pi e} S_{\text{extr}}$$

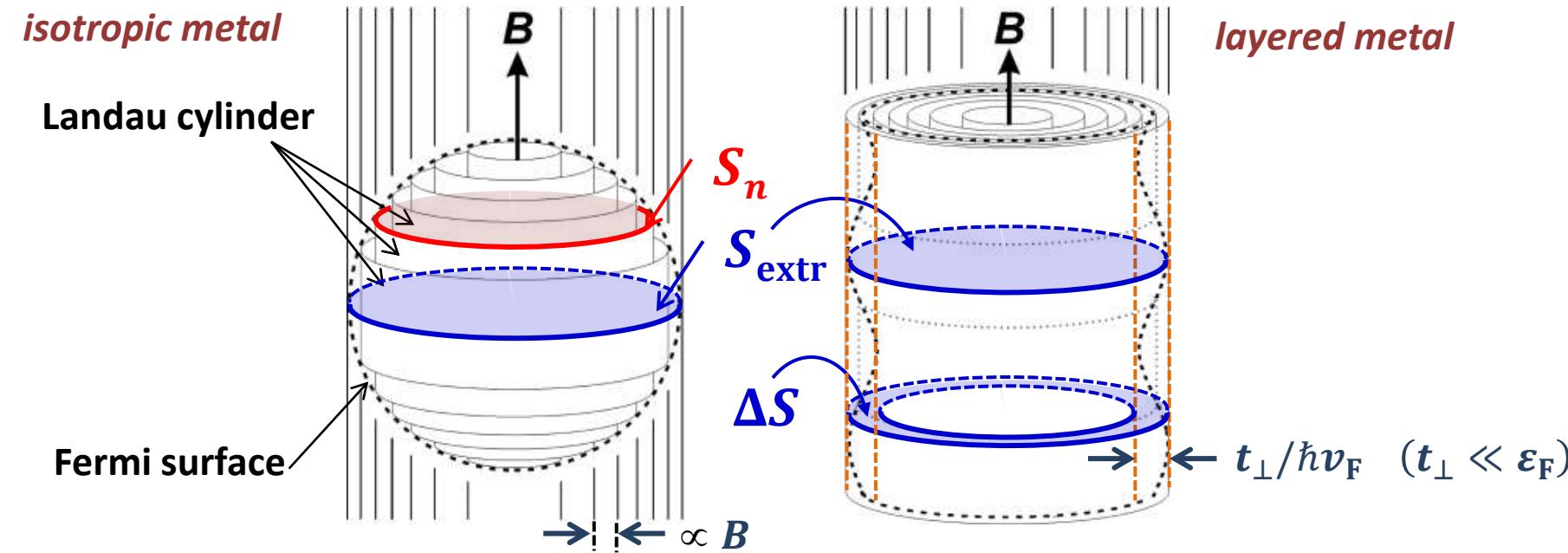
physical properties show periodic oscillation on $1/B$ scale

- resistance: **Shubnikov – de Haas effect**
- magnetization: **de Haas – van Alphen effect**

L. Onsager, Phil. Mag. 43, 1006 (1952)

7.3.1 Fermi Surface

- determination of Fermi surface from magnetic quantum oscillations (dHvA, SdH effects)



I. Lifshitz & A. Kosevich (including relaxation and dephasing: finite T , finite τ , Zeeman splitting)

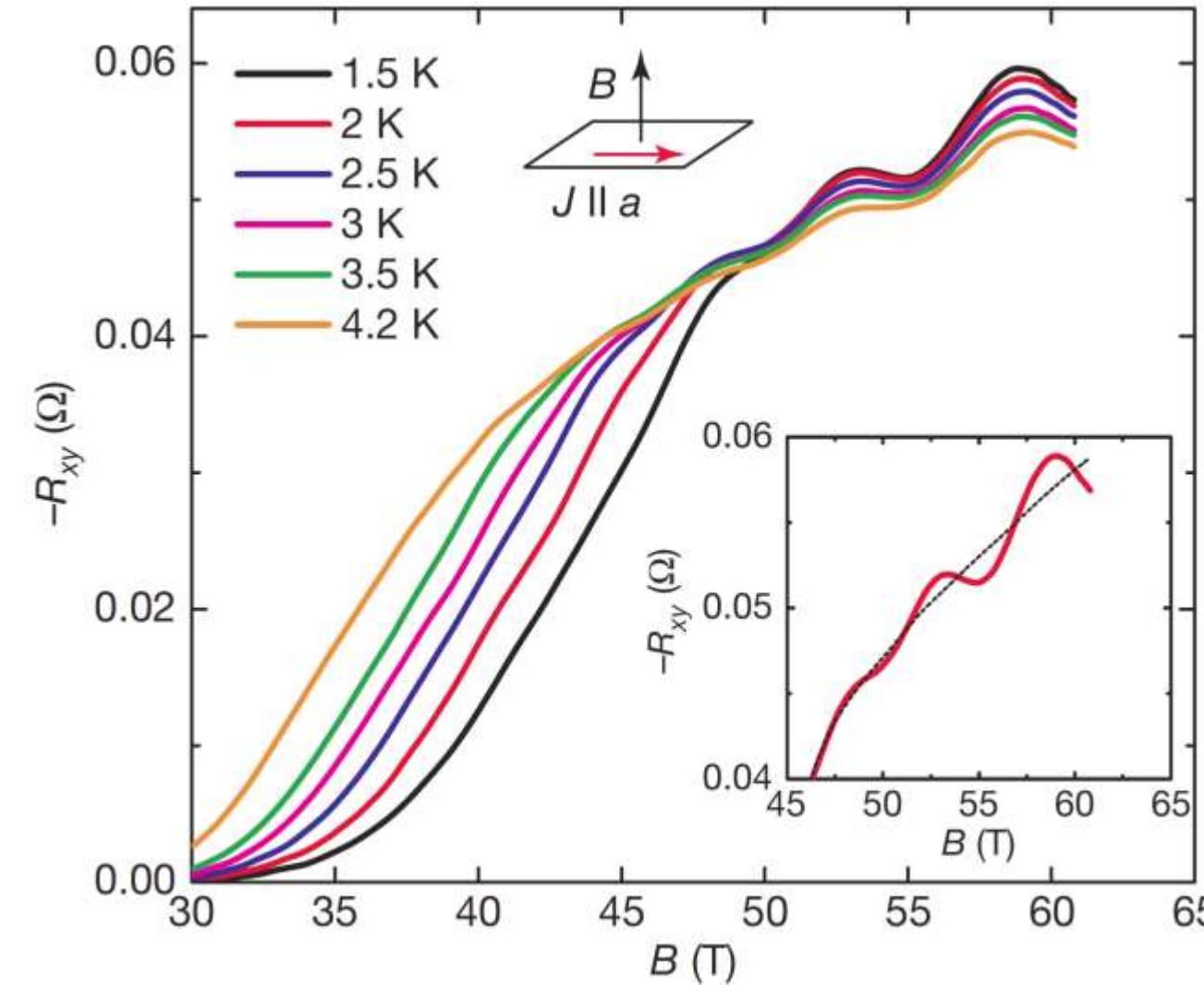
$$\tilde{A} \propto R_T R_D R_S \cos\left(2\pi \frac{F}{B} + \phi\right) \cos\left(2\pi \frac{\Delta F}{2B} + \phi'\right)$$

Annotations for damping factors:

- $R_T R_D R_S$ is circled in green and connected to cyclotron mass m_c , scattering time τ , and g-factor.
- S_{extr} is connected to S_{extr} .
- $\Delta S, t_{\perp}$ is connected to $\Delta S, t_{\perp}$.

7.3.1 Fermi Surface

- Shubnikov – de Haas oscillations in $\text{YBa}_2\text{Cu}_3\text{O}_{6.5}$



$$F = 530 \text{ T}$$

↗ small Fermi pocket:

$$S_{\text{FS}} = 0.019 S_{\text{BZ}}$$

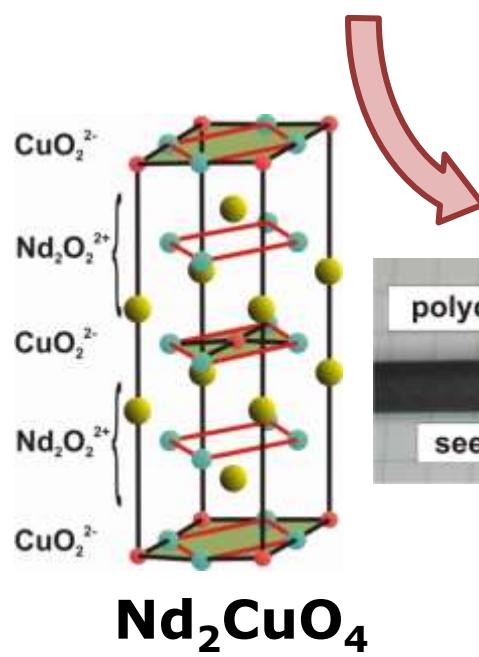
$$F = \left(\frac{1}{B_n} - \frac{1}{B_{n+1}} \right)^{-1} = \frac{\hbar}{2\pi e} S_{\text{extr}}$$

N. Doiron-Leyraud et al.,
Nature 447, 565 (2007)

7.3.1 Fermi Surface

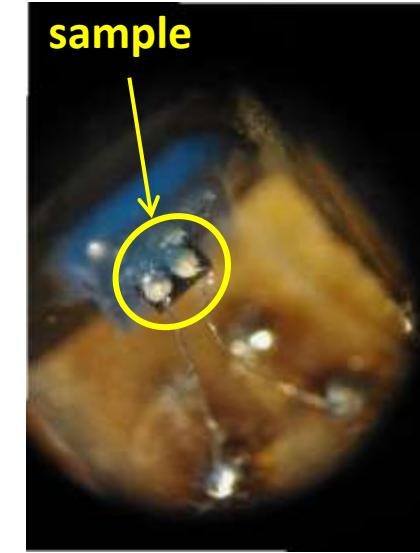


Travelling Solvent Floating Zone

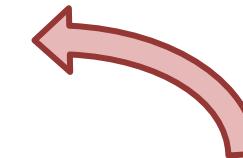


Nd_2CuO_4

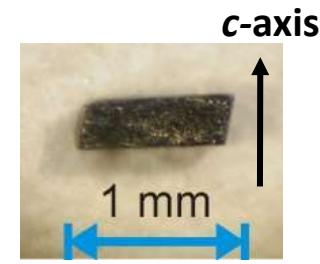
**experimental techniques
samples**



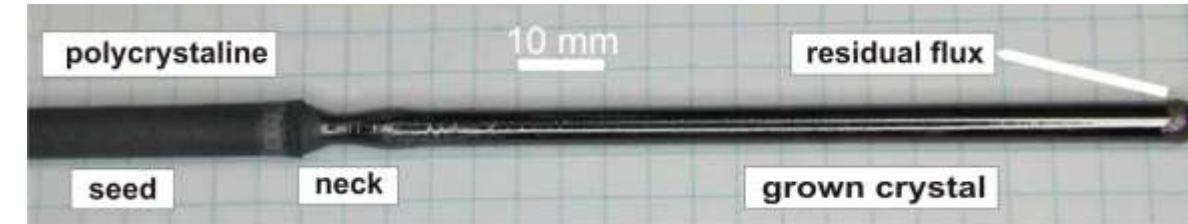
sample



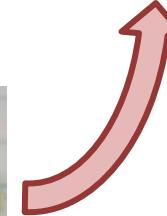
X-ray,
thermal treatment,
SQUID,
magnetotransport
(low-field)



c-axis



$\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_4$
 $\text{Pr}_{2-x}\text{Ce}_x\text{CuO}_4$

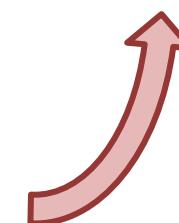
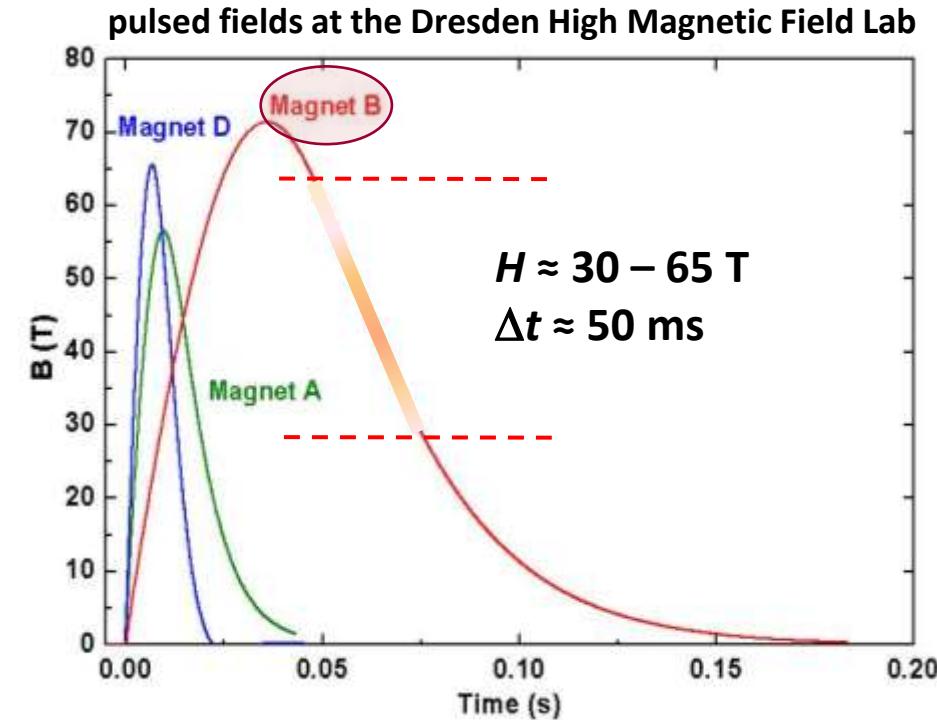
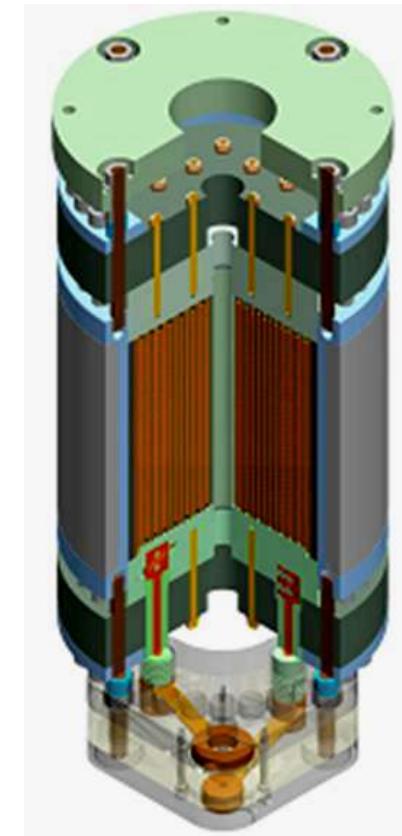


7.3.1 Fermi Surface

- Experimental techniques – high fields

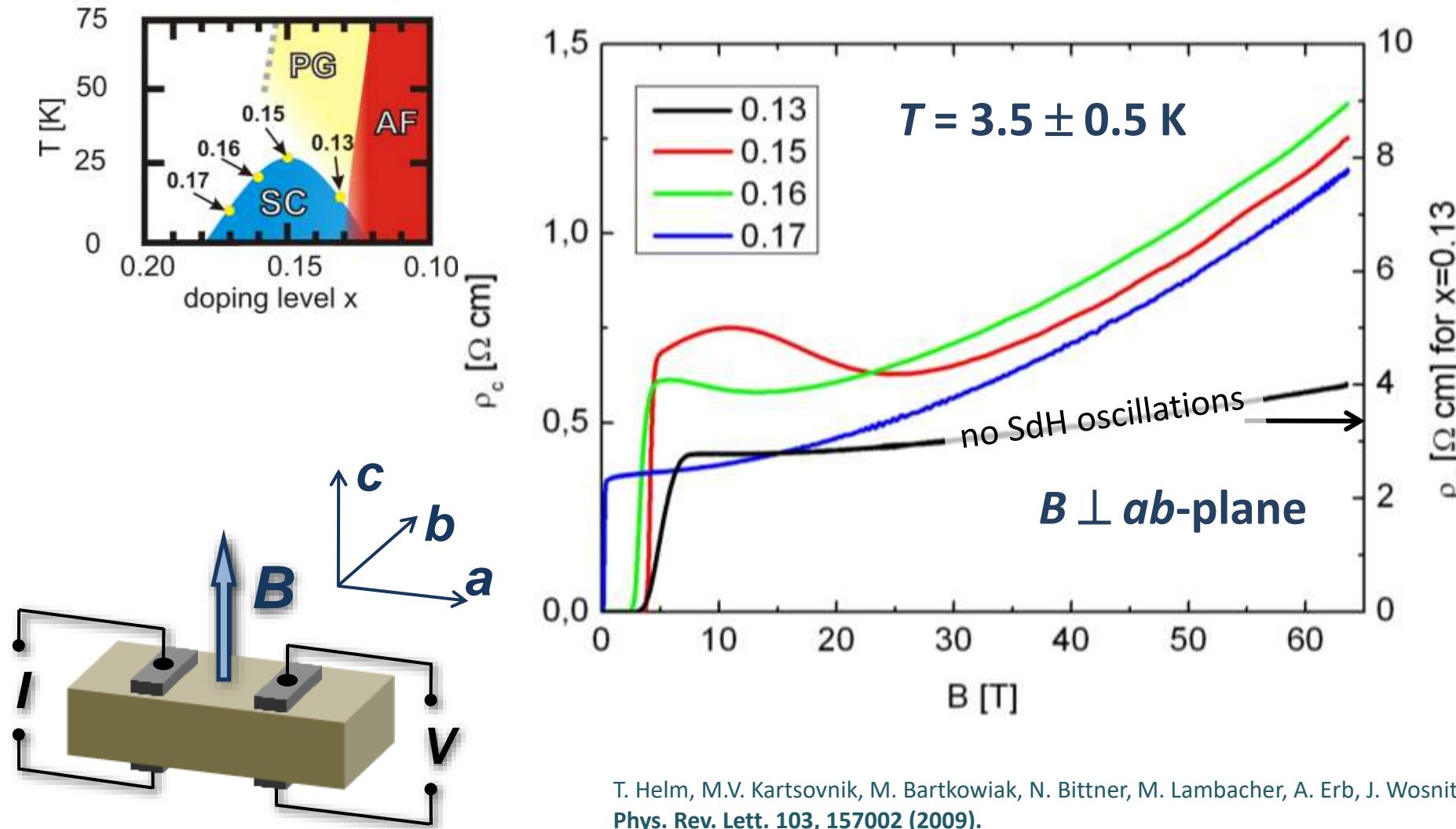


$I, H \parallel c\text{-axis}$



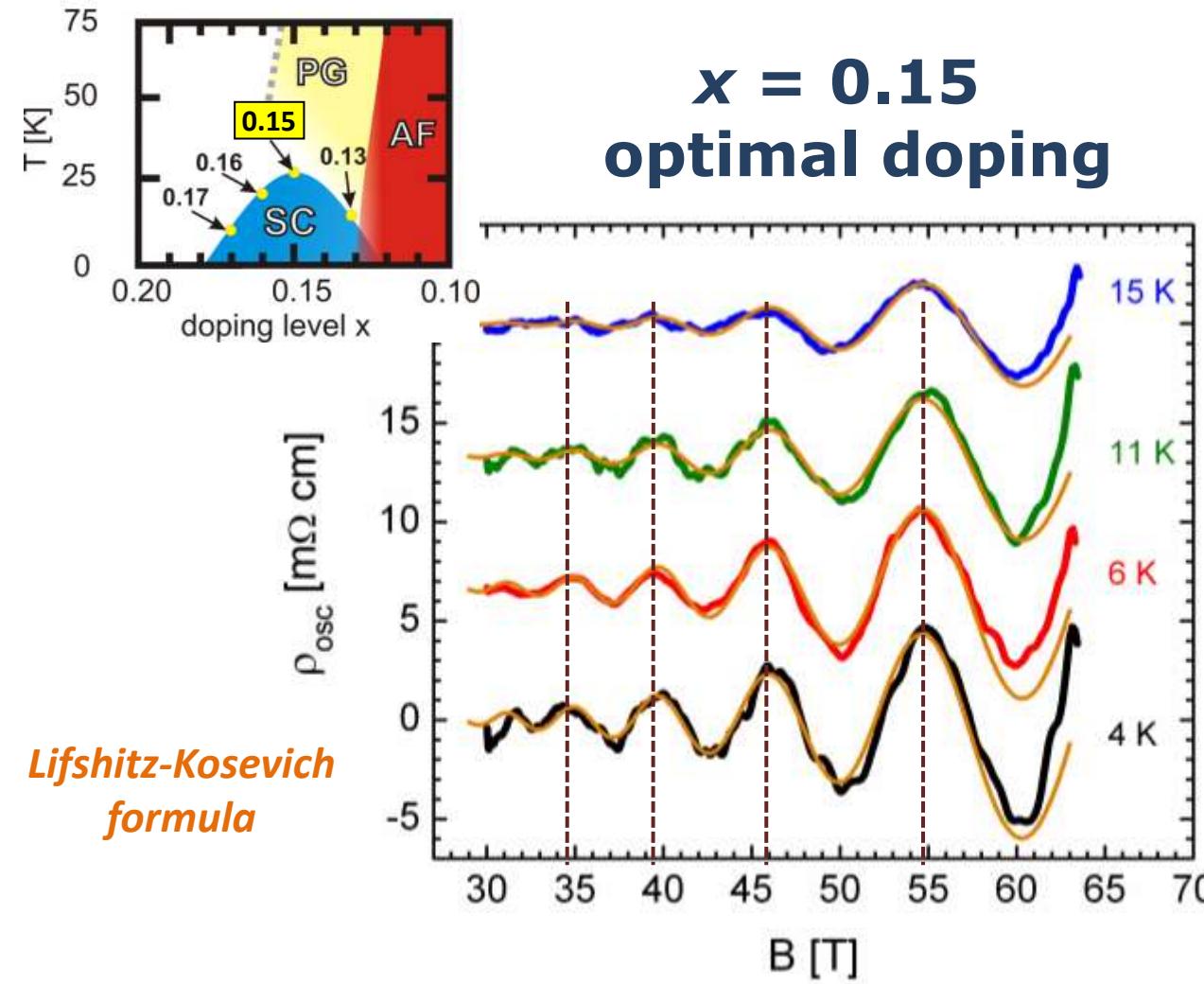
7.3.1 Fermi Surface

- c-axis magnetoresistance of NCCO

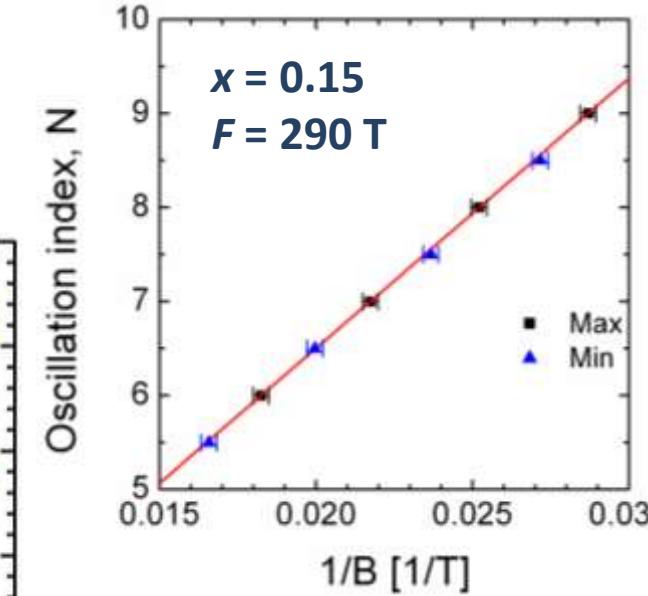


7.3.1 Fermi Surface

- SdH oscillations in $\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_4$



T. Helm et al., Phys. Rev. Lett. 103, 157002 (2009).



$$F = 290 \text{ T}$$

$$\Rightarrow S_{\text{FS}} = 2\pi eF/\hbar = 0.011S_{\text{BZ}}$$

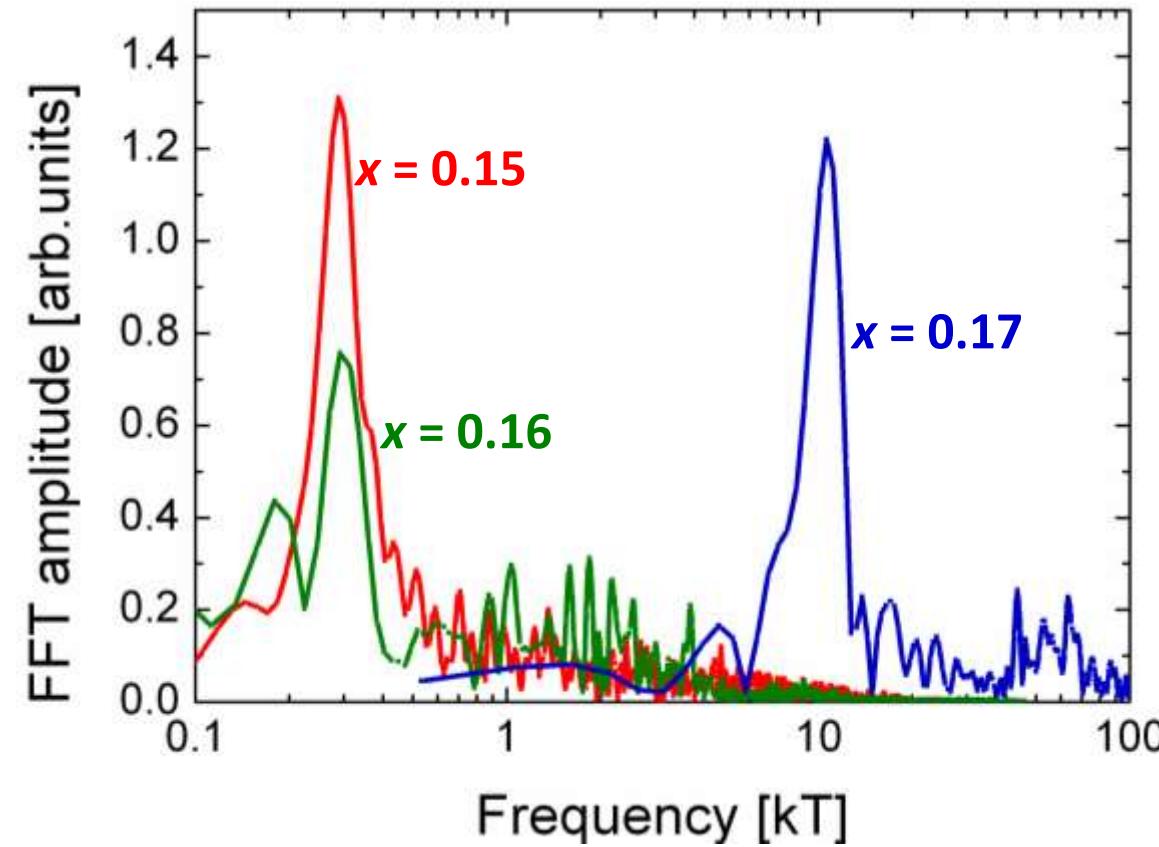
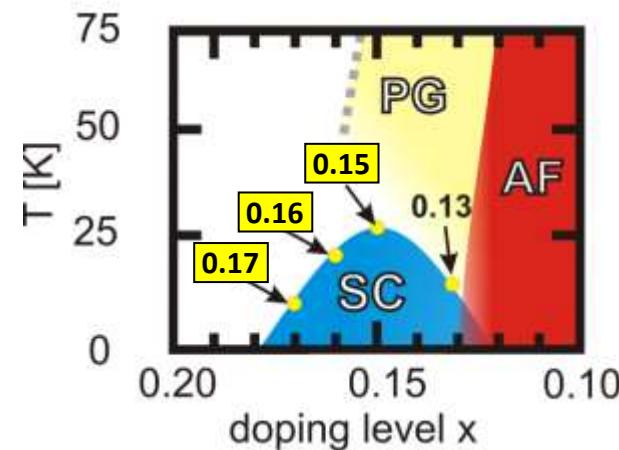
$$\Delta\rho_{\text{osc}}(T) \rightarrow m_c = 0.6 \pm 0.05 m_e$$

$$\Delta\rho_{\text{osc}}(B) \rightarrow \tau \approx 0.8 \times 10^{-13} \text{ s}$$

$$\Rightarrow \langle \ell \rangle \approx 14 \text{ nm}$$

7.3.1 Fermi Surface

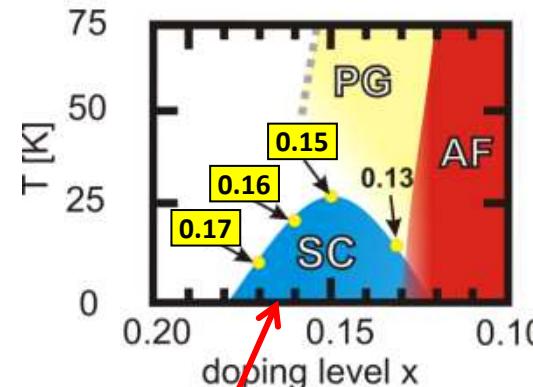
- SdH oscillations in $\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_4$



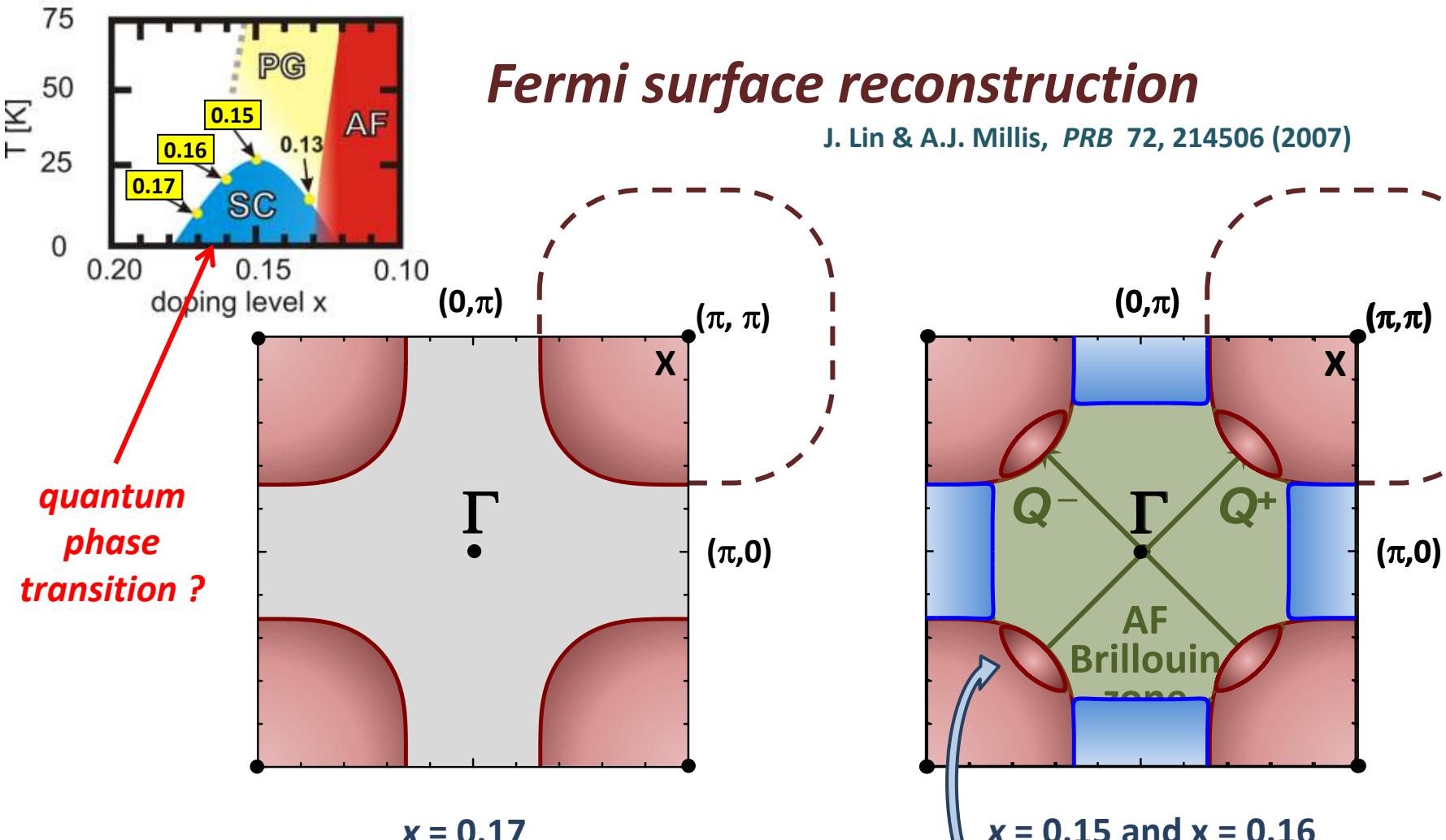
Fermi surface for $x = 0.15$ and 0.16 appears to be very different from that for $x = 0.17$

T. Helm et al., Phys. Rev. Lett. 103, 157002 (2009).

7.3.1 Fermi Surface



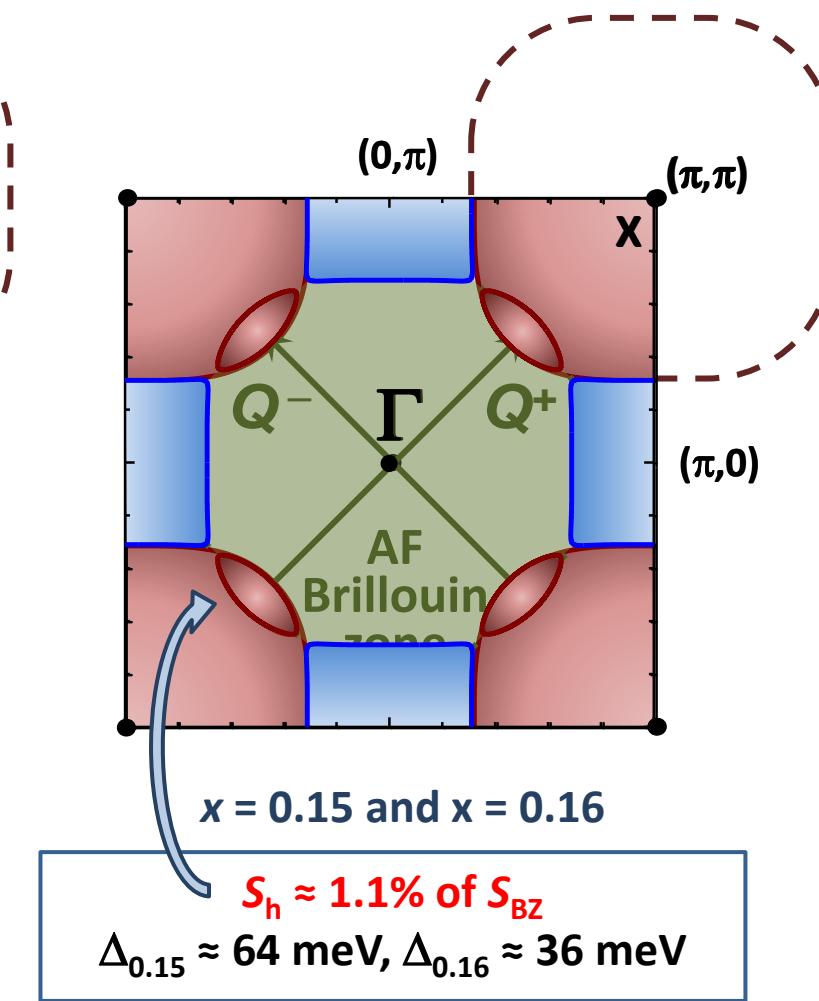
*quantum
phase
transition ?*



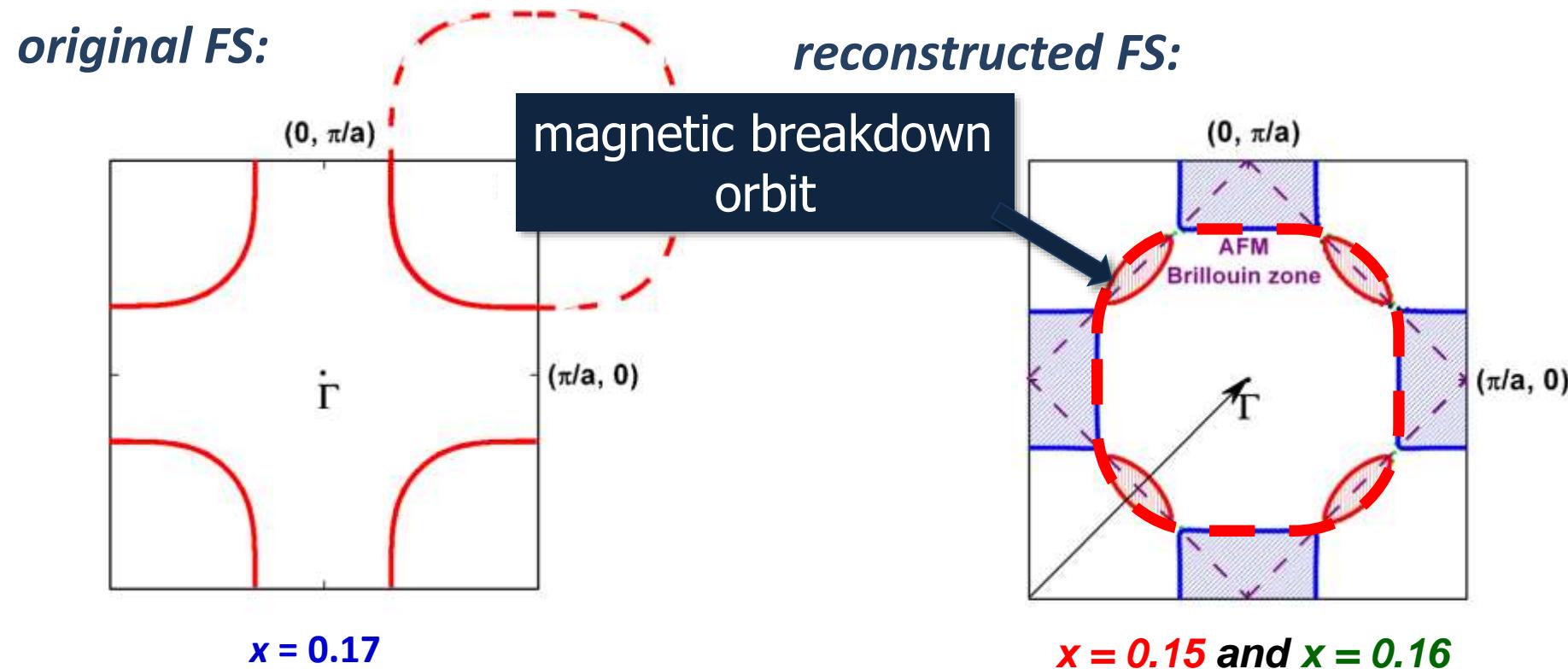
T. Helm et al., Phys. Rev. Lett. 103, 157002 (2009).

Fermi surface reconstruction

J. Lin & A.J. Millis, PRB 72, 214506 (2007)



7.3.1 Fermi Surface



small Fermi pockets are present even at $x = 0.17$!!

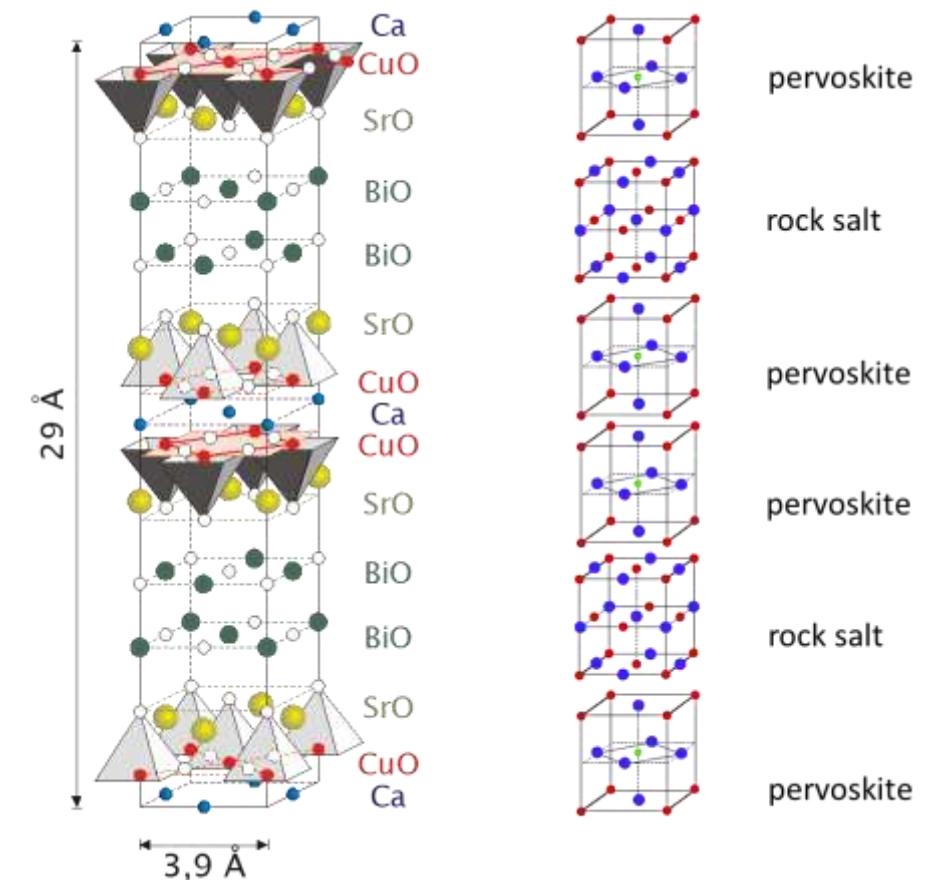
Summary of Lecture No. 13 (1)

Discovery of high temperature superconductivity in cuprates by Bednorz and Müller (1986)

- completely unexpected (oxides)
- hype in superconductivity research (simple cooling by LN₂)
- no complete understanding until today

Crystal structure

- layered, ***anisotropic materials***
- stack sequence of perovskite, rock-salt, and fluorite blocks
- common structural unit: ***CuO₂ planes***
- other layers important for charge doping and degree of anisotropy → ***intrinsic modulation doping***



Summary of Lecture No. 13 (2)

Electronic structure

- naively expected: odd number of charge carriers per unit cell → half-filled band → metallic behavior
- experimentally measured: antiferromagnetic insulators in undoped state
- explanation of discrepancy: strong electronic correlations result in splitting of band into empty UHB and full LHB
- origin of antiferromagnetism: 180° superexchange between Cu spins via full oxygen orbitals
- electron doping: additional electron in Cu 3d orbital → removes hole spin, dilution of afm spin lattice
- hole doping: hole in O 2p orbital → adds hole spin on O site → frustration of afm spin lattice

Fermi surface

- simple guess by 2D tight-binding model with nearest and next-nearest neighbor hopping

Determination of the Fermi Surface

- ARPES is powerful tool but at the same time is very surface sensitive
→ requires very clean surfaces, UHV environment, stability of surfaces
- Quantum oscillations of the magnetization (de Haas – van Alphen effect) and the resistance (Shubnikov – de Haas effect)
→ requires very pure single crystals, high magnetic fields and low temperatures



Walther
Meißner
Institut



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WISSENSCHAFTEN

Technische
Universität
München



Superconductivity and Low Temperature Physics I



Lecture No. 14

R. Gross
© Walther-Meissner-Institut

7 High Temperature Superconductivity

7.1 Discovery of High T_c Superconductivity

7.2 Crystal Structure and Phase Diagram

7.2.1 Crystal Structure

7.2.2 Phase Diagram

7.3 Electronic Structure

7.3.1 Fermi Surface

7.3.2 Experimental Study of the Fermi Surface



7.4 Unconventional Superconductivity

7.4.1 Symmetry of the Pair Wave Function in Cuprates

7.5 Superconducting Properties

7.5.1 Anisotropy

7.4 Symmetry of Pair Wavefunction (cf. 4.1.3)

- important: pair consists of two fermions → **total wavefunction must be antisymmetric: minus sign for particle exchange**

$$\Psi(\mathbf{r}_1, \sigma_1, \mathbf{r}_2, \sigma_2) = \underbrace{\frac{1}{\sqrt{V}} e^{i \mathbf{K}_s \cdot \mathbf{R}_s}}_{\text{center of mass motion}} \underbrace{f(\mathbf{k}, \mathbf{r})}_{\substack{\text{orbital} \\ \text{we assume } \mathbf{K}_s = 0}} \underbrace{\chi(\sigma_1, \sigma_2)}_{\text{spin part}} = -\Psi(\mathbf{r}_2, \sigma_2, \mathbf{r}_1, \sigma_1)$$

$\mathbf{R}_s = (\mathbf{r}_1 + \mathbf{r}_2)/2$
 $\mathbf{r} = (\mathbf{r}_1 - \mathbf{r}_2)$

$\mathbf{K}_s = (\mathbf{k}_1 + \mathbf{k}_2)/2$
 $\mathbf{k} = (\mathbf{k}_1 - \mathbf{k}_2)$

- possible **spin wavefunctions** $\chi(\sigma_1, \sigma_2)$ for electron pairs

$$S = \begin{cases} 0 & m_s = 0 \\ 1 & m_s = \end{cases} \begin{cases} \chi^a = \frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow) & \rightarrow \text{singlet pairing,} \\ -1 & \chi^s = \downarrow\downarrow \\ 0 & \chi^s = \frac{1}{\sqrt{2}}(\uparrow\downarrow + \downarrow\uparrow) \\ +1 & \chi^s = \uparrow\uparrow \end{cases} \begin{cases} \text{antisymmetric spin wavefunction} \\ \text{symmetric orbital function:} \\ L = 0, 2, \dots (s, d, \dots) \end{cases}$$

$\rightarrow \text{triplet pairing,}$

$\text{symmetric spin wavefunction}$
 $\text{antisymmetric orbital function:}$
 $L = 1, 3, \dots (p, f, \dots)$

7.4 Symmetry of Pair Wavefunction (cf. 4.1.3)

➤ pairing potential: $\Delta_{\mathbf{k}} = - \sum_{\mathbf{k}'} V_{\mathbf{k}, \mathbf{k}'} g_{\mathbf{k}'}$ $V_{\mathbf{k}, \mathbf{k}'}$ = interaction potential, $g_{\mathbf{k}'}$ = pairing amplitude

➤ isotropic interaction: $V_{\mathbf{k}, \mathbf{k}'} = -V_0$

→ interaction is constant and does not depend on \mathbf{k} $\Rightarrow \Delta_{\mathbf{k}} = \Delta$

→ in agreement with angular momentum $L = 0$ (s-wave superconductor)

– corresponding spin wavefunction must be antisymmetric

→ spin singlet Cooper pairs ($S = 0$)

– resulting Cooper pair: $(\mathbf{k} \uparrow, -\mathbf{k} \downarrow)$ spin singlet Cooper pair ($L = 0, S = 0$)

→ spin triplet Cooper pairs ($S = 1$):

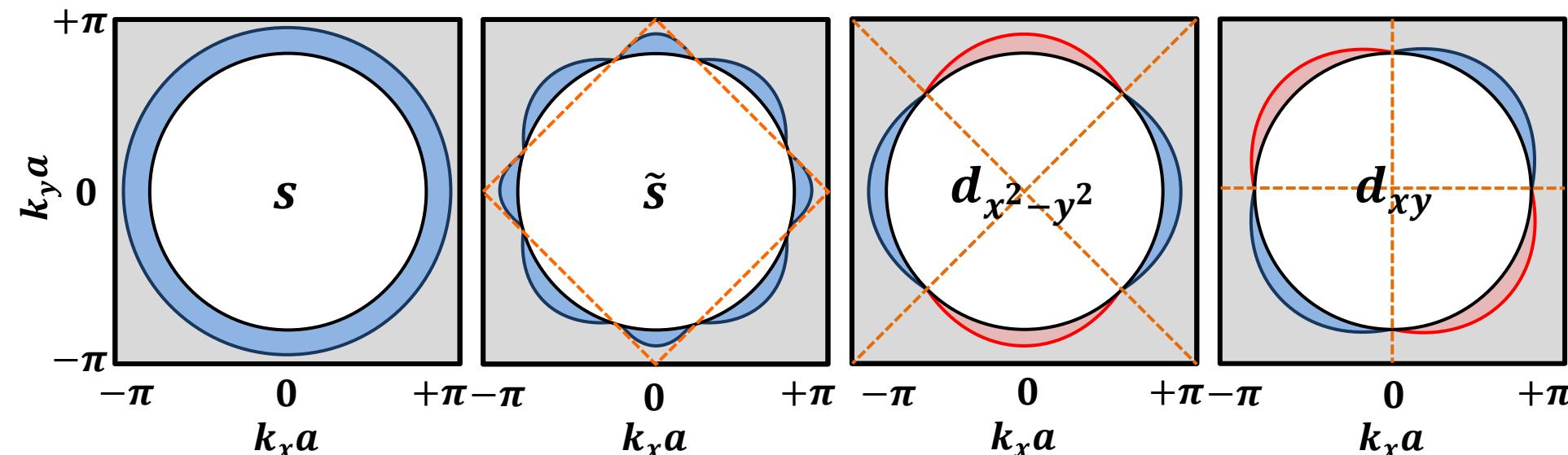
- realized in superfluid ${}^3\text{He}$: $L = 1, S = 1$ (p-wave pairing)
- evidence for $L = 1, S = 1$ also for some heavy Fermion superconductors (e.g. UPt_3)

7.4 Symmetry of Pair Wavefunction

➤ anisotropic interaction: $V_{\mathbf{k}, \mathbf{k}'}$

→ interaction is \mathbf{k} – dependent $\Rightarrow \Delta = \Delta(\mathbf{k})$

→ if we assume **singlet pairing** (antisymmetric spin function), $\Delta(\mathbf{k})$ must be an even function: $\Delta(\mathbf{k}) = \Delta(-\mathbf{k})$



for 2D systems with
quadratic unit cell
(e.g. HTS)



$$\Delta(\mathbf{k}) = \Delta_m$$

$$\Delta(\mathbf{k}) = \frac{\Delta_m}{2} (\cos k_y a + \cos k_x a)$$

$$\Delta(\mathbf{k}) = \frac{\Delta_m}{2} (\cos k_y a - \cos k_x a)$$

$$\Delta(\mathbf{k}) = \Delta_m (\sin k_y a \sin k_x a)$$

7.4 Symmetry of Pair Wavefunction

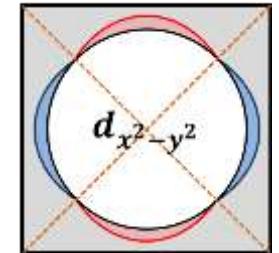
- conventional and unconventional pairing

unfortunately, there are several definitions:

- unconventional superconductivity, if **interaction is not mediated by phonons**
- unconventional superconductivity, if **average of pairing potential across Fermi surface vanishes**:

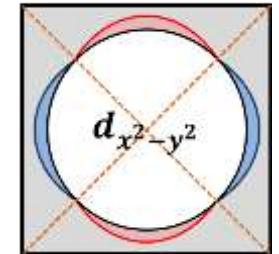
$$\sum_{\mathbf{k}} \Delta_{\mathbf{k}} = 0 \quad \text{FT} \Rightarrow \Delta(\mathbf{r}) \propto \sum_{\mathbf{k}} \Delta_{\mathbf{k}} \exp(i \mathbf{k} \cdot \mathbf{r}) \Rightarrow \Delta(\mathbf{r} = \mathbf{0}) = 0$$

→ state with $\sum_{\mathbf{k}} \Delta_{\mathbf{k}} = 0$ is formed if there is strong short-range repulsion

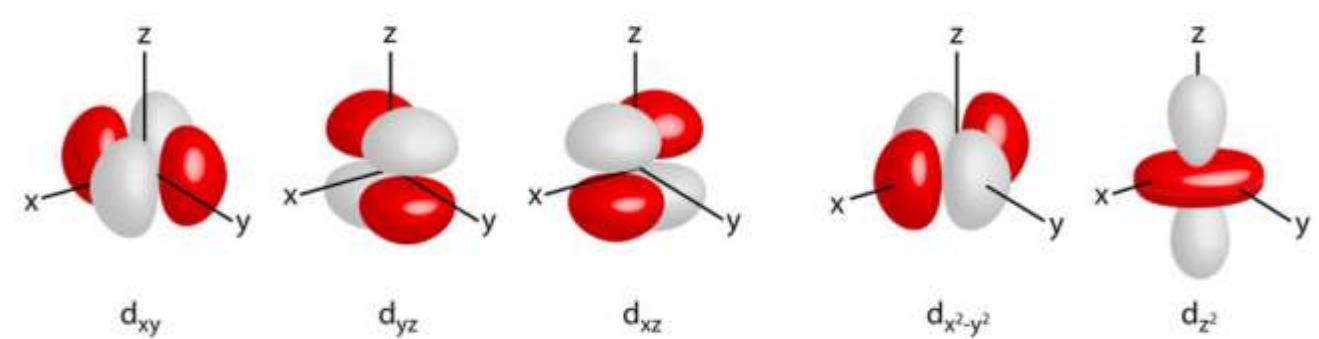
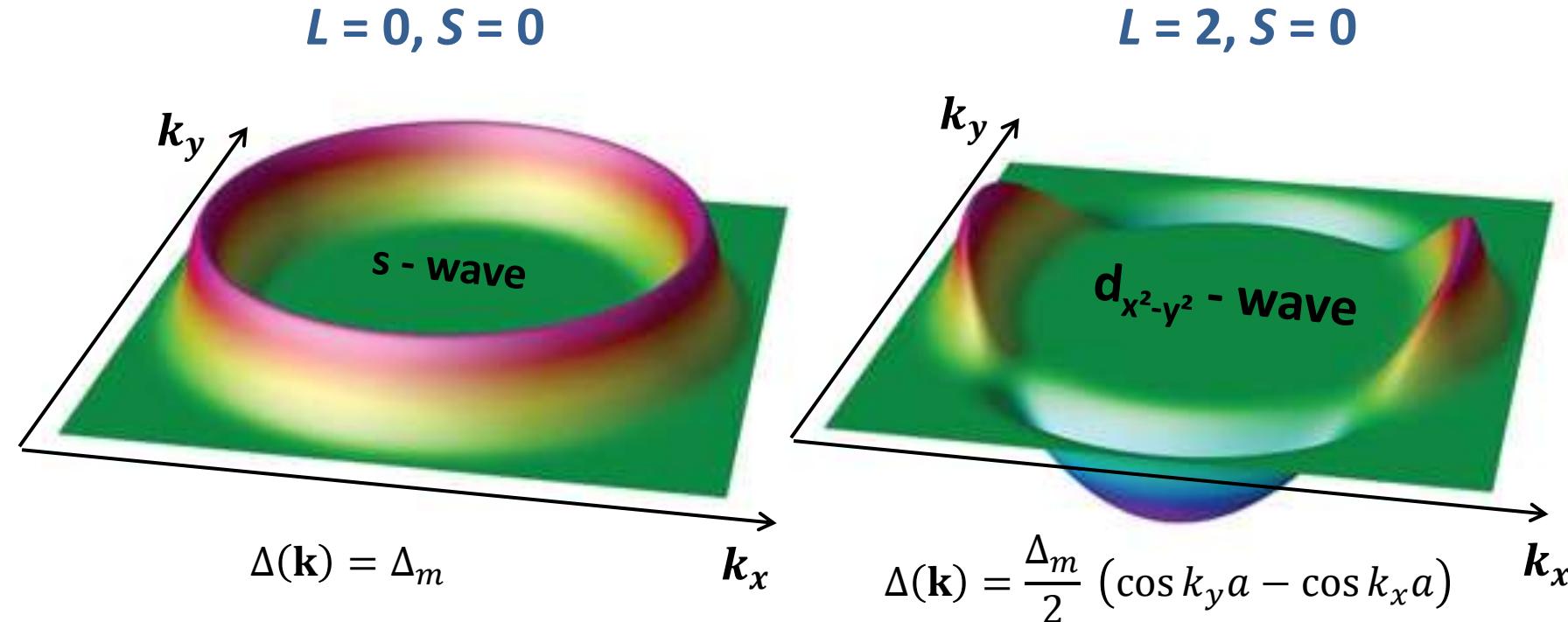


- unconventional superconductivity, if the **symmetry of the order parameter does not have the full symmetry of the underlying lattice**

example: tetragonal HTS superconductors have C_4 symmetry,
where a $d_{x^2-y^2}$ order parameter has only C_2 symmetry



7.4 Symmetry of Pair Wavefunction (cf. 4.1.3)

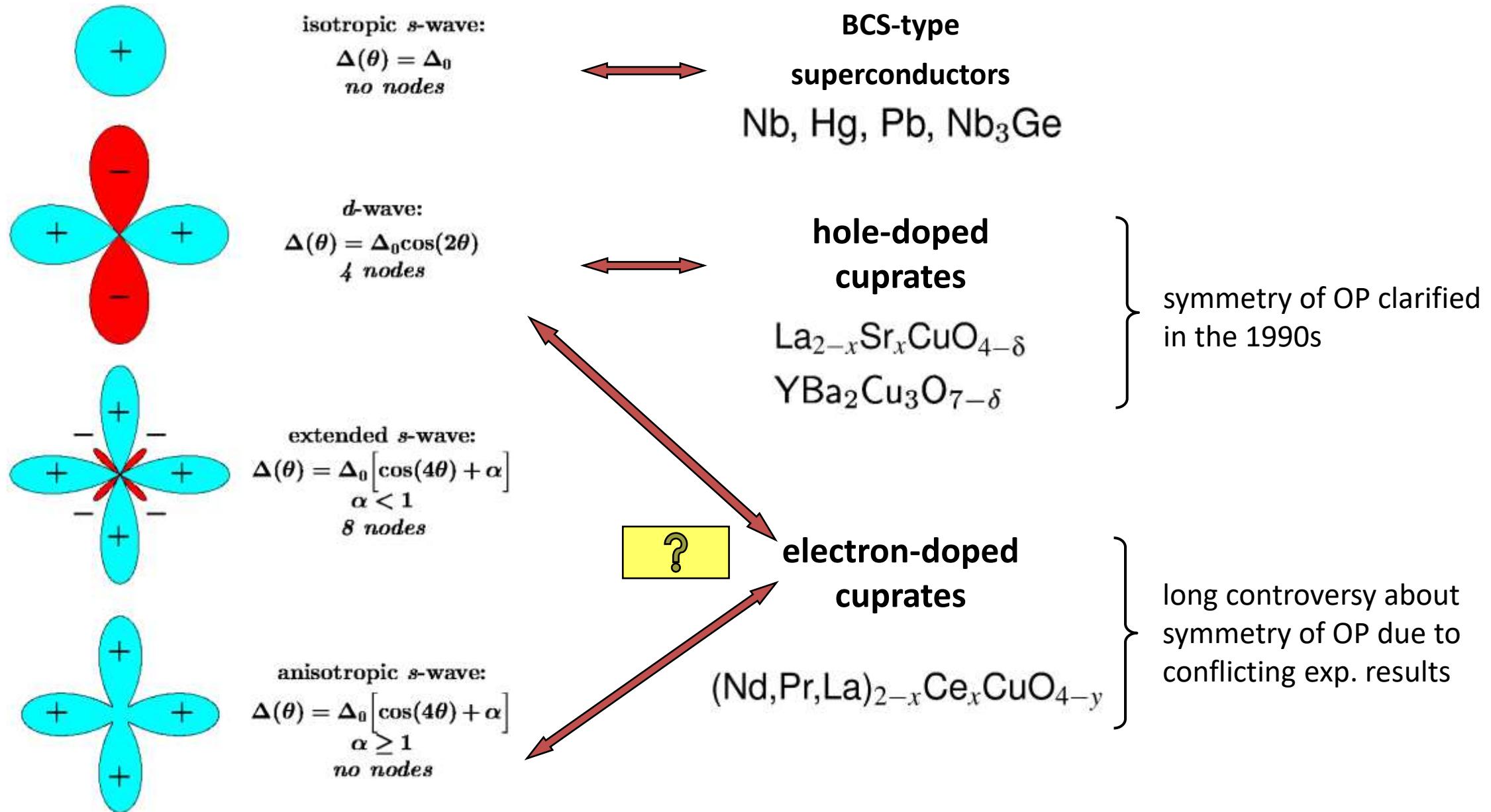


[Superconductivity gets an iron boost](#)

Igor I. Mazin

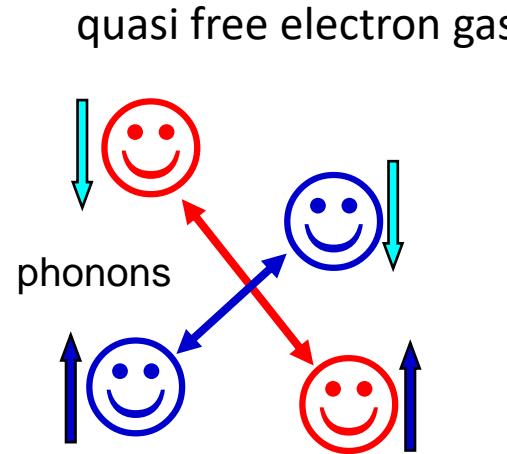
Nature 464, 183-186(11 March 2010)

7.4 Symmetry of Pair Wavefunction

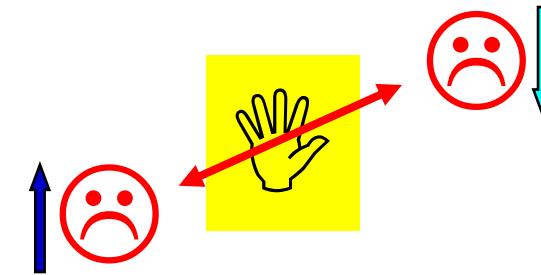


7.4 Symmetry of Pair Wavefunction

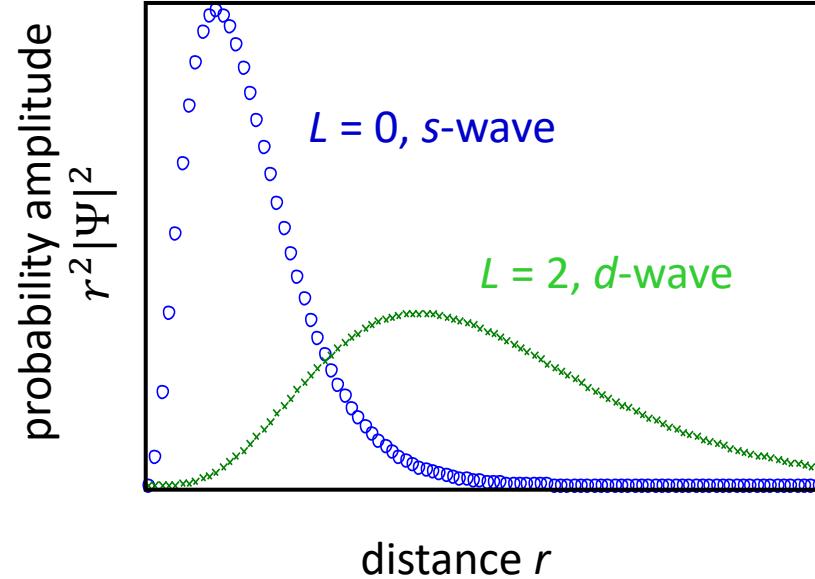
- why are cuprates d-wave superconductors ?



strongly correlated electrons
(on-site Coulomb repulsion U)



electrons avoid to come close to each other → d-wave pair function



L	parity	spin state (S)
s	0	even
p	1	odd
d	2	even

singlet ($S = 0$)

triplet ($S = 1$)

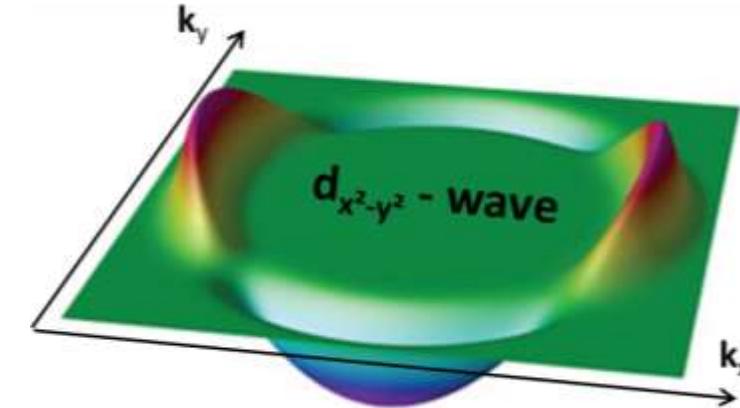
singlet ($S = 0$)

7.4 Symmetry of Pair Wavefunction

- experimental study of the symmetry of the pair wave function

- phase sensitive measurements***

- OP changes sign in different k -directions
- **realize interference experiment which is phase sensitive**



- amplitude sensitive measurements***

- OP disappears for certain k -directions: **nodes**
 - low-lying quasiparticle excitations
- **measure quantities which depend on quasiparticle excitation spectrum**
 - specific heat, London penetration depth, Raman response,
 - exponential laws change into power laws due to nodes

7.4 Symmetry of Pair Wavefunction

- London penetration depth: $\lambda_L^2(T) = \frac{m_s}{\mu_0 n_s(T) q_s^2} \propto \frac{1}{n_s(T)}$ n_s = pair density

two fluid model: $\frac{n_s(T)}{n_s(0)} = \frac{n_s(0) - n_{qp}(T)/2}{n_s(0)} = 1 - \frac{n_{qp}(T)/2}{n_s(0)} = \left(\frac{\lambda_L(0)}{\lambda_L(T)}\right)^2 = \left(1 + \underbrace{\frac{\Delta\lambda_L(T)}{\lambda_L(0)}}_{\ll 1 @ \text{low } T} \right)^{-2} \simeq 1 - 2 \frac{\Delta\lambda_L(T)}{\lambda_L(0)}$

total electron density $n = 2n_s(0)$

with $\Delta\lambda_L(T) = \lambda_L(T) - \lambda_L(0)$

$$\Rightarrow 2 \frac{\Delta\lambda_L(T)}{\lambda_L(0)} \approx \frac{n_{qp}(T)}{2n_s(0)} = \frac{2[n_s(0) - n_s(T)]}{2n_s(0)} = 1 - \frac{2n_s(T)}{n} = 1 - 2 \int_{\Delta}^{\infty} \left(-\frac{\partial f}{\partial E_{\mathbf{k}}}\right) \frac{D_s(E_{\mathbf{k}})}{D_n(E_{\mathbf{k}})} dE_{\mathbf{k}}$$

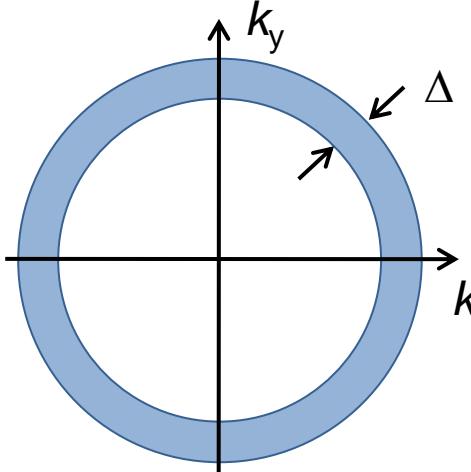
$$D_s(E_{\mathbf{k}}) = D_n(E_{\mathbf{k}}) \frac{E_{\mathbf{k}}}{\sqrt{E_{\mathbf{k}}^2 - \Delta_{\mathbf{k}}^2}}$$

k-dependence of the OP matters

7.4 Symmetry of Pair Wavefunction

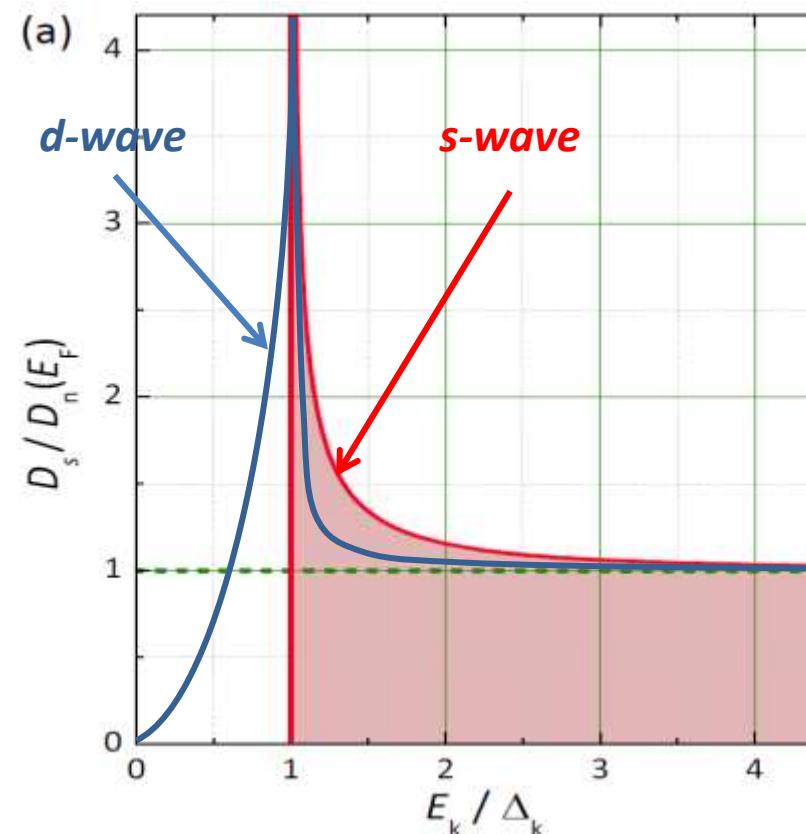
- London penetration depth:

$$L = 0, S = 0$$

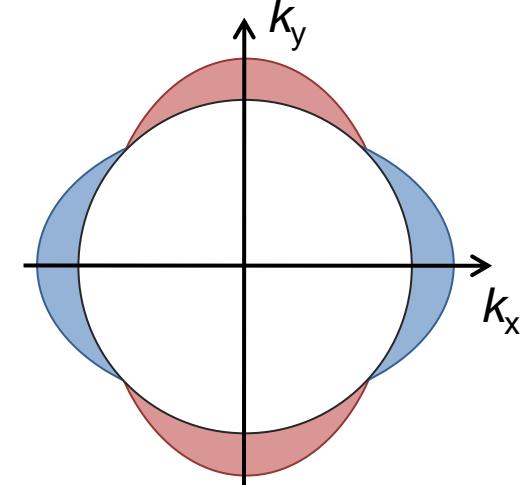


- OP is finite for all \mathbf{k} -directions
- at low T :

$$\frac{\Delta\lambda_L(T)}{\lambda_L(0)} \approx \sqrt{\frac{\pi\Delta}{2k_B T}} \exp\left(-\frac{\Delta}{k_B T}\right)$$



$$L = 2, S = 0$$



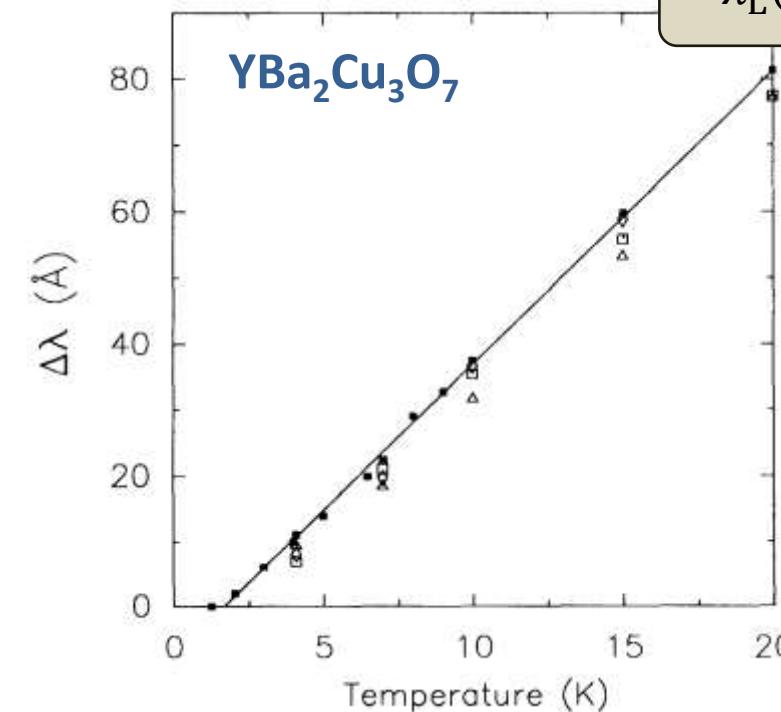
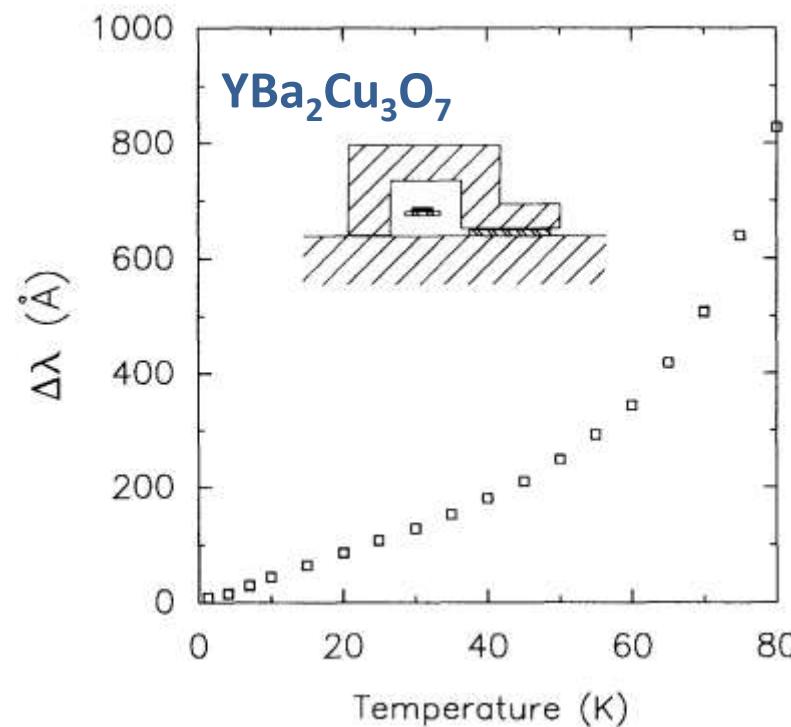
- OP disappears on line-nodes
- at low T :

$$\frac{\Delta\lambda_L(T)}{\lambda_L(0)} \simeq \ln 2 \frac{k_B T}{\Delta}$$

7.4 Symmetry of Pair Wavefunction

- London penetration depth:

- *s*-wave pairing: $\lambda_L(T) - \lambda_L(0) \propto \exp\left(-\frac{\Delta}{k_B T}\right)$
- *d*-wave pairing: $\lambda_L(T) - \lambda_L(0) \propto T$



W.N. Hardy *et al.*, Phys. Rev. Lett. **70**, 3999–4002 (1993)

$$\lambda_L^2(T) = \frac{m_s}{\mu_0 n_s(T) q_s^2} \propto \frac{1}{n_s(T)}$$

BCS-theory ($\Delta(0)/k_B T_c = 1.76$):

$$\frac{\Delta\lambda_L(T)}{\lambda_L(0)} \simeq 3.33 \left(\frac{T_c}{T}\right)^{1/2} \exp\left(-1.76 \frac{T}{T_c}\right)$$

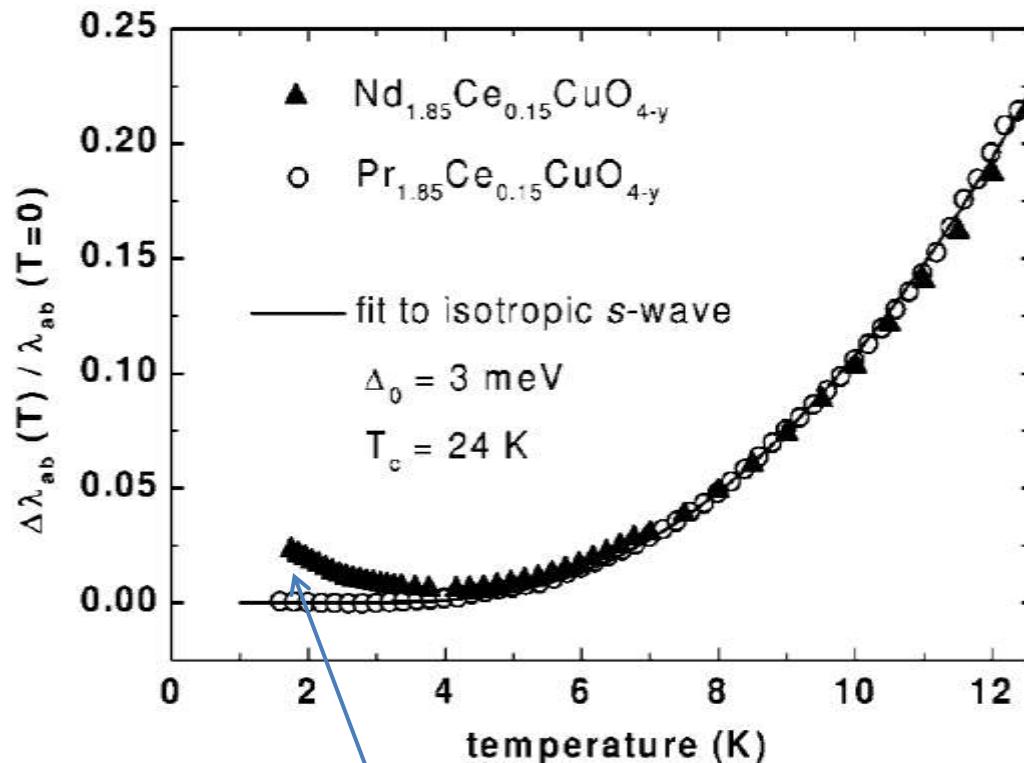
7.4 Symmetry of Pair Wavefunction

VOLUME 83, NUMBER 13

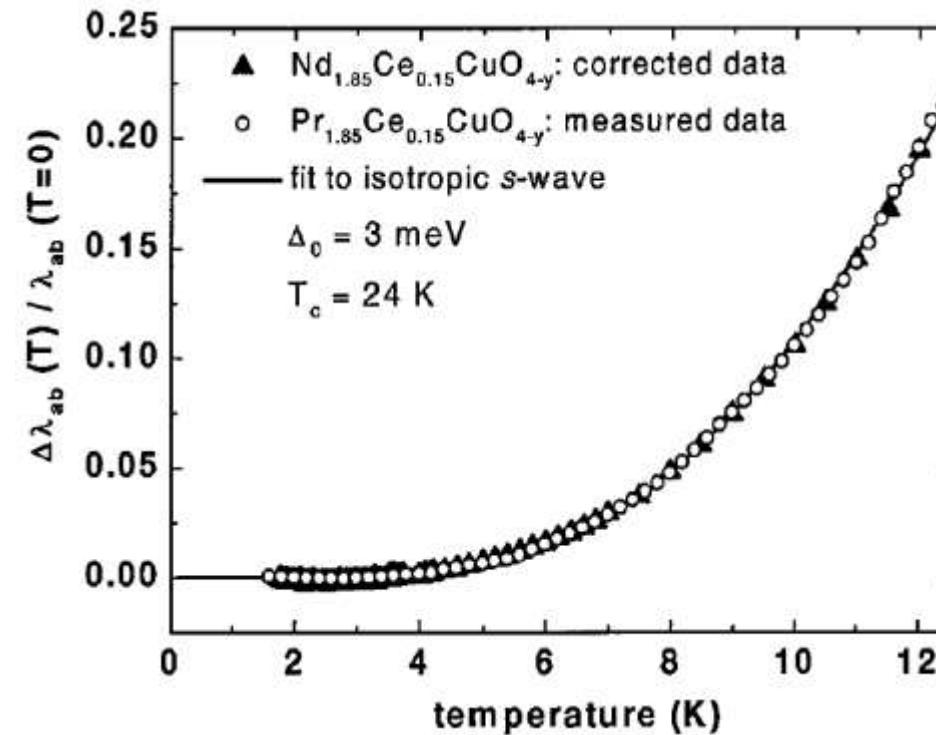
PHYSICAL REVIEW LETTERS

27 SEPTEMBER 1999

Anomalous Low Temperature Behavior of Superconducting $\text{Nd}_{1.85}\text{Ce}_{0.15}\text{CuO}_{4-y}$

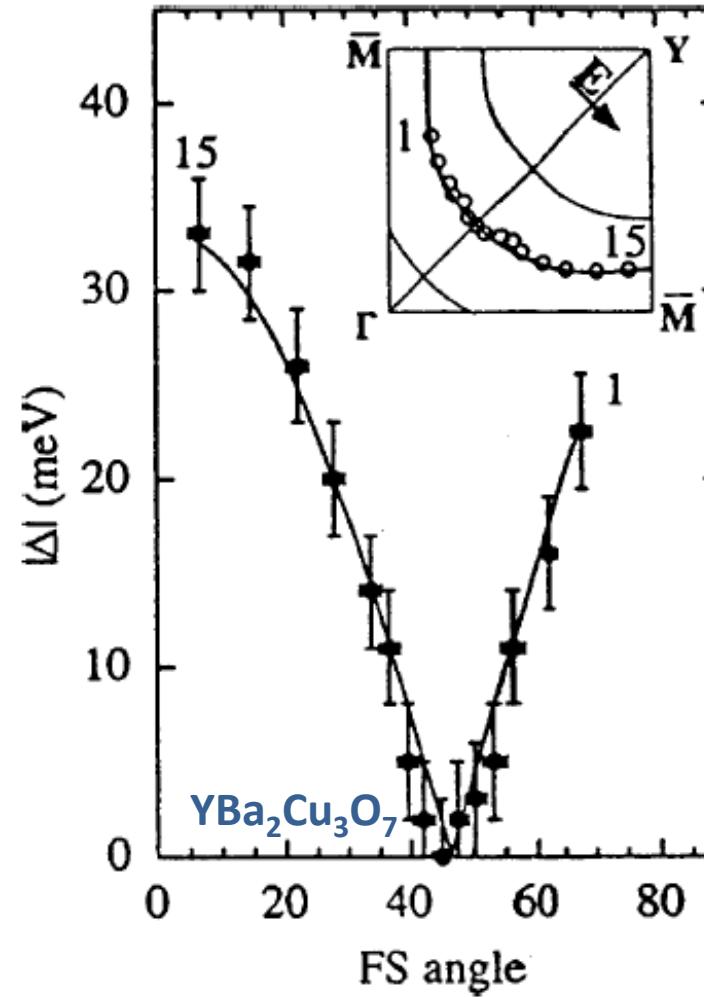
L. Alff,¹ S. Meyer,¹ S. Kleefisch,¹ U. Schoop,¹ A. Marx,¹ H. Sato,² M. Naito,² and R. Gross¹

upward bending is caused by magnetic moments of Nd^{3+} ions



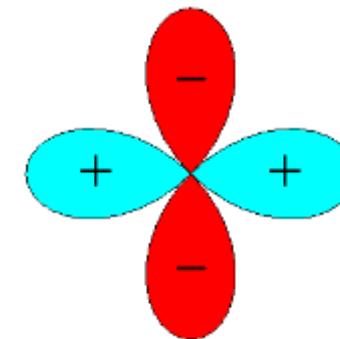
7.4 Symmetry of Pair Wavefunction

- ARPES can measure $|\Delta|$ as function of \mathbf{k} :

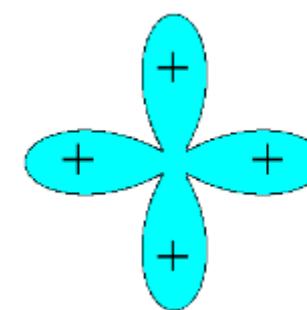


angle dependence follows $|d_{x^2-y^2}|$

- experiment cannot distinguish between:



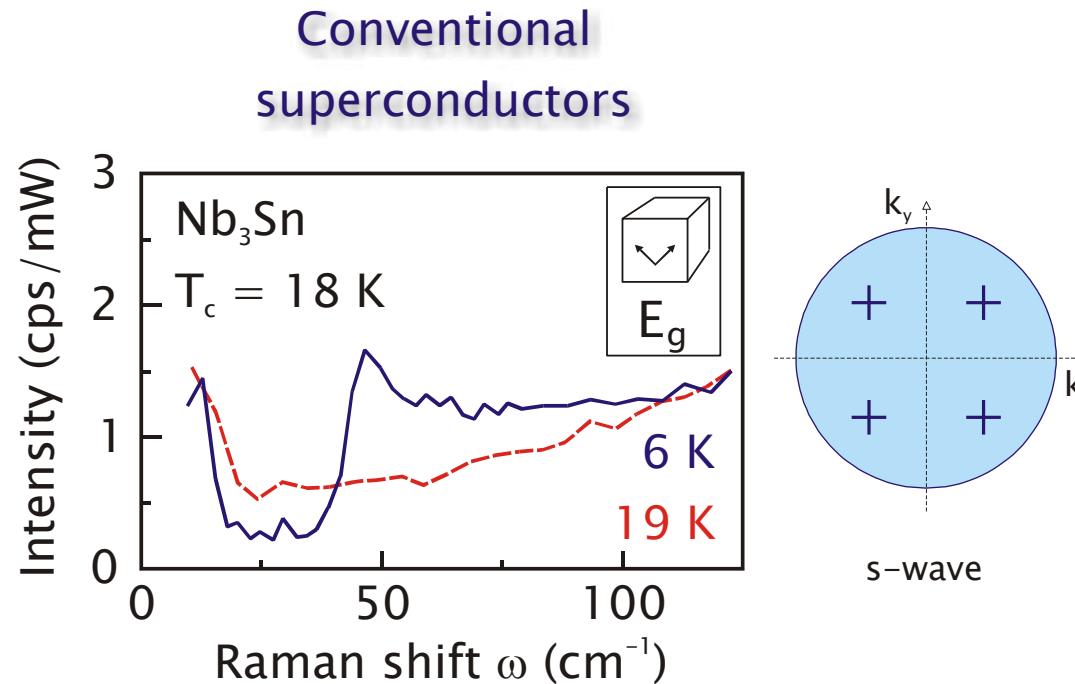
d-wave OP



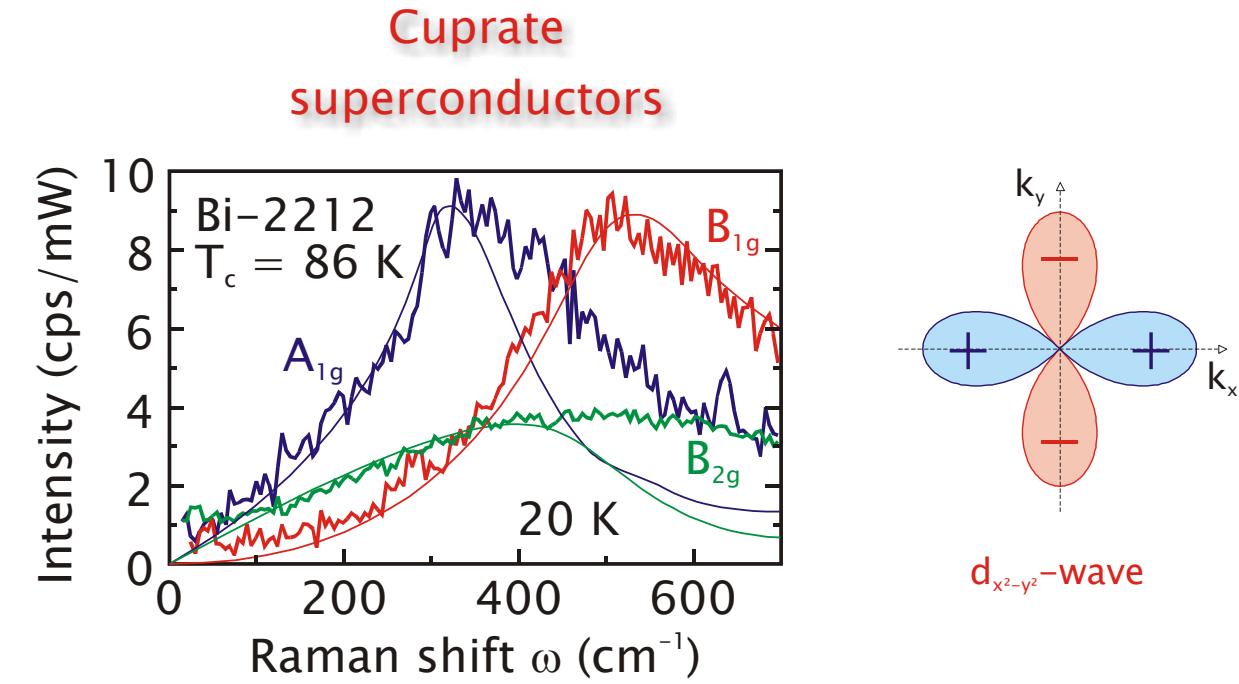
anisotropic s-wave OP

7.4 Symmetry of Pair Wavefunction

- Raman scattering



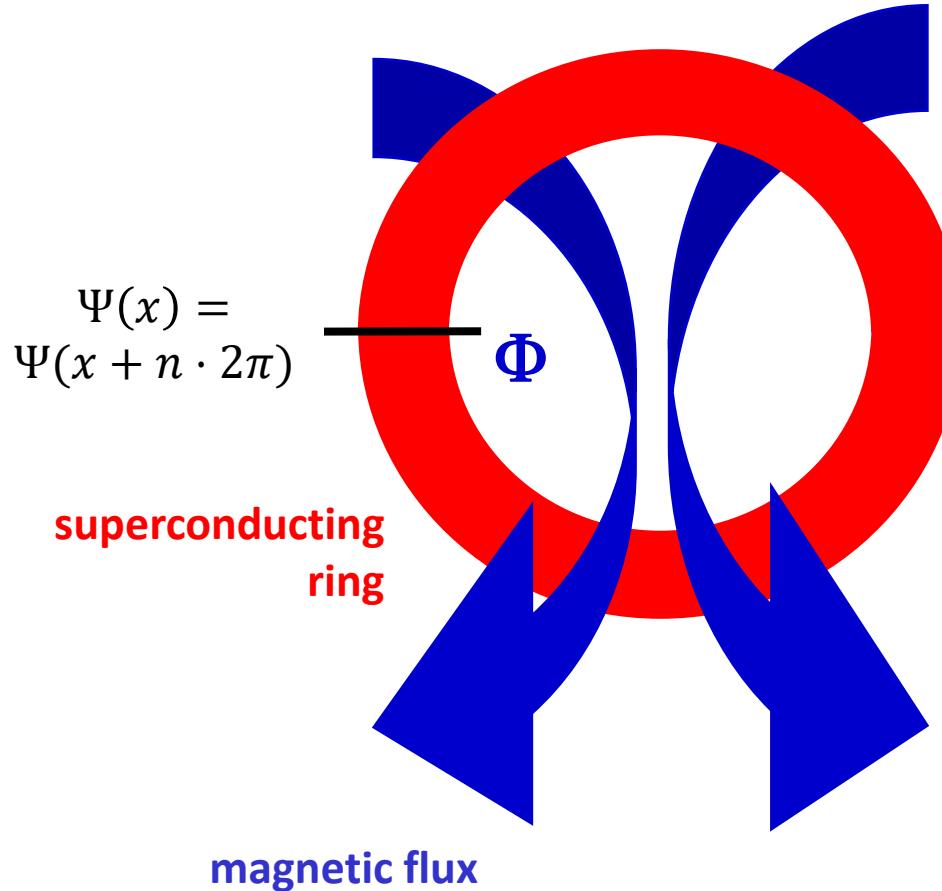
Hackl et al., Physica C **162-164**, 431 (1989)



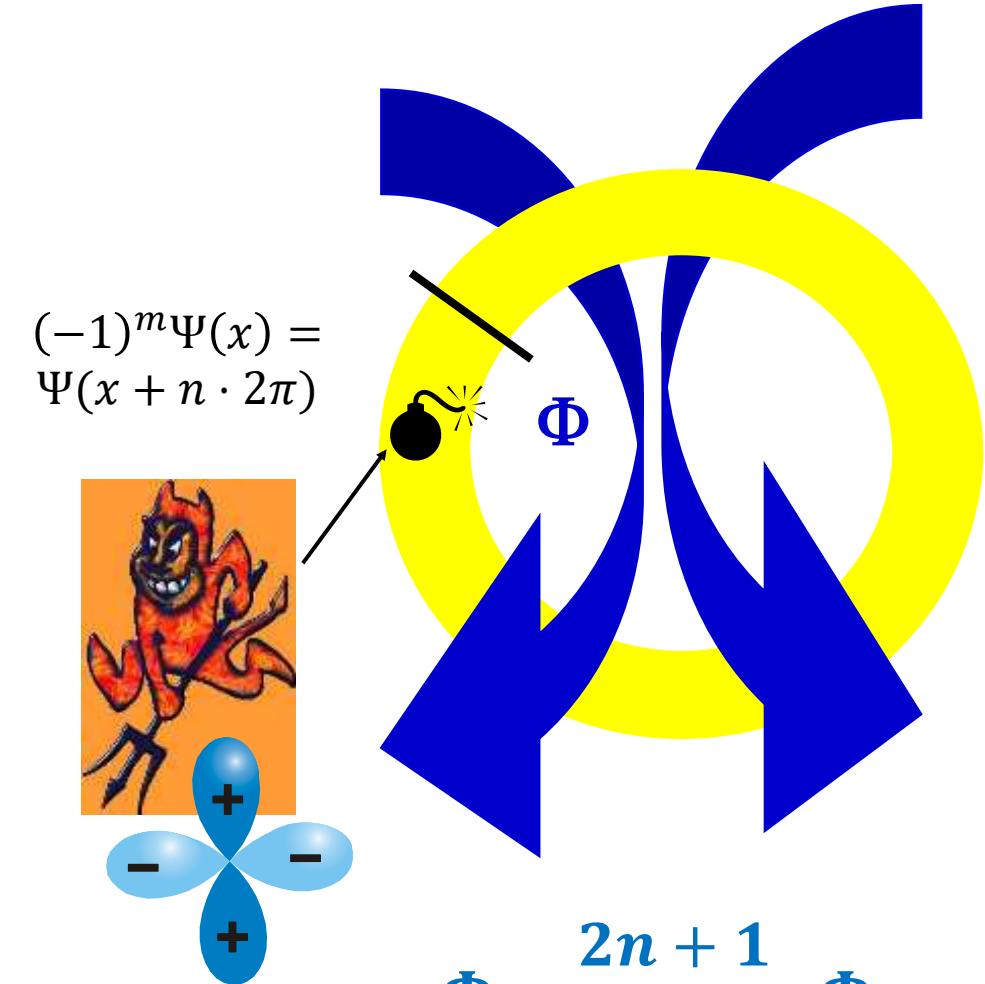
Devereaux et al., PRL **72**, 3291 (1994)

7.4 Symmetry of Pair Wavefunction

- phase sensitive experiments using superconducting loops



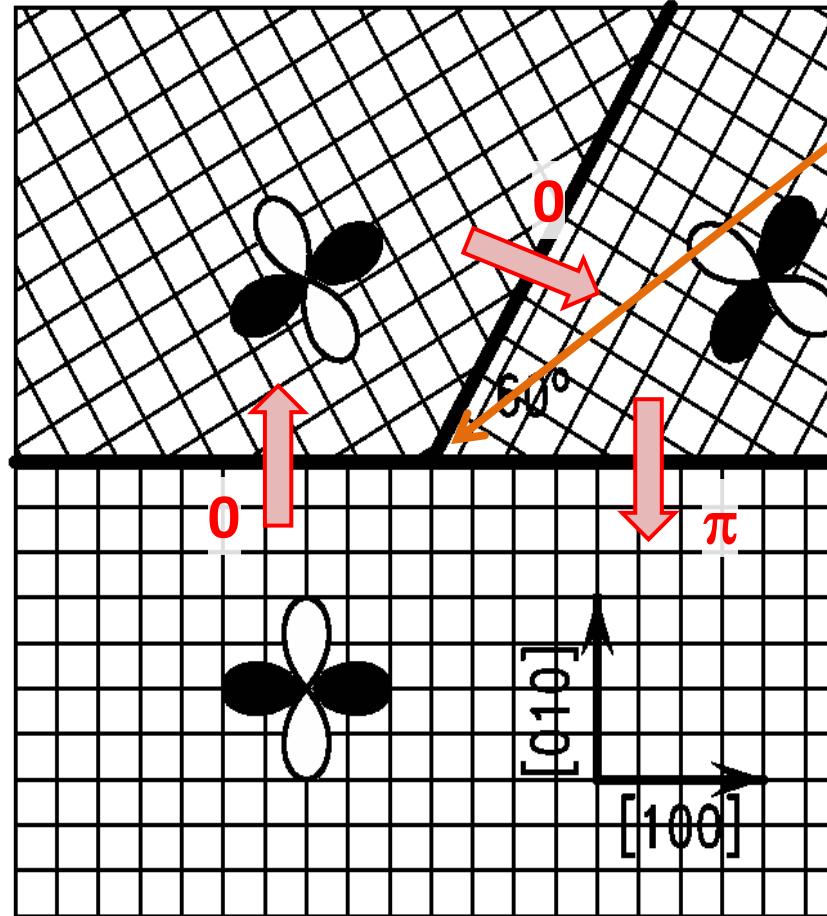
$$\Phi = n \cdot \Phi_0$$



$$\Phi = \frac{2n + 1}{2} \cdot \Phi_0$$

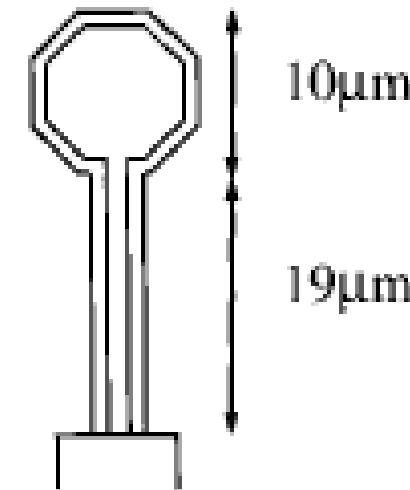
7.4 Symmetry of Pair Wavefunction

- **tri-crystal experiments:** epitaxial YBCO film on SrTiO_3 tricrystal substrate



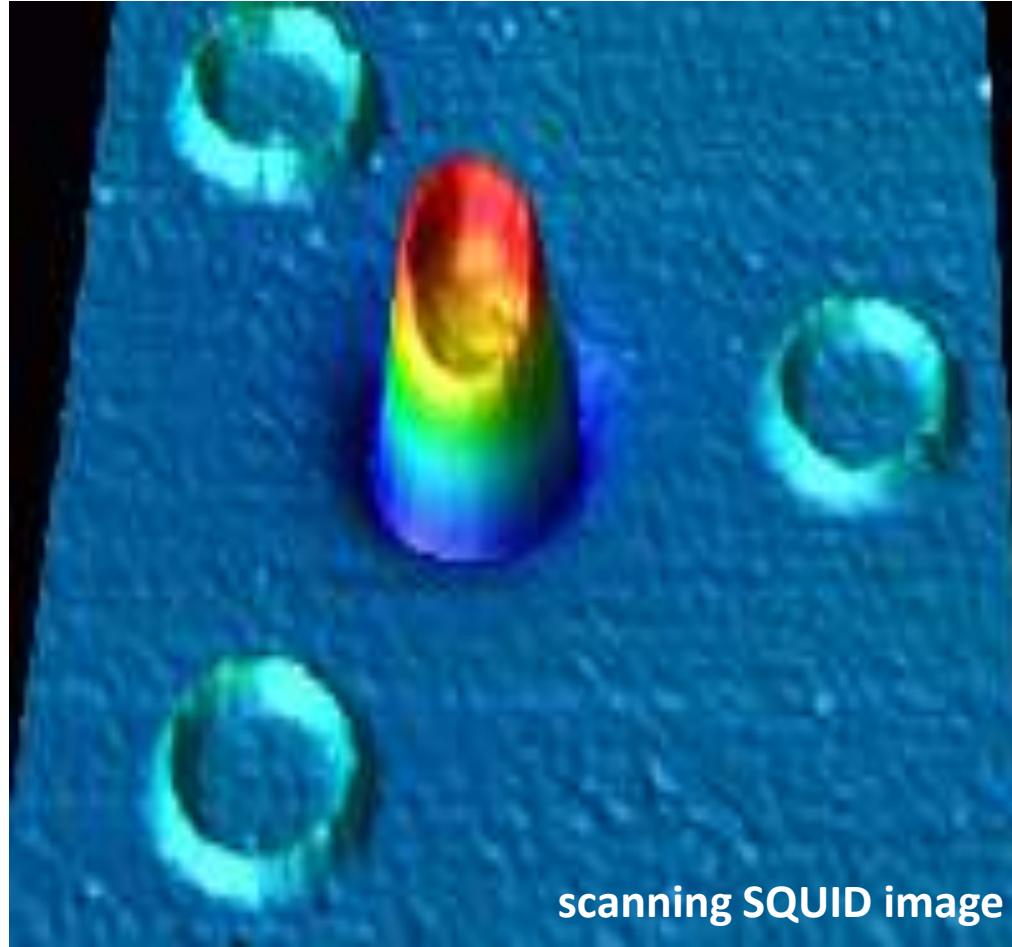
spontaneous magnetic flux $\Phi_0/2$ at
tricrystal intersection

measurement of flux by scanning SQUID

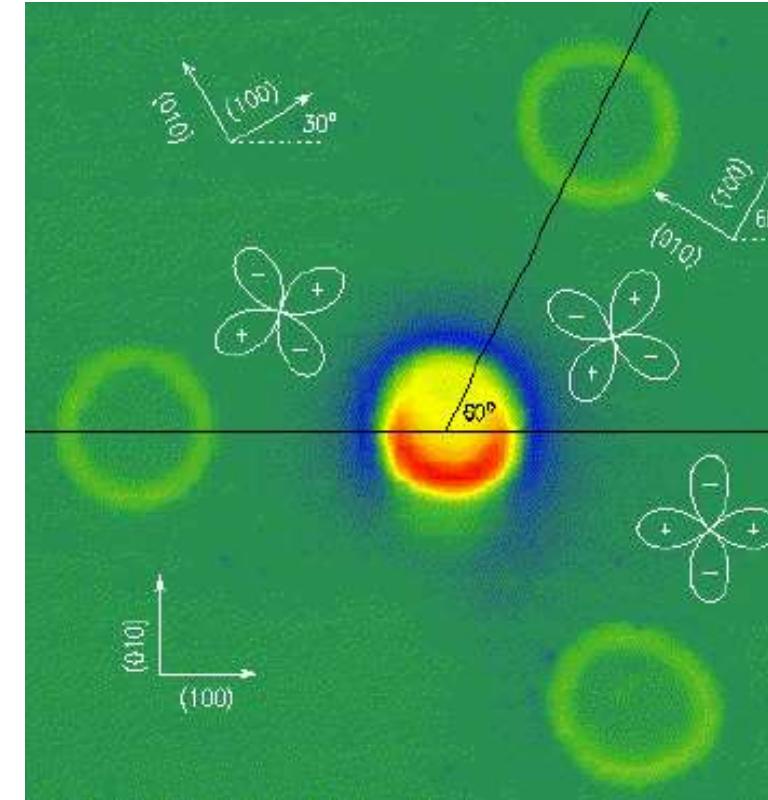


7.4 Symmetry of Pair Wavefunction

- $\text{YBa}_2\text{Cu}_3\text{O}_7$, hole doped HTS



$$\Phi = \Phi_0 \cdot (0.505 \pm 0.02)$$

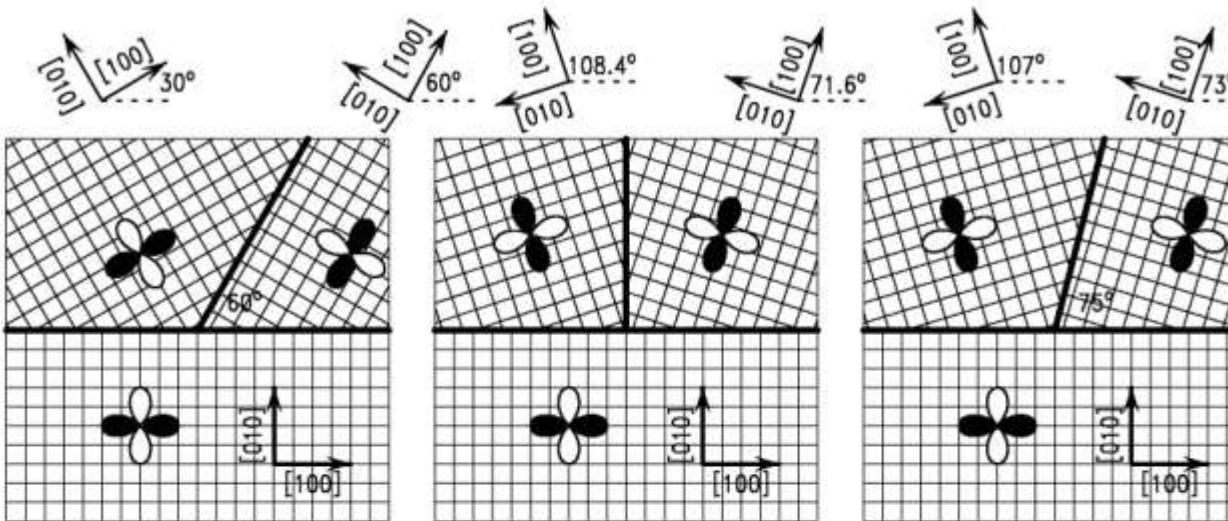


half-integer flux
quantization in odd-
number π -junction ring

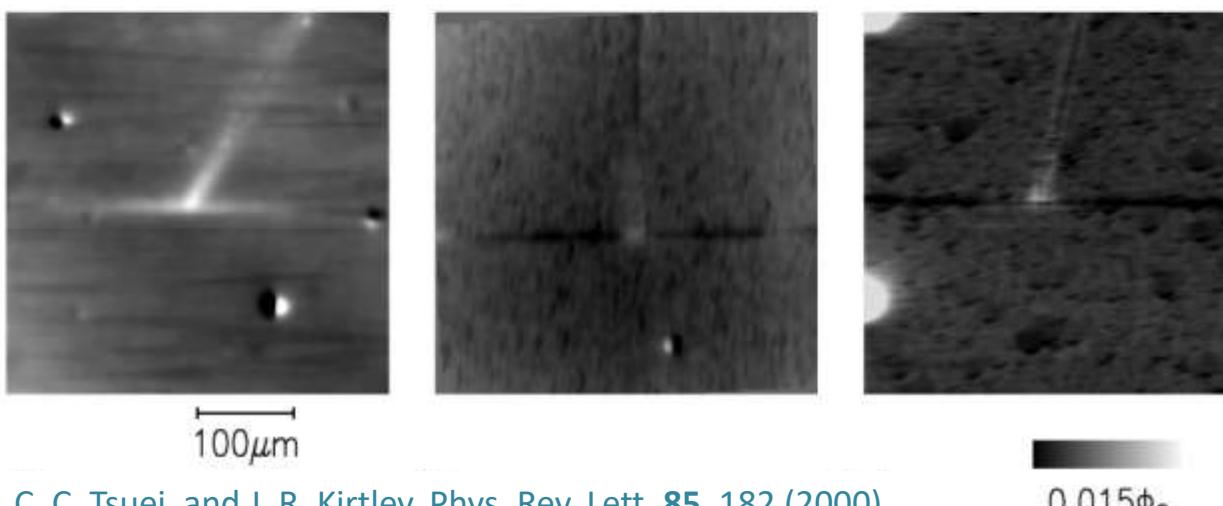
C.C. Tsuei et al., Phys. Rev. Lett. **73**, 593 (1994)
J. R. Kirtley et al., Nature **373**, 225 (1995)

7.4 Symmetry of Pair Wavefunction

- tricrystal experiment for electron doped HTS



$$\Phi = (0.57 + 0.24 - 0.17) \cdot \Phi_0$$
$$(0.4 \Phi_0 - 0.81 \Phi_0)$$

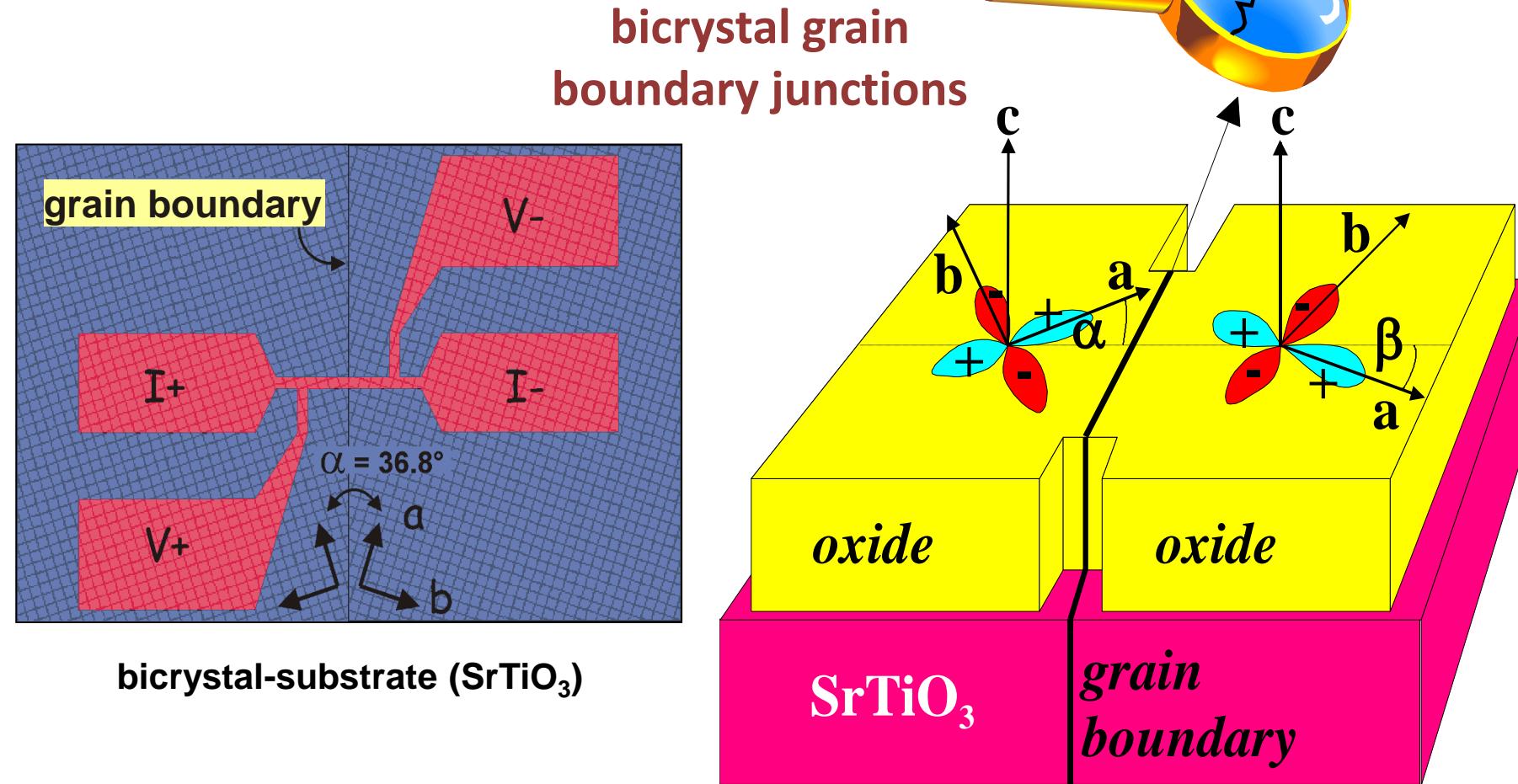


C. C. Tsuei and J. R. Kirtley, Phys. Rev. Lett. 85, 182 (2000)

*half-integer
or
fractional
quantization!*

7.4 Symmetry of Pair Wavefunction

- Tunneling spectroscopy



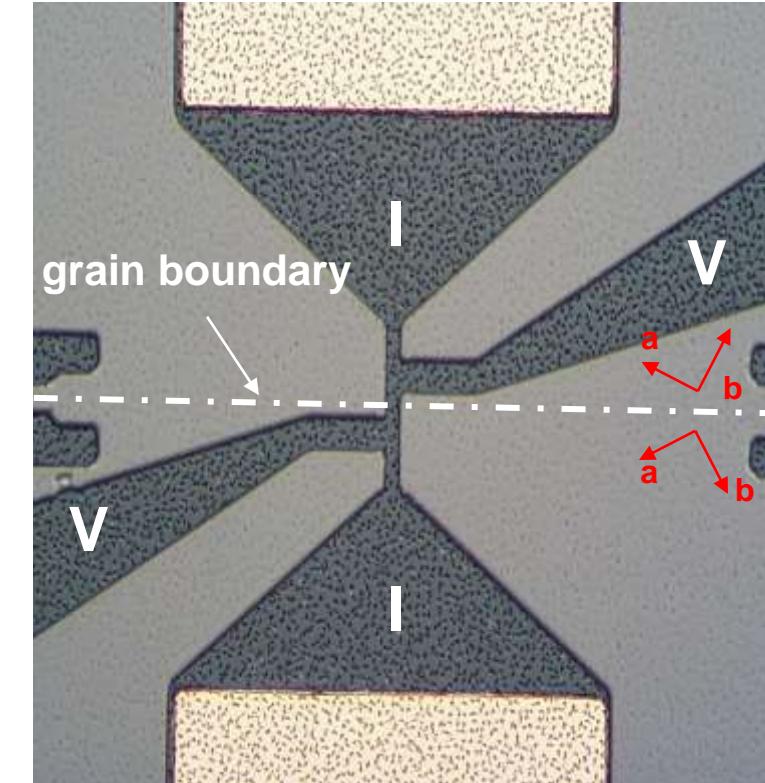
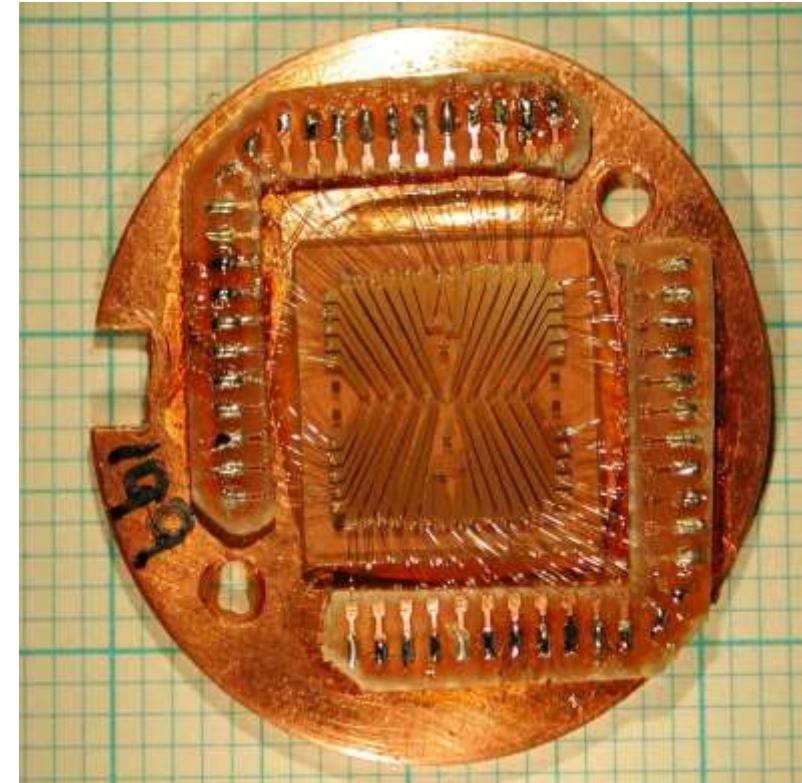
R. Gross et al.,

Phys. Rev. Lett. 64, 228 (1990)

Nature 322, 818 (1988)

7.4 Symmetry of Pair Wavefunction

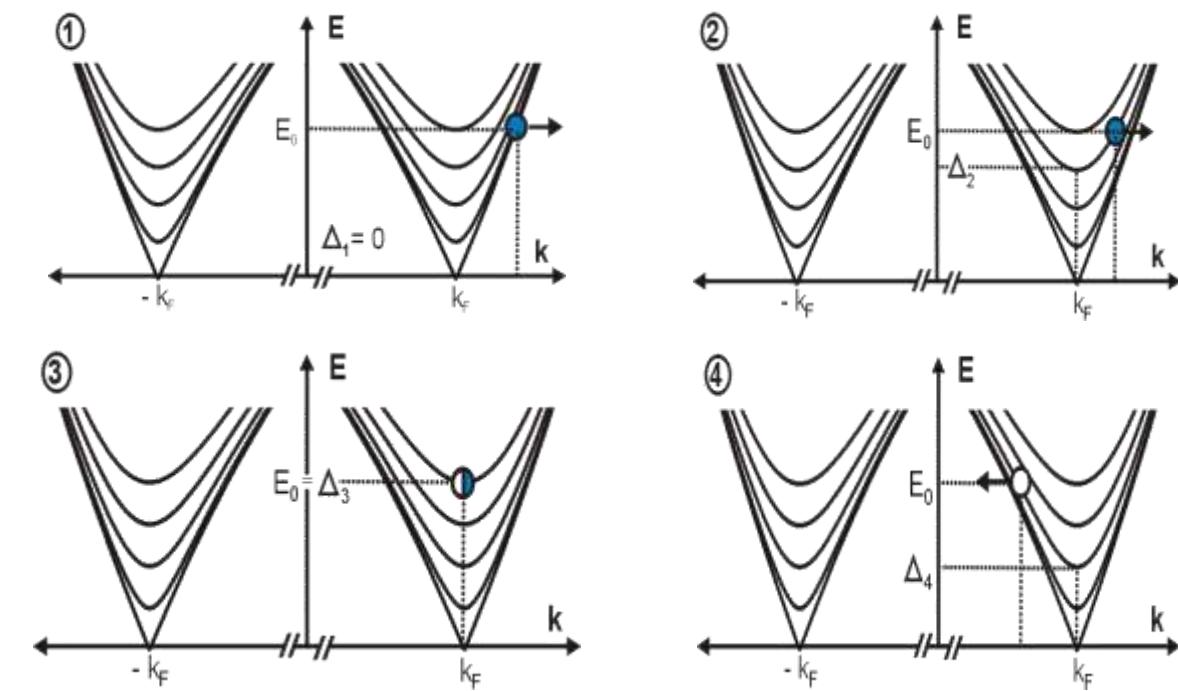
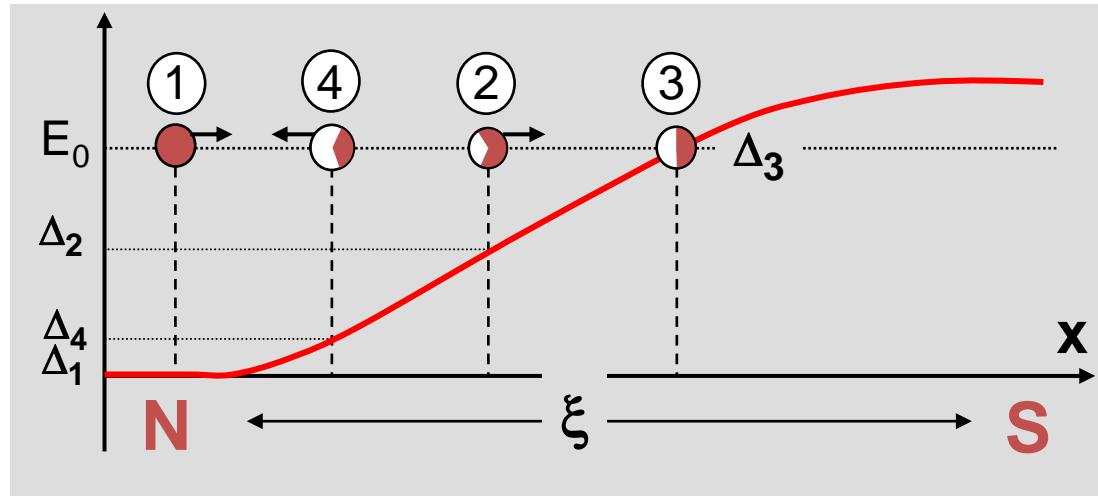
- grain boundary junctions



- $\text{La}_{2-x}\text{Ce}_x\text{CuO}_4$ on SrTiO_3
- optical lithography

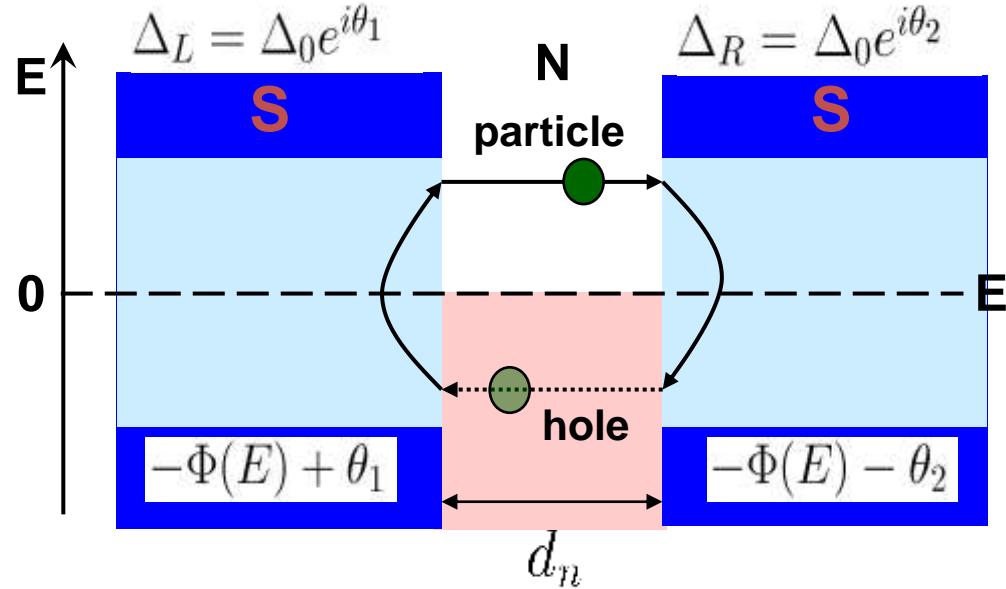
7.4 Symmetry of Pair Wavefunction

- Andreev reflection



7.4 Symmetry of Pair Wavefunction

- Andreev bound states

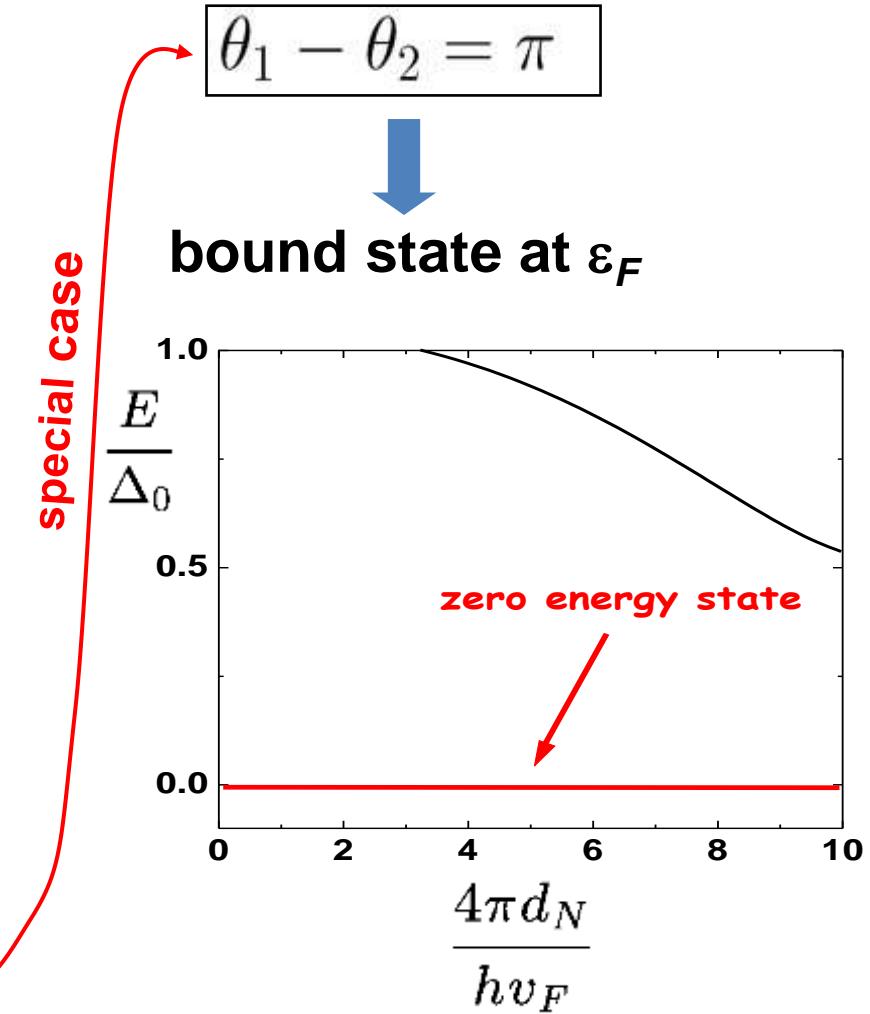


$$\Phi(E) = \arctan \left(\sqrt{\left(\frac{\Delta}{E}\right)^2 - 1} \right)$$

$$\theta_d(E) = \frac{d_n E}{\hbar v_F}$$

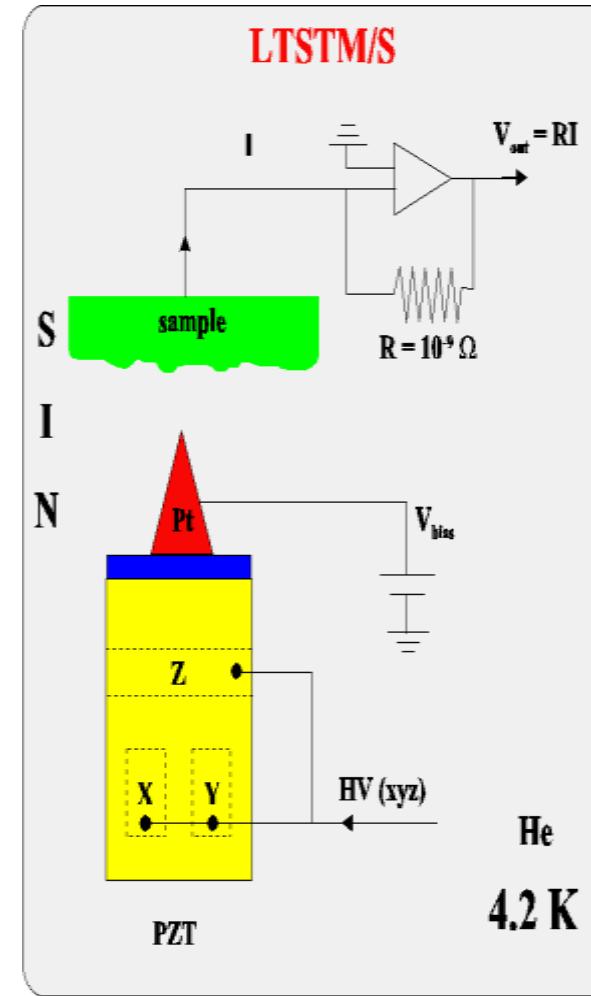
quantum condition:

$$-2\Phi(E) + (\theta_1 - \theta_2) + 2\theta_d(E) = n2\pi$$

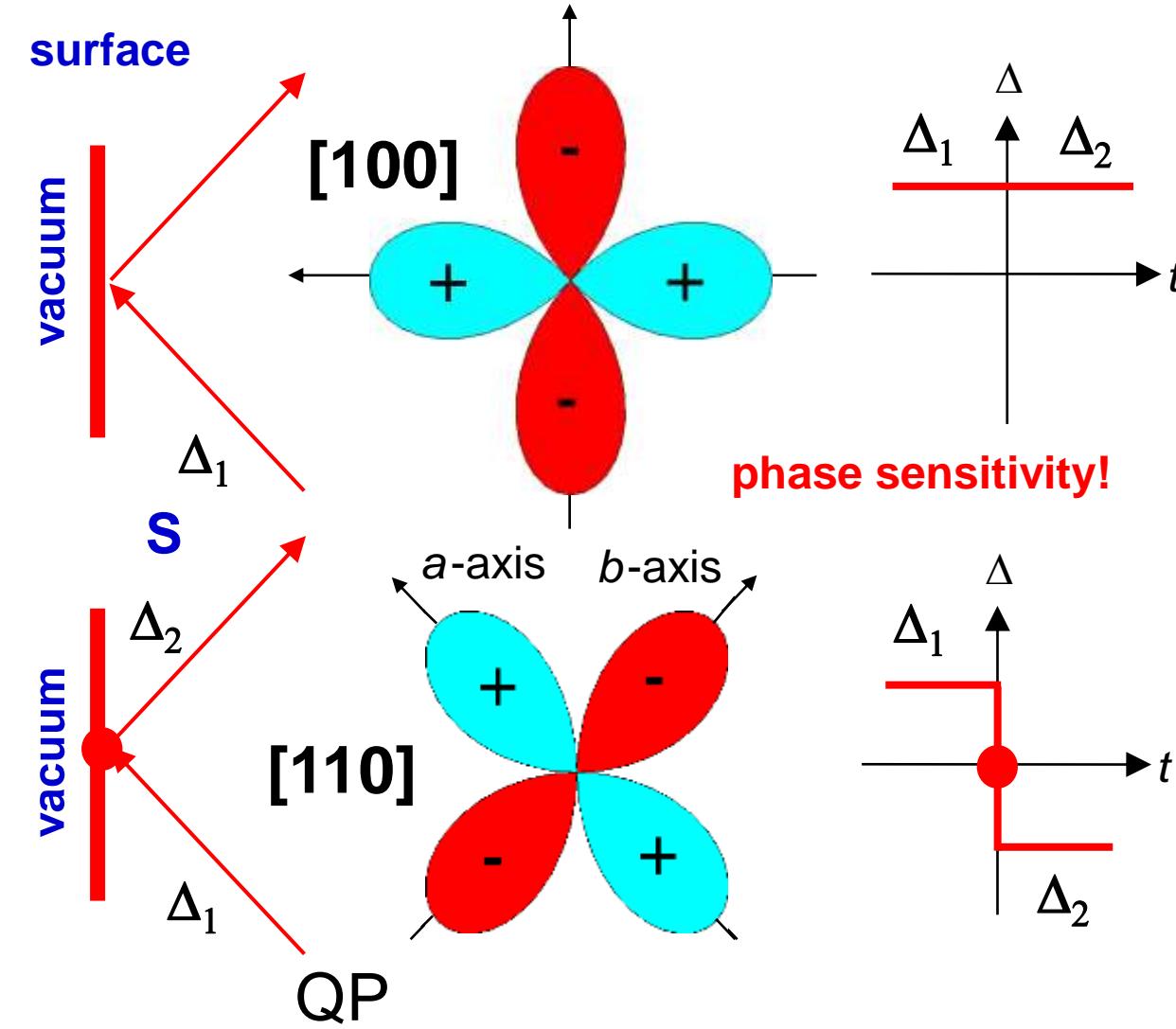


7.4 Symmetry of Pair Wavefunction

- midgap surface states



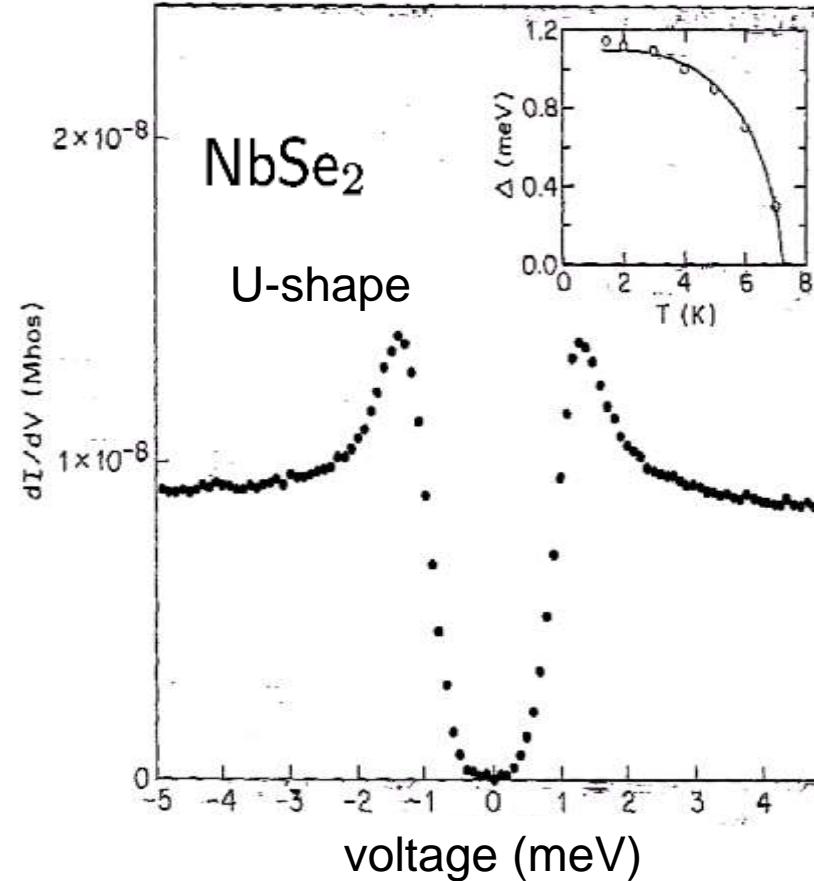
C. R. Hu, Phys. Rev. Lett. **72**, 1526 (1994)



7.4 Symmetry of Pair Wavefunction

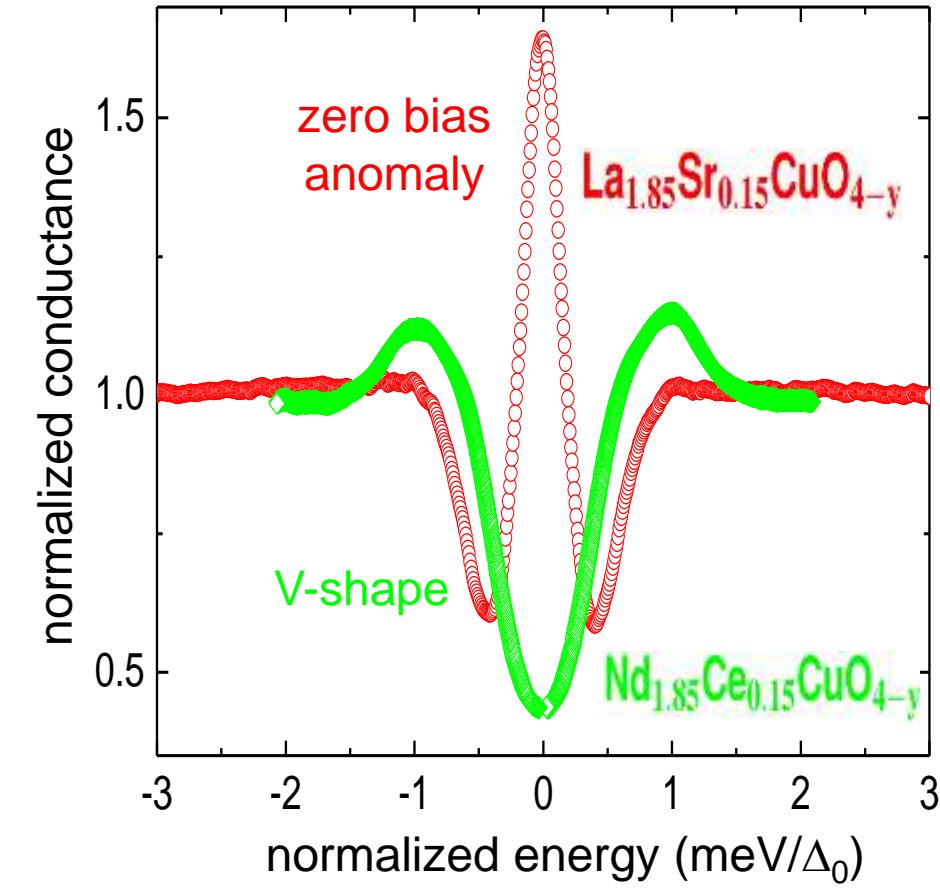
- tunneling spectroscopy in superconductors

$$\rho_{BCS}(E) = \Re \left(\frac{|E|}{\sqrt{E^2 - |\Delta_s|^2}} \right)$$



H. F. Hess et al., Phys. Rev. Lett. **62**, 214 (1989)

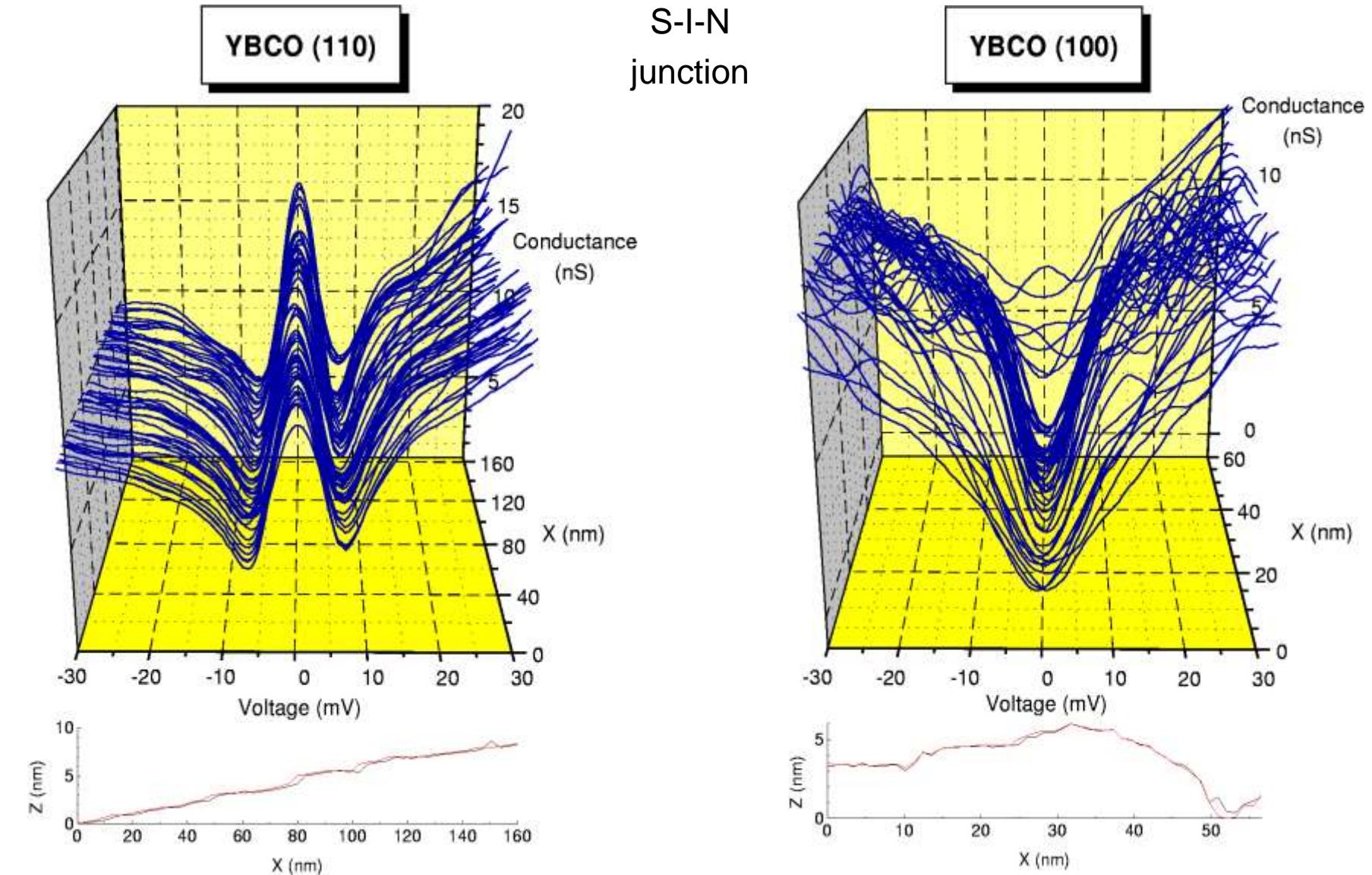
high temperature superconductors



L. Alff et al., Phys. Rev. B **58**, 11197 (1998)

7.4 Symmetry of Pair Wavefunction

- LT-STS hole doped HTS: S-I-N

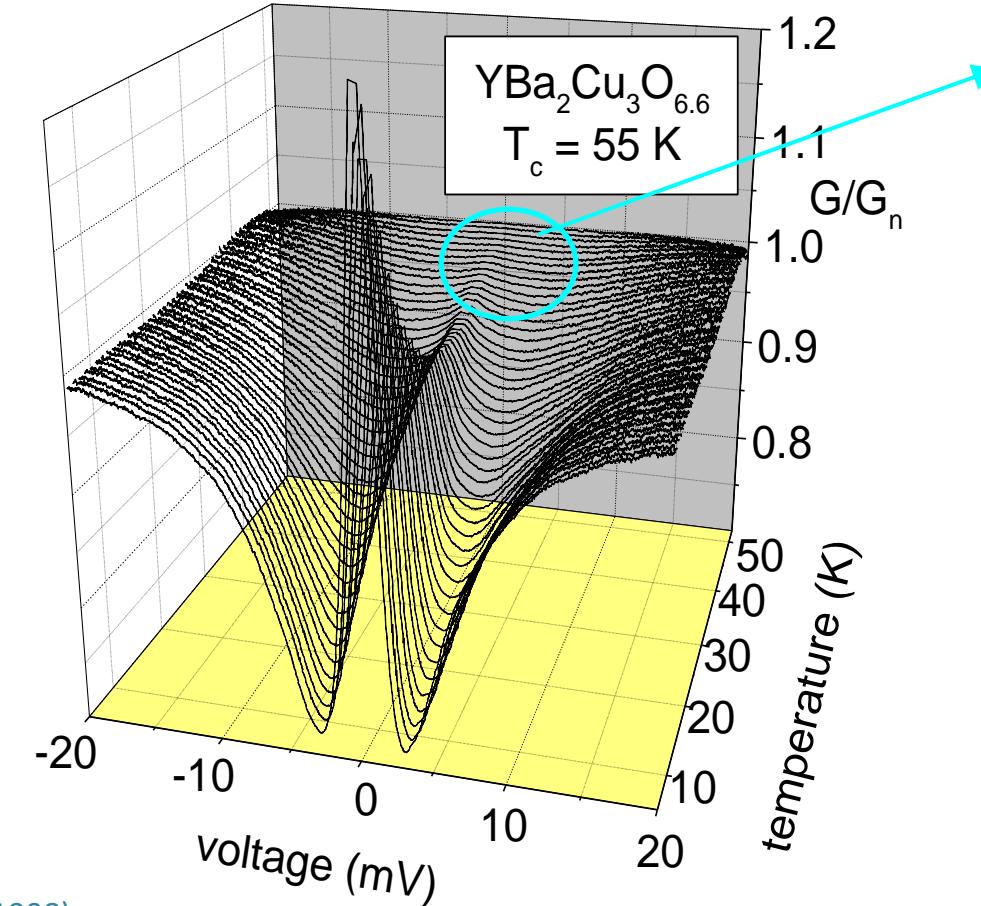


L. Alff et al.,
Phys. Rev. B 55, R14757 (1997)

7.4 Symmetry of Pair Wavefunction

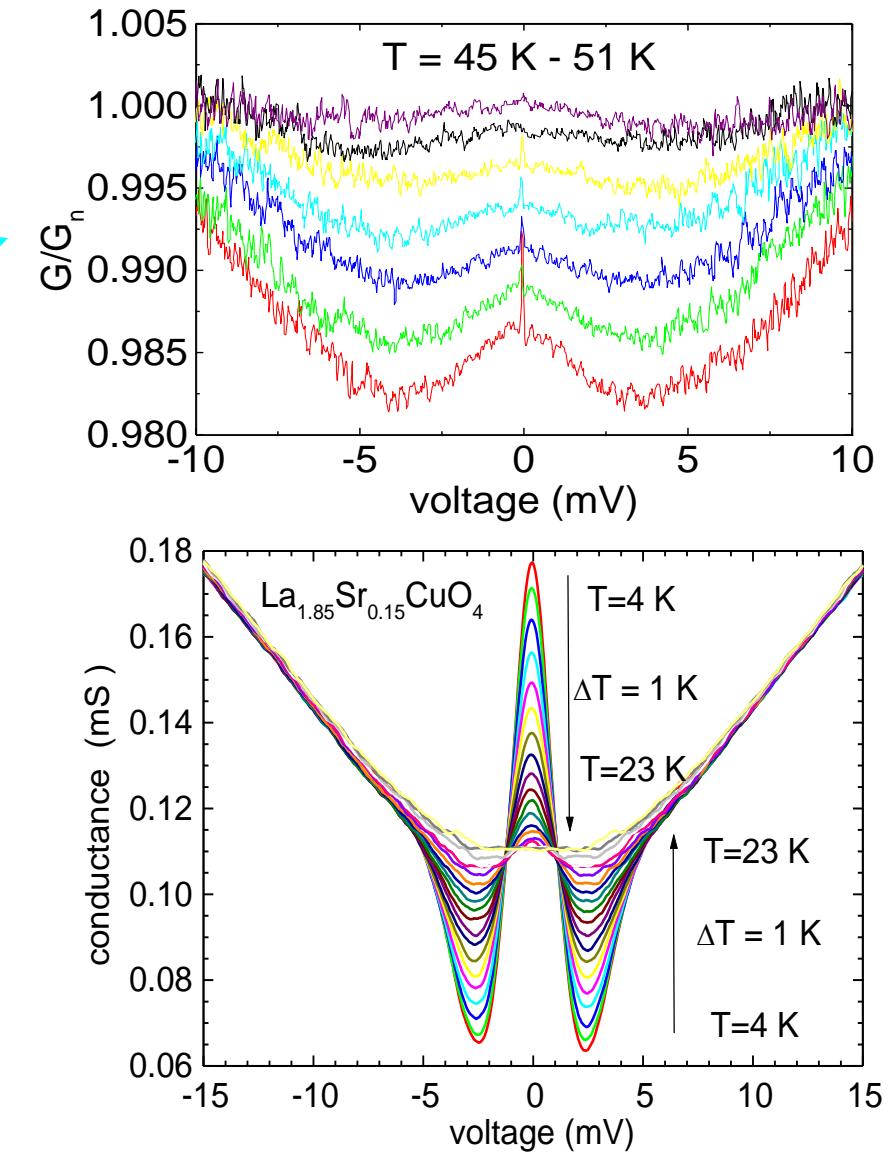
- temperature dependence of zero bias anomaly

bicrystal grain boundary junction



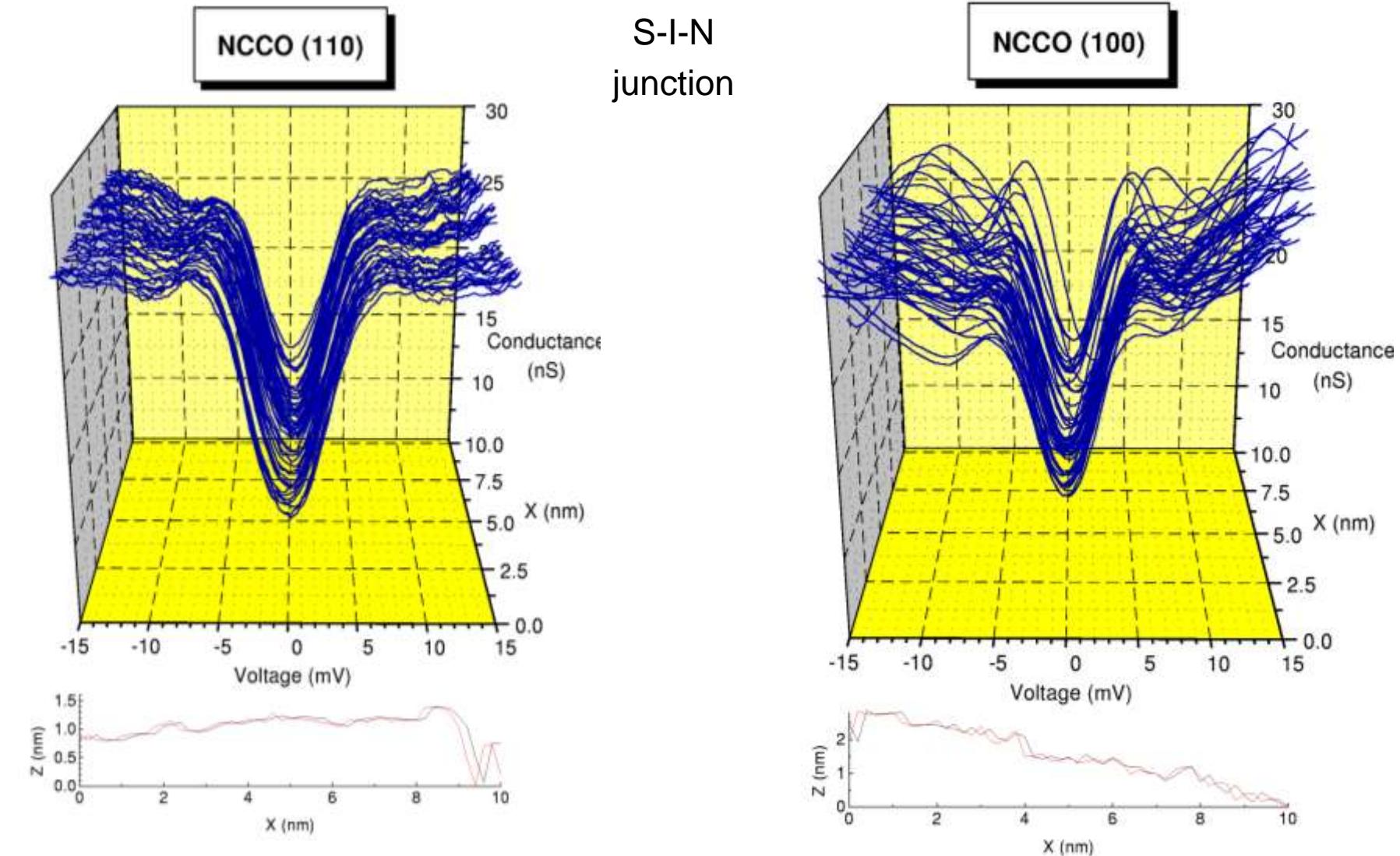
L. Alff et al., Eur. Phys. J. B 5, 423 (1998)

L. Alff et al., Phys. Rev. B 58, 11197 (1998)



7.4 Symmetry of Pair Wavefunction

- LT-STS electron doped HTS: S-I-N



L. Alff et al.,
Physica C 282-287, 1485 (1997)

7 High Temperature Superconductivity

7.1 Discovery of High T_c Superconductivity

7.2 Crystal Structure and Phase Diagram

7.2.1 Crystal Structure

7.2.2 Phase Diagram

7.3 Electronic Structure

7.3.1 Fermi Surface

7.3.2 Experimental Study of the Fermi Surface

7.4 Unconventional Superconductivity

7.4.1 Symmetry of the Pair Wave Function in Cuprates



7.5 Superconducting Properties

7.5.1 Anisotropy

7.5 Superconducting Properties

- **cuprate superconductors are d -wave spin singlet superconductors**
 - fluxoid quantization: $\Phi_0 = h/2e$,
 - Josephson frequency: $\omega_J = 2eV/\hbar$,
 - Knight-shift compatible with spin-singlet pairing
 - $d_{x^2-y^2}$ orbital OP symmetry proven by phase sensitive experiments
- **pairing mechanism still unclear**
 - no smoking gun experiment for nature of exchange boson (as isotop effect for metallic SC)
 - since parent state is AFM insulator, antiferromagnetic spin fluctuations are likely candidate
(other candidates: electron-phonon coupling, charge density fluctuations, orbital currents, ...)
- **development of adequate theory is difficult**
 - free electron gas/Fermi liquid is not a good starting point
 - strong electronic correlations play a role
 - no clear separation of energy scales (Fermi energy, Debye energy, superconducting energy gap)
 - competing ordering processes (e.g. CDW, SDW)

7.5 Superconducting Properties

Größe	Einheit	YBCO	Nb ₃ Sn	Al	Anmerkung
T_c	K	93	18	1.19	
$\Delta(0)$	meV	30	4.3	0.18	
$2\Delta(0)/k_B T_c$		7.4	5.4	3.5	
ξ_{BCS}	nm	1.3	10	170	
$B_{c\text{th}}$	T	1.1	0.9	0.01	
$B_{c1,\parallel c}$	T	0.05	0.75	-	$\mathbf{B} \parallel c$
$B_{c1,\parallel ab}$	T	0.009	0.75	-	$\mathbf{B} \parallel ab$
$\lambda_{L,\parallel c}$	nm	140	80	50	$\mathbf{J}_s \parallel c$
$\lambda_{L,\parallel ab}$	nm	900	80	50	$\mathbf{J}_s \parallel ab$
$B_{c2,\parallel c}$	T	160	25	-	$\mathbf{B} \parallel c$
$B_{c2,\parallel ab}$	T	1000	25	-	$\mathbf{B} \parallel ab$
ξ_{ab}	nm	1.4	4	170	$\mathbf{B} \parallel c$
ξ_c	nm	0.2	4	170	$\mathbf{B} \parallel ab$
κ_c		100	20	0.3	$\mathbf{B} \parallel c$

- small BCS coherence length:

$$\xi_{\text{BCS}} = \frac{\hbar v_F}{\pi \Delta_0}$$

- a. $v_F \simeq 2 \times 10^5$ m/s is small due to small carrier density (0.16 holes per unit cell) and large effective mass $m^* \simeq 4 m_e$
- b. Δ_0 is large (about 30 meV)

- high upper critical field:

$$B_{c2} = \frac{\Phi_0}{\pi \xi_{\text{GL}}^2}$$

cuprate superconductors are strongly anisotropic

7.5.1 Anisotropy

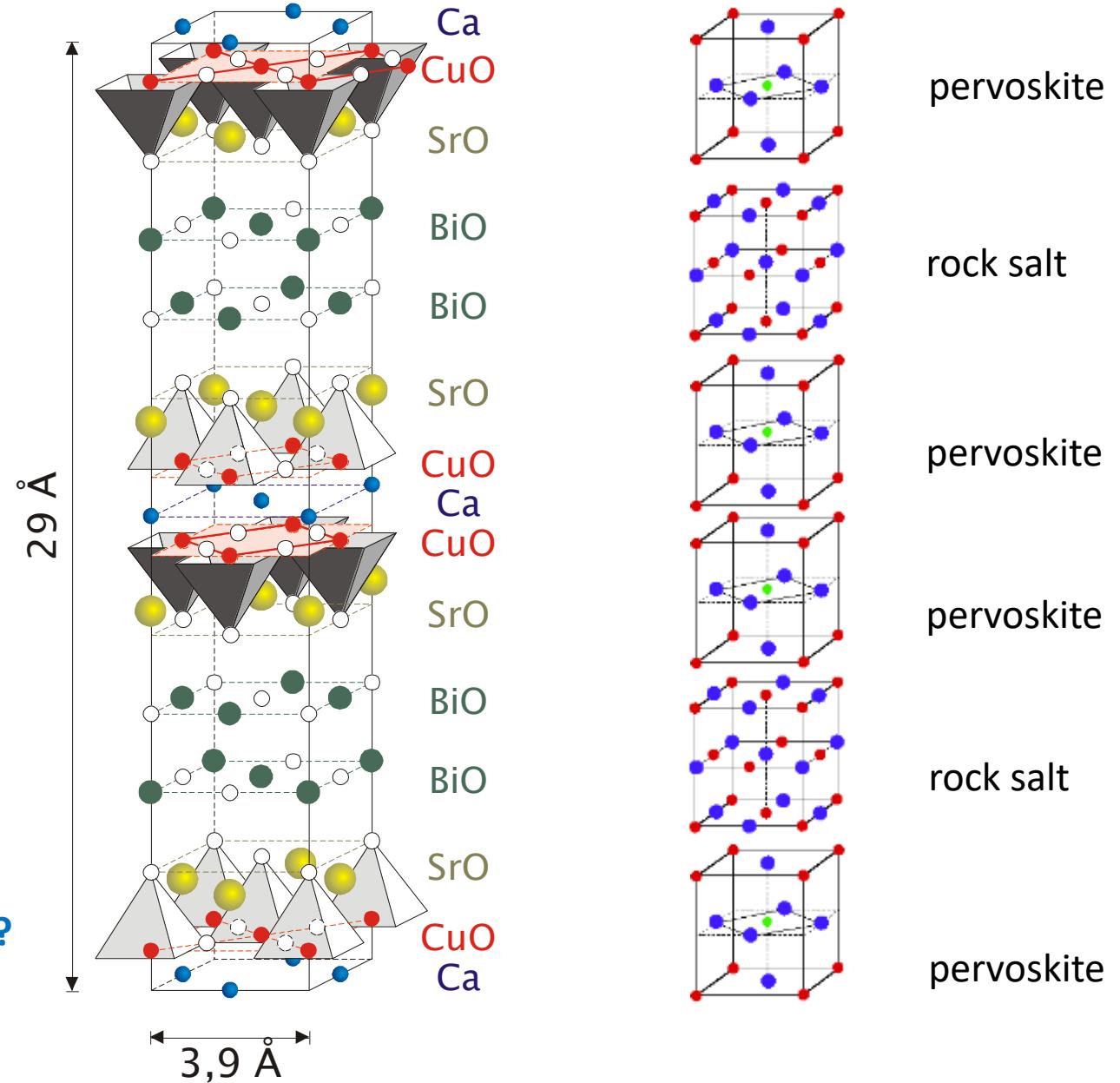
$\text{Bi}_2\text{Sr}_2\text{Ca}_1\text{Cu}_2\text{O}_8 = \text{Bi}-2212 (\approx 90 \text{ K})$

- cuprate superconductors consist of conducting CuO_2 planes separated by isolating intermediate layers
- large anisotropy of electrical resistivity

$$\text{e.g. Bi-2212: } \frac{\rho_c}{\rho_{ab}} \simeq 10^5$$

- large anisotropy of superconducting properties, e.g. $B_{c1}, B_{c2}, \lambda_L, \xi_{\text{GL}}$

how to describe anisotropic superconductors?



7.5.1 Anisotropy

- generalized Ginzburg-Landau theory

- free enthalpy density for $\mathbf{B}_{\text{ext}} = \mu_0 \mathbf{H}_{\text{ext}} = 0$ (cf. 3.3)

$$\Delta g = g_s - g_n = \alpha |\Psi|^2 + \frac{1}{2} \beta |\Psi|^4 + \dots + \underbrace{\frac{1}{2m_s} \left| \frac{\hbar}{i} \nabla \Psi(\mathbf{r}) \right|^2}$$

additional term due to spatial inhomogeneities of order parameter

- Lawrence-Doniach Model for anisotropic SCs

- associate an order parameter Ψ_n to each superconducting layer (can be several CuO₂ planes)
- replace gradient perpendicular to layer structure by differences: $\left| \frac{\partial \Psi}{\partial z} \right| \Rightarrow \frac{|\Psi_n - \Psi_{n-1}|}{s}$

$$\Delta g = \sum_n \alpha |\Psi_n|^2 + \frac{1}{2} \beta |\Psi_n|^4 + \dots + \frac{\hbar^2}{2m_{ab}} \left(\left| \frac{\partial \Psi_n(\mathbf{r})}{\partial x} \right|^2 + \left| \frac{\partial \Psi_n(\mathbf{r})}{\partial y} \right|^2 \right) + \frac{\hbar^2}{2m_c s^2} |\Psi_n - \Psi_{n-1}|^2$$

with $\Psi_n = |\Psi_n| e^{i\theta_n}$

7.5.1 Anisotropy

- Lawrence-Doniach Model

simplification: we assume that $|\Psi_n|$ is the same for each layer

$$\frac{\hbar^2}{2m_c s^2} |\Psi_n - \Psi_{n-1}|^2 = \frac{\hbar^2}{2m_c s^2} |\Psi_n|^2 [1 - \cos(\theta_n - \theta_{n-1})] = \underbrace{E_{J,n} [1 - \cos(\theta_n - \theta_{n-1})]}_{\text{corresponds to Josephson coupling between layer } n \text{ and } n-1}$$

anisotropic cuprate superconductors can be modelled as a stack of Josephson coupled 2D superconducting sheets described by $\Psi_n = |\Psi_n| e^{i\theta_n}$

$$\Delta g = \sum_n \alpha |\Psi_n|^2 + \frac{1}{2} \beta |\Psi_n|^4 + \dots + \frac{\hbar^2}{2m_{ab}} \left(\left| \frac{\partial \Psi_n(\mathbf{r})}{\partial x} \right|^2 + \left| \frac{\partial \Psi_n(\mathbf{r})}{\partial y} \right|^2 \right) + \frac{\hbar^2}{2m_c s^2} |\Psi_n|^2 [1 - \cos(\theta_n - \theta_{n-1})]$$

7.5.1 Anisotropy

- anisotropic Ginzburg-Landau Model

- for length scales large compared to s we can replace differences by a gradient: $\frac{|\Psi_n - \Psi_{n-1}|}{s} \simeq \left| \frac{\partial \Psi_n}{\partial z} \right|$
- to account for the anisotropy, we use anisotropic mass

$$\Delta g = \sum_n \alpha |\Psi_n|^2 + \frac{1}{2} \beta |\Psi_n|^4 + \dots + \frac{\hbar^2}{2m_{ab}} \left(\left| \frac{\partial \Psi_n(\mathbf{r})}{\partial x} \right|^2 + \left| \frac{\partial \Psi_n(\mathbf{r})}{\partial y} \right|^2 \right) + \frac{\hbar^2}{2m_c} \left| \frac{\partial \Psi_n(\mathbf{r})}{\partial z} \right|^2$$

- integration of Δg over volume and minimization of free enthalpy yields anisotropic GL equations

$$\underbrace{\left(\frac{\hbar}{i} \nabla - q_s \mathbf{A}(\mathbf{r}) \right) \left(\frac{1}{2m^*} \right) \left(\frac{\hbar}{i} \nabla - q_s \mathbf{A}(\mathbf{r}) \right)}_{\text{anisotropic mass tensor with major axis values } 1/m_{ab} \text{ and } 1/m_c} \Psi(\mathbf{r}) + \alpha \Psi(\mathbf{r}) + \frac{1}{2} \beta |\Psi(\mathbf{r})|^2 \Psi(\mathbf{r}) = 0$$

1st GL equation

anisotropic mass tensor with major axis values $1/m_{ab}$ and $1/m_c$

$m_c \gg m_{ab}$ as coupling between layers is weak

7.5.1 Anisotropy

- Ginzburg-Landau coherence length

$$\xi_{\text{GL}}(T) = \sqrt{\frac{\hbar^2}{2m_s|\alpha(T)|}}$$

$\left. \begin{array}{l} \xi_{ab}(T) = \sqrt{\frac{\hbar^2}{2m_{ab}|\alpha(T)|}} \\ \xi_c(T) = \sqrt{\frac{\hbar^2}{2m_c|\alpha(T)|}} \end{array} \right\}$

as $m_c \gg m_{ab} \Rightarrow \xi_{ab} \gg \xi_c$

- magnetic field penetration depth

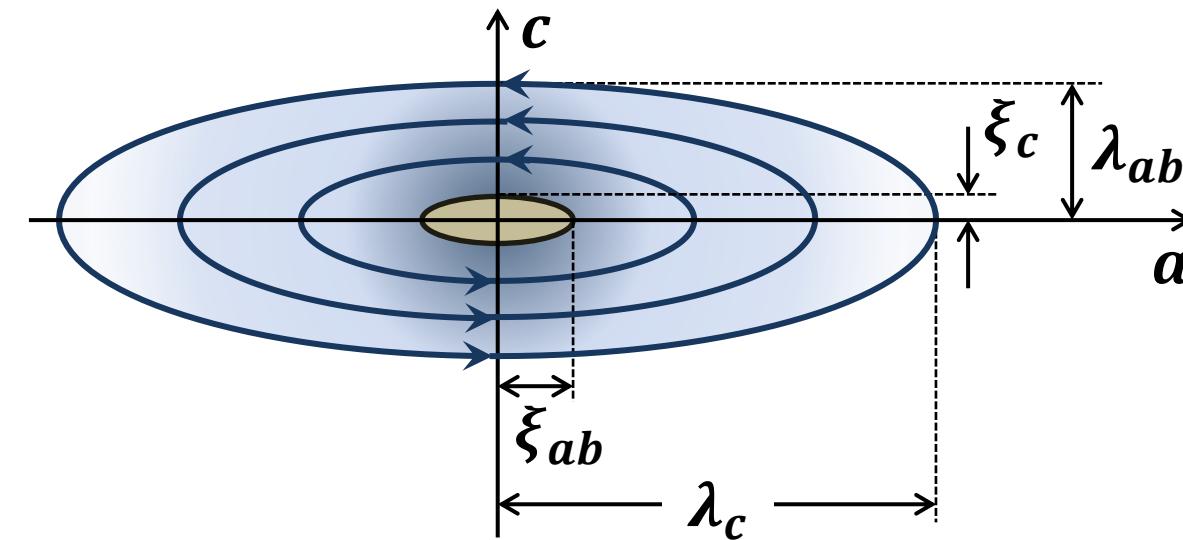
$$\lambda_{\text{GL}}(T) = \sqrt{\frac{m_s}{\mu_0 n_s(T) q_s^2}}$$

$\left. \begin{array}{l} \lambda_{ab}(T) = \sqrt{\frac{m_{ab}}{\mu_0 n_s(0) q_s^2}} \\ \lambda_c(T) = \sqrt{\frac{m_c}{\mu_0 n_s(0) q_s^2}} \end{array} \right\}$

as $m_c \gg m_{ab} \Rightarrow \lambda_{ab} \ll \lambda_c$

7.5.1 Anisotropy

- cross-section of vortex core for vortex parallel to the CuO_2 plane (in b -direction)



- screening length in a -direction (parallel to CuO₂ planes)
screening length λ_c is large as screening currents are flowing in c -direction (perpendicular to CuO₂ planes)
- screening length in c -direction (perpendicular to CuO₂ planes)
screening length λ_{ab} is small as screening currents are flowing in ab -plane (parallel to CuO₂ planes)

7.5.1 Anisotropy

- upper and lower critical field

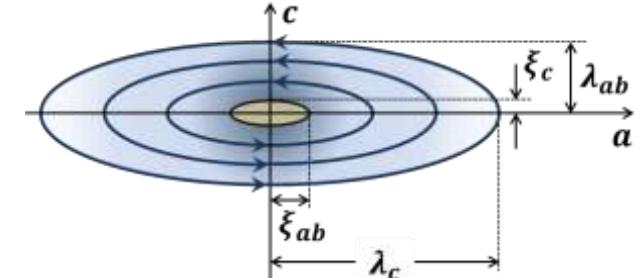
$$B_{c1}(T) = \frac{\Phi_0}{2\pi\lambda_L^2(T)} (\ln \kappa + 0.08)$$

$$\left. \begin{array}{l} B_{c1,||ab} = \frac{\Phi_0}{2\pi\lambda_{ab}\lambda_c} (\ln \kappa_{ab} + 0.08) \\ B_{c1,||c} = \frac{\Phi_0}{2\pi\lambda_{ab}^2} (\ln \sqrt{\kappa_{ab}\kappa_c} + 0.08) \end{array} \right\}$$

$$B_{c2}(T) = \frac{\Phi_0}{2\pi\xi_{\text{GL}}^2(T)}$$

$$\left. \begin{array}{l} B_{c2,||ab} = \frac{\Phi_0}{2\pi\xi_{ab}\xi_c} \\ B_{c2,||c} = \frac{\Phi_0}{2\pi\xi_{ab}^2} \end{array} \right\}$$

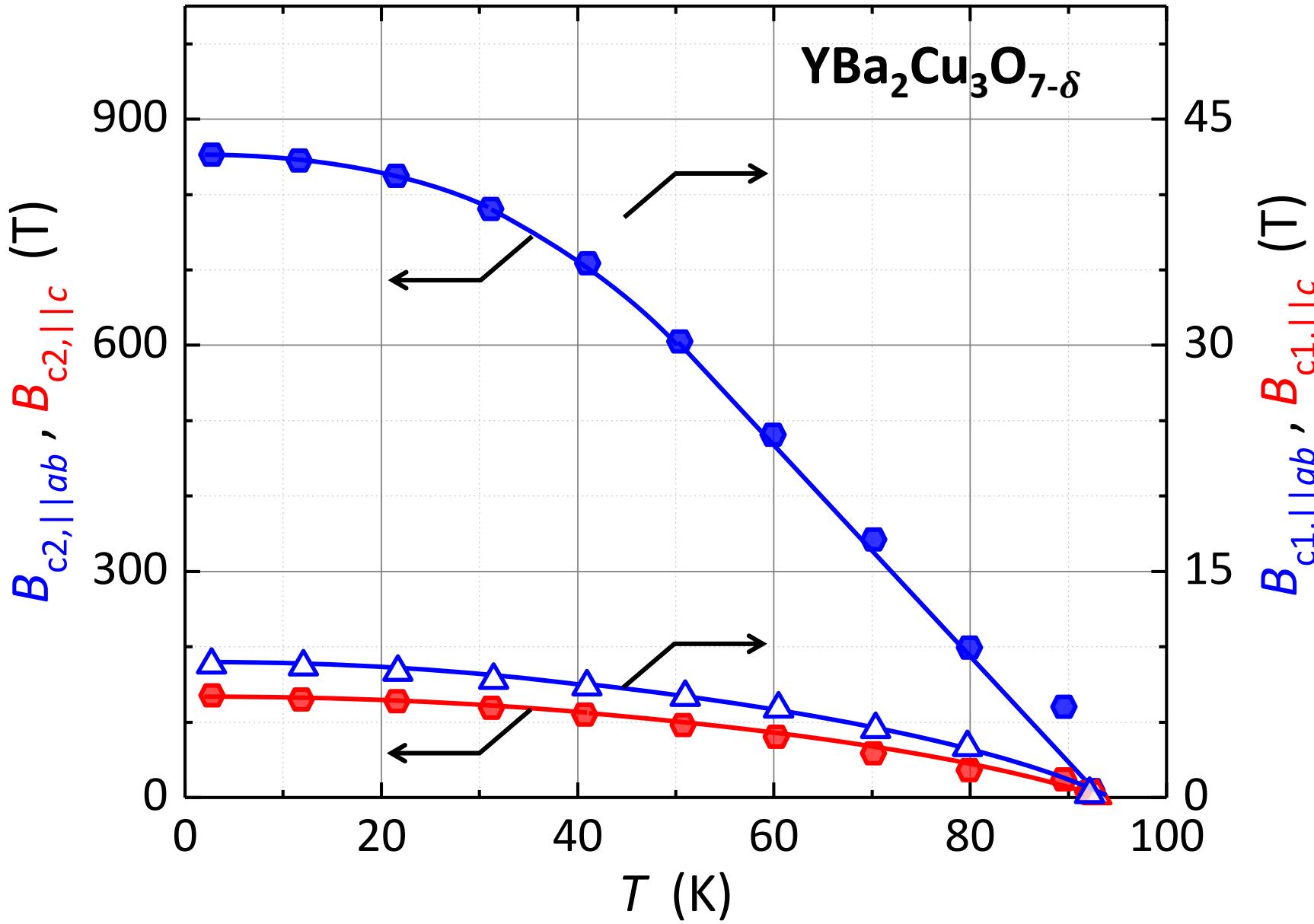
$$B_{\text{cth}}(T) = \frac{\Phi_0}{2\pi\sqrt{2} \xi_{\text{GL}}(T)\lambda_{\text{GL}}(T)} = \frac{\Phi_0}{2\pi\sqrt{2} \xi_{ab}(T)\lambda_{ab}(T)} = \frac{\Phi_0}{2\pi\sqrt{2} \xi_c(T)\lambda_c(T)}$$



as $\lambda_{ab} \ll \lambda_c \Rightarrow B_{c1,||ab} \ll B_{c1,||c}$

as $\xi_{ab} \gg \xi_c \Rightarrow B_{c2,||ab} \gg B_{c2,||c}$

7.5.1 Anisotropy



measured quantities:

- penetration depth
- specific heat
- condensation energy

calculated quantities:

- coherence length
- upper critical field

7.5.1 Anisotropy

- dimensionless anisotropy parameter

$$\gamma \equiv \left(\frac{m_c}{m_{ab}} \right)^{\frac{1}{2}} = \frac{\xi_{ab}}{\xi_c} = \frac{B_{c2,\parallel ab}}{B_{c2,\parallel c}} = \frac{\lambda_c}{\lambda_{ab}} \simeq \frac{B_{c1,\parallel c}}{B_{c1,\parallel ab}}$$

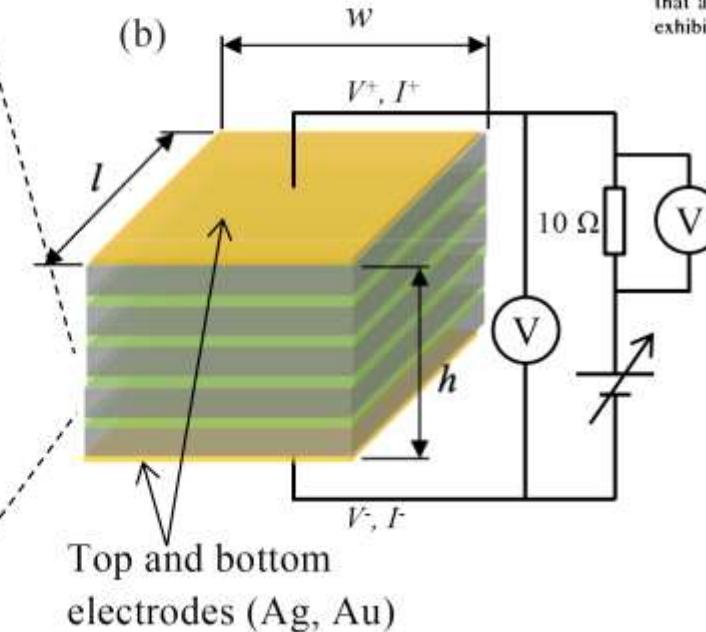
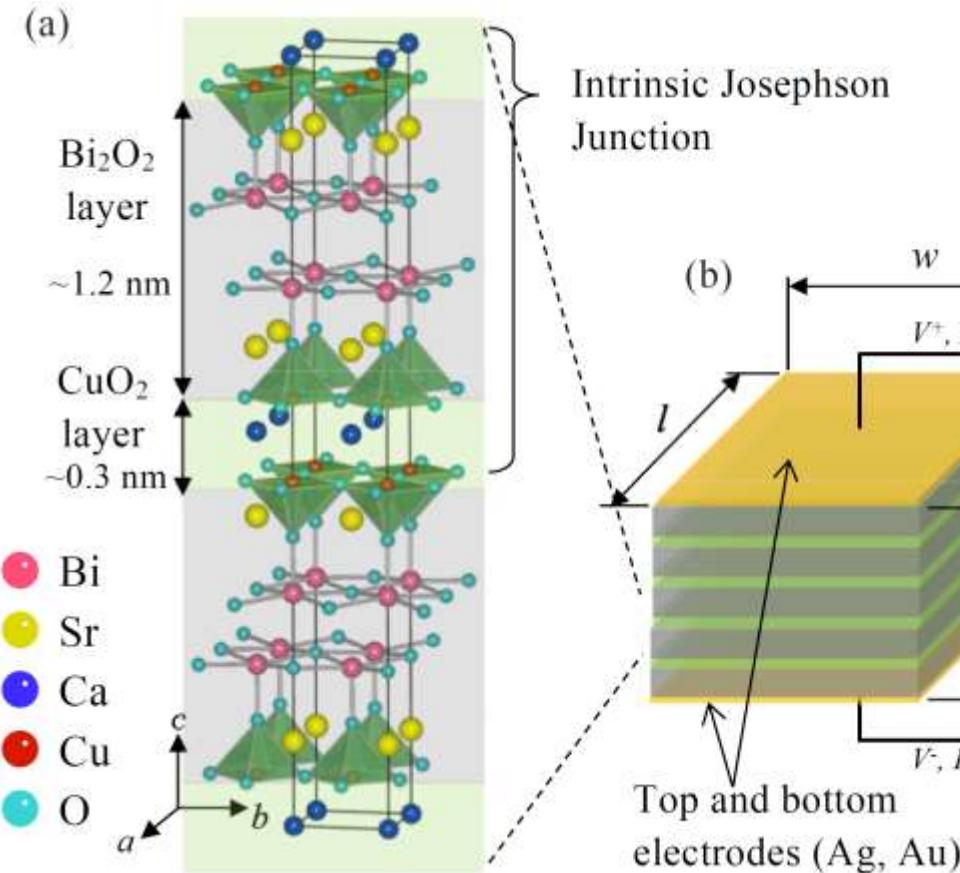
$\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$: $\gamma \simeq 6 - 7$

Bi-2212: $\gamma \simeq 100$

Größe	Einheit	YBCO	Nb_3Sn	Al	Anmerkung
T_c	K	93	18	1.19	
$\Delta(0)$	meV	30	4.3	0.18	
$2\Delta(0)/k_B T_c$		7.4	5.4	3.5	
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$\lambda_{L,\parallel c}$	nm	140	80	50	$\mathbf{J}_s \parallel c$
$\lambda_{L,\parallel ab}$	nm	900	80	50	$\mathbf{J}_s \parallel ab$
$B_{c2,\parallel c}$	T	160	25	-	$\mathbf{B} \parallel c$
$B_{c2,\parallel ab}$	T	1000	25	-	$\mathbf{B} \parallel ab$
ξ_{ab}	nm	1.4	4	170	$\mathbf{B} \parallel c$
ξ_c	nm	0.2	4	170	$\mathbf{B} \parallel ab$
κ_c		100	20	0.3	$\mathbf{B} \parallel c$

7.5.1 Anisotropy

- intrinsic Josephson effect (discovered at WMI by Kleiner & Müller)



VOLUME 68, NUMBER 15

PHYSICAL REVIEW LETTERS

13 APRIL 1992

Intrinsic Josephson Effects in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$ Single Crystals

R. Kleiner, F. Steinmeyer, G. Kunkel, and P. Müller

Walther-Meissner-Institut, Walther-Meissner-Strasse 8, W-8046 Garching, Germany
(Received 21 August 1991; revised manuscript received 11 February 1992)

We have observed Josephson coupling between CuO double layers in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$ single crystals by direct measurements of ac and dc Josephson effects with current flow along the c axis. The results show that a small $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$ single crystal behaves like a series array of Josephson junctions which can exhibit mutual phase locking.

cuprate superconductors
=
stack of Josephson coupled
superconducting layers

- R. Kleiner, F. Steinmeyer, G. Kunkel, P. Müller, "Intrinsic Josephson Effects in $\text{Bi}_2\text{CaSr}_2\text{Cu}_2\text{O}_8$ Single Crystals, Phys. Rev. Lett. **68**, 2394 (1992)
- R. Kleiner, F. Steinmeyer, G. Kunkel, and P Müller, *Observation of Josephson Coupling between CuO double Layers in $\text{Bi}_2\text{CaSr}_2\text{Cu}_2\text{O}_8$ Single Crystals*, Physica C **185**, 2617 (1991).

Summary of Lecture No. 14 (1)

Symmetry of the Order Parameter

- determination by amplitude and phase sensitive measurements
 - Phase sensitive measurements probe the change of sign of the wavefunction in different k -directions
 - Amplitude sensitive measurements probe the change of the quasiparticle excitation spectrum due to nodes in the order parameter
- London penetration depth: $\lambda_L(T) \propto \exp(-\Delta/k_B T)$ for s-wave superconductors and $\lambda_L(T) \propto T$ for d-wave superconductors
- phase sensitive experiments based on tricrystals
- **most cuprate superconductors are d-wave superconductors**

Summary of Lecture No. 14 (2)

superconducting properties of cuprate superconductors

- $d_{x^2-y^2}$ spin-singlet superconductors
- pairing mechanisms ist still unknown (antiferromagnetic spin fluctuations are likely candidate)
- modelling is difficult: electronic correlations, normal state is not a simple Fermi liquid, competing ordering phenomena (e.g. SDW, CDW)

anisotropy of superconducting properties

- origin is layer structure
- modelling of anisotropic superconducting properties by
 - Lawrence-Doniach model: Josephson coupled stack of 2D superconducting layers
 - anisotropic Ginzburg-Landau model: anisotropy is expressed by effective mass tensor
- strongly anisotropic characteristic parameters: $B_{c1}, B_{c2}, \lambda_L, \xi_{GL}$
- phenomenological description of anisotropy by the anisotropy parameter $\gamma \equiv \left(\frac{m_c}{m_{ab}}\right)^{\frac{1}{2}} = \frac{\xi_{ab}}{\xi_c} = \frac{B_{c2,||ab}}{B_{c2,||c}} = \frac{\lambda_c}{\lambda_{ab}}$
- single crystals of cuprate superconductors form stack of intrinsic Josephson junctions
 - application for THz radiation sources